

*2015 State of Texas
Consolidated Annual Performance and
Evaluation Report
Reporting on Program Year 2014*



Prepared by

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Introduction

The Texas Department of Housing and Community Affairs (“TDHCA”), which administers the HOME Investment Partnerships (“HOME”) and Emergency Solutions Grant (“ESG”) Program, and the Texas Department of Agriculture (“TDA”), which administers the Community Development Block Grant (“CDBG”) Program, have completed the *2015 State of Texas Consolidated Annual Performance and Evaluation Report: Reporting on Program Year 2014*. The Texas Department of State Health Services (“DSHS”), which administers the Housing Opportunities for Persons with AIDS (“HOPWA”) Program, completed its Consolidated Annual Performance and Evaluation Report, which is incorporated in this document.

This report is required as part of the US Department of Housing and Urban Development’s (“HUD”) Consolidated Planning process and is outlined specifically in 24 CFR §91.520. The Consolidated Planning process covers four HUD formula grant programs: CDBG, ESG, HOME, and HOPWA.

This report is an integral part of HUD’s Consolidated Planning process, which requires TDHCA and TDA, and DSHS (“the Departments”) to evaluate their accomplishments over the past program year. The information contained in the Consolidated Annual Performance and Evaluation Report (“CAPER” or “Report”) helps the Departments evaluate how well they met stated goals in the *2014 One Year Action Plan*, the *2010-2014 State of Texas Consolidated Plan*, and objectives when developing future plans. The CAPER reports on Program Year 2014 (February 1, 2014, through January 31, 2015).

The CAPER is organized into the following sections:

- Introduction. This section includes an overview of the Report and the outlining federal regulation applicable to the programs covered in the Report.
- Part I: CAPER for CDBG, ESG, & HOME
 - Program Performance. This section includes PY 2014 performance data for the CDBG, ESG, and HOME programs, as outlined in 24 CFR §91.520(a). Program-specific sections of regulation, including 24 CFR §91.520(d) for CDBG, 24 CFR §91.520(g) for Emergency Solutions Grant Program and 24 CFR §91.520(e) for HOME are included in this section. This does not include 24 CFR §91.520(f) for HOPWA which is reported separately in Part II.
 - Other Actions. This section reports the “other actions indicated in the strategic plan and the action plan,” as directed by 24 CFR §91.520(a) and addressing 24 CFR §91.520(b) and (c). These actions include Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Institutional Structure, Reducing and Ending Homelessness and Affirmatively Furthering Fair Housing. This does not include HOPWA which is reported separately in Part II.
 - Goals and Objectives. This section reports on the goals and objectives for each program area except for HOPWA.
- Part II: Consolidated Annual Performance and Evaluation Report for HOPWA
 - HOPWA Consolidated Annual Performance and Evaluation Report.
- Part III: Public Participation
 - Public Participation. This section describes the public participation process used in the developing this CAPER, Parts I and II.

REGULATION**§91.520***

(a) *General*. Each jurisdiction that has an approved consolidated plan shall annually review and report, in a form prescribed by HUD, on the progress it has made in carrying out its strategic plan and its action plan. The performance report must include a description of the resources made available, the investment of available resources, the geographic distribution and location of investments, the families and persons assisted (including the racial and ethnic status of persons assisted), actions taken to affirmatively further fair housing, and other actions indicated in the strategic plan and the action plan. This performance report shall be submitted to HUD within 90 days after the close of the jurisdiction's program year.

(b) *Affordable housing*. The report shall include an evaluation of the jurisdiction's progress in meeting its specific objective of providing affordable housing, including the number and types of families served. This element of the report must include the number of extremely low-income, low-income, moderate-income, middle-income, and homeless persons served.

(c) *Homelessness*. The report must include, in a form prescribed by HUD, an evaluation of the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

(1) Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs;

(2) Addressing the emergency shelter and transitional housing needs of homeless persons;

(3) Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again; and

(4) Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are

(i) Likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health-care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or

(ii) Receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

(d) *CDBG*. For CDBG recipients, the report shall include a description of the use of CDBG funds during the program year and an assessment by the jurisdiction of the relationship of that use to the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities that were identified. This element of the report must specify the nature of and reasons for any changes in its program objectives and indications of how the jurisdiction would change its programs as a result of its experiences. This element of the report also must include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

(e) *HOME*. For HOME participating jurisdictions, the report shall include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, an assessment of the jurisdiction's affirmative marketing actions and outreach to minority-owned and women-owned businesses, and data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

(f) *HOPWA*. For jurisdictions receiving funding under the Housing Opportunities for Persons With AIDS program, the report must include the number of individuals assisted and the types of assistance provided.

(g) *ESG*. For jurisdictions receiving funding under the ESG program provided in 24 CFR Part 576, the report, in a form prescribed by HUD, must include the number of persons assisted, the types of assistance provided, and the project or program outcomes data measured under the performance standards developed in consultation with the Continuum(s) of Care.

(h) *Evaluation by HUD*. HUD shall review the performance report and determine whether it is satisfactory. If a satisfactory report is not submitted in a timely manner, HUD may suspend funding until a satisfactory report is submitted, or may withdraw and reallocate funding if HUD determines, after notice and opportunity for a hearing, that the jurisdiction will not submit a satisfactory report.

(i) The report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.

*Amendments to §91.520 were published January 30, 2015, in 80 FR 5220 and will be effective on March 31, 2015. The amendments add the National Housing Trust Fund program, but reporting on this performance is not required for the 2015 CAPER.

Part I: Consolidated Annual Performance and Evaluation Report for CDBG, ESG and HOME

PROGRAM PERFORMANCE

This section reports on the PY 2014 (February 1, 2014-January 31, 2015) performance in the Community Development, Homeless and Housing categories, excluding Non-Homeless Special Needs which will be reported in Part II of this publication. Each section reports on the following subjects, as required by 24 CFR §91.520(a):

- Description of the resources made available
- Investment of the available resources
- Geographic distribution and location of investments
- Families and persons assisted

The Community Development section reporting on CDBG includes the additional provisions of 24 CFR §91.520(d), which requires that the report include (1) a description of the use of CDBG funds during the program year, (2) an assessment of the relationship of that use to the priorities and specific objectives identified in the plan, (3) the nature of and reasons for any changes in program objectives, and (4) indications of how TDA would change the program as a result of its experiences. The description of the use of CDBG funds is included in the “Investment of Available Resources” part of the CDBG Program section.

This report includes program information for ESG, and it references 24 CFR §91.520(g), which requires that the report include (1) the number of persons assisted, (2) the types of assistance provided, and (3) the project or program outcomes measured under the performance standards developed in consultation with the Continuum(s) of Care.

The Housing section reporting on HOME includes the additional provisions of 24 CFR §91.520(e), which requires that the report include (1) the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, (2) an assessment of the jurisdiction’s affirmative marketing actions and outreach to minority-owned and women-owned businesses, and (3) data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics. The data on the use of program funds and number of projects are included in the “Investment of Available Resources” part of the HOME Program section, while owner and tenant characteristics are included in the “Families and Persons Assisted” part.

In accordance with the guidelines from HUD, the State complies with the Community Planning and Development (“CPD”) Outcome Performance Measurement System. The performance measures targets, including the objectives and outcomes, are described in the One Year Action Plan chapter of the *2010-2014 State of Texas Consolidated Plan*. The State’s performance regarding the targets for PY 2014 are reported in this document.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

The objective of the CDBG Program is “the development of viable communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for persons of low and moderate income (0-80 percent of Area Median Family Income (“AMFI”).” TDA administers the “non-entitlement” or “states and small cities program.” Under this program, HUD allocates CDBG funds directly to the State, which, in turn, allocates funds to small, non-metropolitan cities (populations of less than 50,000) and rural counties. Large metropolitan communities (populations of 50,000 or more), known as “entitlement areas,” receive their CDBG funding directly from HUD. The demographics and rural character of Texas have shaped a state CDBG Program that focuses on providing basic sanitary infrastructure to small rural communities in outlying areas. Eligible activities include sanitary sewer systems, water treatment improvements, disaster relief and urgent needs projects, housing, drainage and flood control, street improvements, and economic development.

DESCRIPTION OF RESOURCES

This section describes CDBG funding that was available for PY 2014.

PY 2014 Funding

The following table lists the amount of funds available for PY 2014 through the HUD allocation, distributed according to the CDBG 2014 Action Plan. Total State Allocation: \$61,494,579.

PY 2014 CDBG State Allocation

Fund	2014 Allocation by Program Fund (Approx.)	2014 Program Allocation
Community Development	61.71%	\$37,948,305
Texas Capital Fund	14.51%	\$8,925,723
Colonia Fund		
Colonia Planning and Construction	6.75%	\$4,150,884
Colonia EDAP	3.25%	\$2,000,000
Colonia Set-aside	2.50%	\$1,537,364
Planning and Capacity Building	1%	\$614,946
Disaster Relief/Urgent Need		
Disaster Relief	4.10%	\$2,521,533
STEP Fund	3.01%	\$1,850,987
Admin (including TA) 3%	3.00%	\$1,844,837
Admin - \$100k (in addition to the 3%)	0.16%	\$100,000
TOTALS:	100.00%	\$61,494,579

PY 2014 Activities

Each activity proposed for funding under CDBG must address one or more of the following three national program objectives:

- Principally benefit low and moderate income persons. (At least 51 percent of the identified beneficiaries must have an income of less than 80 percent of the area median family income).
- Aid in the prevention or elimination of slum and/or blighted areas.

- Meet other community development needs of particular urgency which represent an immediate threat to the health and safety of residents of the community.

Activities are funded under the following program categories:

Community Development Fund

Grants are awarded on a competitive basis to address public facilities and housing needs such as sewer and water system improvements, street and drainage improvements, and housing rehabilitation activities.

Texas Capital Fund

Grants are awarded on a competitive basis based on objective scoring to eligible communities to address economic development needs by providing infrastructure and real estate improvements in support of businesses willing to create/retain jobs. This fund also includes the Main Street and Downtown Revitalization (“MS/DRP”) Programs that provide matching grant funds for public infrastructure to foster and stimulate economic development in rural downtown areas. Communities eligible for the Main Street Program must be a designated Texas Main Street Community.

Colonia Fund

Construction and planning grants are awarded on a competitive basis for community development projects such as sewer, water, and housing rehabilitation to county applicants for projects in unincorporated “eligible colonia” areas located within 150 miles of the Texas-Mexico border and outside metropolitan areas. Eligible colonias are identifiable, unincorporated communities lacking one or more basic services such as potable water supply, adequate sewage systems, and decent, safe and sanitary housing. This fund also includes legislative set asides to provide grants for the operation of colonia self-help centers located in seven Texas-Mexico border counties and for Economically Distressed Areas Program (EDAP) grants on an “as-needed” basis to provide water and sewer connections on projects funded by the Texas Water Development Board.

Planning/Capacity Building Fund

Grants are awarded on a statewide competitive basis to assist eligible cities and counties in planning activities that assess local needs, develop strategies to address local needs, build or improve local capacity, or address other needed local planning elements. Emphasis is placed on housing analysis, mapping, and public infrastructure planning.

Disaster Relief/Urgent Need Fund

Assistance is available to localities impacted by a natural disaster or an urgent need situation. Disaster Relief Funds address damages caused by natural disasters such as floods or tornadoes following an emergency declaration by the President or Governor. In 2012, “natural disaster” was expanded to include drought. Urgent need assistance is available for unanticipated and dangerous local situations, contingent upon the availability of funds.

Texas Small Towns Environment Program (“STEP”) Fund

Grants are awarded on a statewide competitive basis to cities and counties to assist communities willing to solve water and sewer problems by utilizing self-help techniques. This approach encourages local support such as volunteer labor and donated materials and/or equipment.

INVESTMENT OF RESOURCES

This section describes CDBG funding commitments that were made during the reporting period, using PY 2014 funds as well as program income and deobligated funds from prior program years.

PY2014 Funding Commitments

For PY 2014, the CDBG Program committed \$73,970,187 through 255 grants.

Total Amount of Funds Committed during PY 2014

Programs	No. of Awards	2014 Allocation	Prior Year - Allocation	Prior Year-Deobligated	Program Income	2014 Total Obligation
Community Development Fund	149	\$37,948,305		\$2,925,606		\$40,873,911
Colonia Construction/ Planning Fund	12	\$3,330,384	\$2,169,277			\$5,499,661
Colonia Self-Help Centers	2	\$0	\$1,564,167.00	\$35,833.00		\$1,600,000
Disaster Relief / Urgent Need	25	2,521,533		\$5,102,408		\$7,623,941
Texas Capital Fund*	41	\$7,077,040	\$204,033		\$8,502,286	\$15,783,359
Planning / Capacity Building Fund	20	\$602,413	\$4,845	\$82,935		\$690,193
STEP Fund	6	\$938,505.58	\$960,616.42			\$1,899,122
Total	255	\$52,418,180.58	\$4,902,938.42	\$8,146,782	\$8,502,286	\$73,970,187

*Program Income (TCF): \$1,673,177 of total PI is PI-Deobligated

Matching Requirements

Match requirements vary by funding category:

- Matching funds are required for the Texas Capital Fund and Planning/Capacity Building Fund contracts.
- Projects with matching funds receive a scoring advantage for other fund categories – the Community Development Fund (if selected as a scoring criteria by the Regional Review Committee), Colonia Construction Fund, Colonia Planning Fund - Area Studies, and Renewable Energy Demonstration Pilot Program.
- Matching funds are neither a requirement nor scoring factor for the STEP Fund, Colonia Planning Fund-Comprehensive Studies, and Colonia Self-Help Centers.

For PY2014, the \$73,970,187 in CDBG funds was matched by 27.09%, or \$20,042,374 in local commitments.

Matching Funds Committed by Grantees, Contracts Awarded in PY 2014

Programs	No. of Awards	Amount of Grant Awards	Amount of Match Funds
Community Development Fund	149	\$40,873,911	\$4,915,371
Colonia Construction Fund	12	\$5,499,661	\$340,000
Colonia Self-Help Centers Fund	2	\$1,600,000	\$0
Disaster Relief / Urgent Need	25	\$7,623,941	\$0
Texas Capital Fund	41	\$15,783,359	\$14,677,435
Planning / Capacity Building Fund	20	\$690,193	\$109,568
STEP Fund	6	\$1,899,122	\$0
Total	255	\$73,970,187	\$20,042,374

The CDBG staff continues to work with the U.S. Department of Agriculture and Texas Water Development Board on projects that leverage funds from multiple agencies to take full advantage of available resources.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2014 funds were distributed and the location of CDBG awards.

Allocation Formula

The CDBG Program distributes funds using both statewide competitions and regional competitions. The Community Development Fund uses a specific formula based on population, poverty, and unemployment to distribute funds on a biennial basis to each of the 24 Council of Government regions across the state. Applicants compete within each region for the funds allocated to that area. Regional competitions ensure that funds are distributed across the state and allow each region to establish its own priorities for selecting applications for funding within the scope of the program.

All other CDBG funds are available to eligible cities and counties through statewide competitive processes. A statewide competition in the smaller funding categories provides for standardized consideration and funding of the most competitive applications regardless of the project location.

Award Locations

PY 2014 CDBG awards were made in the following areas of the state:

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
ANDERSON	2	\$311,810	1,528	922
ANDREWS	1	\$350,000	856	512
ANGELINA	1	\$275,000	2,172	1,141
ARANSAS	2	\$600,000.00	69	57
ARCHER	1	\$275,000	89	69
ATASCOSA	2	\$550,000.00	817	492
BANDERA	2	\$550,000	411	343

Program Performance

Community Development

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
BASTROP	2	\$425,000	11,476	5,937
BELL**	2	\$625,000	4,132	2,071
BOSQUE**	4	\$831,436	10,069	4,458
BOWIE	3	1,625,000	2,063	1,126
BREWSTER	1	\$168,637.00	1,771	1,104
BROOKS	2	1,000,000	7,967	5,024
BURLESON	2	\$305,780	2,274	1,295
BURNET	1	\$275,000	1,144	722
CALDWELL	1	\$275,000.00	64	64
CALLAHAN	1	\$275,000	1,049	605
CAMERON	7	1,176,215	9,399	5,102
CAMP	1	\$348,890	98	89
CARSON	1	\$275,000	17	13
CASS	1	\$625,000	25	13
CHEROKEE**	4	\$975,000	4,859	2,334
CLAY**	4	\$1,632,100	3,874	1,381
COCHRAN	1	\$275,000	2,249	1,236
COKE	2	\$400,000	2,074	1,263
COLLIN	4	\$855,780	3,987	2,175
COLORADO	2	\$700,000	2,184	1,626
COMANCHE	1	\$44,410	2,305	1,265
CORYELL	2	\$550,000	2,832	1,565
CROCKETT	1	\$239,940	12	9
DALLAM	1	\$275,000	186	132
DELTA	3	\$766,500	7,170	4,129
DENTON	3	\$925,833	3,075	2,475
DEWITT	1	\$275,000	1,927	1,118
DIMMIT	1	\$255,276	1,342	857
DUVAL	1	\$30,885	1,668	910
EASTLAND	1	\$275,000	797	444
ECTOR	1	\$500,000	172	139
EDWARDS	1	\$144,797	2,134	1,124
EL PASO	2	\$1,500,000	9,249	8,794
ELLIS	3	\$847,265	2,207	1,177
FANNIN	4	\$631,306	2,344	1,277
FAYETTE	3	\$700,000	5,007	2,643
FISHER	1	\$275,000	427	284
FLOYD	2	\$625,000	6,804	3,600
FRANKLIN	1	\$150,000	2,590	1,505
FREESTONE	2	\$450,000	2,272	1,551
GALVESTON	2	\$700,000	679	448
GRAY	1	\$275,000	213	163

Program Performance

Community Development

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
GRAYSON	4	\$578,105	936	569
GREGG	2	\$1,025,000	378	271
HALL	1	\$350,000	421	247
HAMILTON	1	\$26,520	1,354	700
HANSFORD	1	\$275,000	738	400
HARDEMAN	1	\$275,000	142	109
HARDIN	2	\$900,000	739	392
HASKELL	1	\$275,000	158	85
HAYS	1	\$275,000	385	267
HENDERSON	3	\$580,780	3,555	2,003
HILL	3	\$1,324,999	1,925	1,018
HOCKLEY	1	\$522,000	22	12
HOOD	2	\$495,000	165	101
HOPKINS	1	\$344,968	634	370
HUDSPETH	1	\$168,637	500	318
HUNT	3	\$616,045	2,341	1,508
HUTCHINSON	1	\$350,000	993	543
JACKSON	1	\$275,000	2,536	1,374
JIM HOGG	1	\$293,417	73	67
JOHNSON	3	\$1,375,000	2,375	1,303
KARNES	2	\$550,000	909	606
KAUFMAN	2	\$313,805	13,914	8,195
KENDALL	2	\$1,150,000	78	41
KERR	2	\$775,000	350	344
KINNEY	5	\$1,900,000	3,652	2,226
KLEBERG	3	\$960,000	1,070	888
LA SALLE	1	\$500,000	133	123
LAMAR**	7	\$1,664,784	74,659	31,095
LAMPASAS	1	\$275,000.00	92	82
LAVACA	2	\$550,000	134	121
LEON	4	\$730,780	3,183	1,786
LIBERTY	1	\$350,000.00	349	217
LIPSCOMB	1	\$275,000	328	174
LIVE OAK	2	\$538,865	2,500	1,375
LLANO	1	\$150,000	3,075	1,745
LYNN	1	\$275,000	964	507
MADISON	1	\$275,000	283	180
MATAGORDA**	1	\$150,000	18,667	8,378
MAVERICK	1	\$350,000	6,003	3,091
MCLENNAN	1	\$274,999	2,605	1,344
MEDINA	2	\$675,000	108	66
MILAM	1	\$275,000	1,879	1,120

Program Performance

Community Development

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
MITCHELL	3	\$1,485,000	6,367	4,441
MONTAGUE	1	\$275,000.00	90	79
MORRIS	3	\$825,000	3,989	2,115
MOTLEY	1	\$275,000	280	210
NAVARRO	3	\$825,000	2,265	1,528
NEWTON	1	\$275,000	73	73
NUECES	2	\$800,000	923	537
ORANGE	4	\$1,097,700	2,068	1,576
PALO PINTO**	4	\$1,250,000	1,537	701
PANOLA**	1	\$150,000	6,664	2,492
PARKER	2	\$550,000	2,365	1,313
PECOS	2	\$849,661	10,141	5,262
POLK	3	\$825,000	280	278
RAINS	1	28,155	634	519
RANDALL	1	\$275,000	121	71
REAL	1	\$350,000	830	655
ROBERTSON	2	\$311,810	2,896	1,807
RUNNELS	1	\$275,000	2,953	1,520
SABINE	1	\$275,000	63	56
SAN AUGUSTINE	2	\$425,000	2,422	1,674
SAN PATRICIO	1	\$300,000	4,995	3,017
SCURRY	1	\$750,000	55	29
SHELBY	3	\$999,319	4,917	3,628
SMITH**	1	\$150,000	4,430	2,090
STARR	2	\$586,834.00	2,536	1,908
STEPHENS	1	\$255,000	58	55
SUTTON	1	\$200,000	19	16
TERRELL	1	\$350,000	810	430
TOM GREEN**	4	\$1,550,000	3,994	1,726
TRINITY	2	\$1,025,000	37	21
TYLER	1	\$275,000	47	28
UPSHUR	1	\$349,939	144	84
UVALDE	1	\$150,000	1,430	900
VAL VERDE	1	\$600,000	5,391	5,391
VAN ZANDT	3	\$746,995	1,137	606
WALKER	1	\$28,995	987	506
WALLER	1	\$350,000	2,067	1,087
WEBB	1	\$293,417	7,183	4,466
WHARTON	3	\$1,000,000	1,491	1,088
WILBARGER	1	\$300,000	20	11
WILLACY	2	\$241,855	2,738	1,666
WILSON	2	\$425,000	2,690	1,784

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
WISE	1	\$275,000	1,099	562
WOOD	4	\$1,174,850	845	593
YOAKUM	1	\$275,000	3,632	1,940
ZAPATA	1	\$0	53	34
ZAVALA	1	\$195,093	7,190	5,158
Total	255	\$73,970,187	394,390	217,875

* Includes one or more projects funded under the Urgent Need National Objective or the Elimination of Slum and Blight National Objective

FAMILIES AND PERSONS ASSISTED

This section describes the households and persons assisted with CDBG funds.

Anticipated Persons Served with PY 2014 Funding

For contracts that were awarded with PY 2014 funds, there are 394,390 total anticipated beneficiaries, of which 55.24% were low- and moderate-income persons.

Estimated Beneficiaries, Contracts Awarded in PY 2014

Programs	Proposed Beneficiaries	Proposed LMI Beneficiaries
Community Development Fund	171,972	104,371
Colonia Construction Fund	2,912	2,381
Colonia Self-Help Center	14,491	14,036
Disaster Relief / Urgent Need	84,778	36,666
Texas Capital Fund	88,415	42,738
Planning / Capacity Building Fund	30,119	16,648
STEP Fund	1,703	1,035
Total	394,390	217,875

Actual Persons Served in PY 2014

For contracts closed during PY 2014, 314,807 persons actually received service through CDBG contracts.

Actual Beneficiaries, Contracts Closed in PY 2014

Programs	Total Beneficiaries	Total LMI Beneficiaries
Community Development Fund	139,498	86,054
Colonia Construction Fund	838	838
CEDAP	836	836
Colonia Fund-Planning	1,589	1,355
Disaster Relief / Urgent Need	87,146	36,741
Planning / Capacity Building Fund	8,565	4,643
STEP Fund	4,752	3,447
Texas Capital Fund	71,583	28,616
Total	314,807	162,530

A significant number of contracts assisted communities affected by natural disasters and were funded under the Urgent Need national objective. The following section addresses contracts funded under the national objective to primarily benefit low/moderate income persons.

Income Status of Persons Assisted

The CDBG Program collects information on beneficiaries according to low/moderate income status. Most funding categories require applications to benefit a minimum of 51% low or moderate income persons. Disaster Relief/Urgent Need Fund applicants can qualify under the urgent need national objective without a low/moderate income benefit; however many of these projects do benefit primarily low to moderate income persons and only those contracts are included in the CDBG low/moderate income national objective reporting and are included below. CDBG awards well over the required 70% of grant funds to projects benefitting primarily low or moderate-income persons.

The table below reflects contracts closed during PY 2014 that meet the low/moderate income national objective. Not included in the table below are the 16 contracts which met the urgent need or slum/blight national objectives, including the Downtown Revitalization and Main Street Program contracts in the Texas Capital Fund and certain Disaster Relief contracts, and are not included in the table below.

**Income Status of Actual Beneficiaries,
Contracts Closed in PY 2014 under the LMI National Objective***

Programs	Total Beneficiaries	Total LMI Beneficiaries
Community Development Fund	139,498	86,054
Colonia Construction Fund	838	838
CEDAP	836	836
Colonia Fund-Planning	1,589	1,355
Disaster Relief / Urgent Need	25,173	14,245
Planning / Capacity Building Fund	8,565	4,643
STEP Fund	4,752	3,447
Texas Capital Fund	8,252	4,610
Total	189,503	116,028

Reported beneficiaries include only contracts meeting the low/moderate income national objective.

Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of persons receiving assistance for contracts closed in PY 2014 is reported below.

Racial and Ethnic Status of Beneficiaries, Contracts Closed in PY 2014

Race / Ethnicity	Persons Assisted Non-Hispanic	Persons Assisted Hispanic	Total	Percent
White	151,264	87,265	238,529	75.77%
Black / African American	36,613	693	37,306	11.85%
Asian	1,682	104	1,786	<1%

Race / Ethnicity	Persons Assisted Non-Hispanic	Persons Assisted Hispanic	Total	Percent
American Indian/Alaska Native	1,285	442	1,727	<1%
Native Hawaiian/Other Pacific Islander	157	41	198	<1%
Black/African American and White	431	75	506	<1%
Asian and White	270	18	288	<1%
American Indian/Alaska Native and White	365	57	422	<1%
American Indian/Alaska Native and Black/African American	45	4	49	<1%
Asian/Pacific Islander	390	12	402	<1%
Other Race or Multi-Race	6,870	26,724	33,594	10.67%
Total	199,372	115,435	314,807	

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

Non-Housing Community Development Priority Needs Summary Table

Priority Community Development Needs	Priority Need Level
PUBLIC FACILITY NEEDS	Medium
INFRASTRUCTURE IMPROVEMENT	High
Solid Waste Disposal Improvements	Medium
Drainage and Flood Control Improvements	High
Water System Improvements	High
Street and Bridge Improvements	High
Sewer System Improvements	High
PUBLIC SERVICE NEEDS	Medium
ECONOMIC DEVELOPMENT NEEDS	High
OTHER COMMUNITY DEVELOPMENT NEEDS	Medium
PLANNING	High

Specific Accomplishments

The following goals address the high priority needs identified above. Activities undertaken during the PY 2014 period that accomplish these goals are described below.

INFRASTRUCTURE IMPROVEMENTS

Encourage projects that address basic human needs such as water, sewer and housing; projects that provide a first-time public facility or service; and projects designed to bring existing services up to at least state minimum standards as set by the applicable regulatory agency.

The Community Development Fund is the largest in the CDGB program and supports projects benefiting low- and moderate-income persons. Of the 255 contracts awarded in PY 2014, 149 or 58.43% were

funded by the Community Development fund. Of these, 136 or 91.28% included activities to address basic human needs.

The STEP Fund is designed to make a large impact by leveraging local resources and self-help volunteer labor to install needed water and sewer facilities at a cost that is affordable for the assisted communities. All STEP construction funds address basic human needs. CDBG awarded 6 STEP grants during this reporting period, obligating \$1,899,122 for projects to benefit 1,703 persons of which 1,035 or 60.77% are low- and moderate-income persons.

Contracts Awarded in PY 2014 by Activity – Selected Funds

Activity	CD Funds	% of CD Funds	STEP Funds	% of STEP Funds
Water/Sewer Facilities	\$30,239,388	73.98%	\$1,718,272	90.48%
Housing Rehabilitation	\$1,466,434	3.59%	0	
Drainage	\$537,334	1.31%		
Streets	\$4,210,189	10.3		
Fire Stations/Equipment	\$377,827	<1%		
Neighborhood Facilities	\$94,074	<1%		
Parks, Recreational Facilities	\$279,884	<1%		
Other Facilities	\$274,000	<1%	-	-
Administration	\$3,394,281	8.3%	\$180,850	9.52%
Total	\$40,873,411	-	\$1,899,122	-
All Construction Dollars	\$37,479,130	91.7%	\$1,718,272	90.48%
Basic Human Needs	\$31,705,822	77.57%	\$1,718,272	90.48%

ECONOMIC DEVELOPMENT

Provide funds for economic development and business expansion in rural communities. Fund economic development projects that create or retain jobs.

In PY2014, CDBG funded 41 contracts under the Texas Capital Fund for a total of \$15,783,359 in CDBG assistance. The \$12,450,219 (excluding administrative costs) obligated for real estate and infrastructure projects is expected to create or retain 878 jobs in rural communities, with at least 51% of those jobs created or retained by low- and moderate-income workers. The estimated average cost per job created or retained through these contracts is \$14,180.20. An additional \$2,039,300 (excluding administrative costs) was awarded to contracts under the Main Street Program and the Downtown Revitalization Program to stimulate economic development in rural Texas downtown areas.

For existing contracts that were closed during the reporting period, the Texas Capital Fund provided economic development assistance to 18 communities, expending \$4,915,755 in CDBG funds and \$4,150,341 in matching funds.

Six of the closed contracts provided \$3,326,083 for infrastructure or real estate to create or retain 228 jobs with 187 of the new jobs created or retained by low or moderate income workers. Contracts funded under CDBG economic development are required to create or retain one job for every \$25,000 in CDBG funds expended. The 6 contracts closed during PY 2014 expended \$14,588.08 for each job created.

In addition to job creation activities, the Texas Capital Fund expended \$1,004,672 in 8 communities under the Downtown Revitalization Program, and \$585,000 in 4 communities under the Main Street Program.

HOUSING IMPROVEMENTS

Provide assistance to low to moderate income households by providing direct housing rehabilitation and infrastructure to support affordable housing.

CDBG administers housing assistance contracts that provide rehabilitation, acquisition, and provision of other facilities through the Community Development Fund and the Colonia Fund. These contracts include a maximum cost of \$25,000 for each rehabilitated housing unit according to Texas CDBG Program requirements. For PY 2014, CDBG did not receive any applications for housing rehab. Housing rehabilitation remains an eligible activity and the RRCs are encouraged to set aside funds for housing rehabilitation projects. However, for PY 2014, CDBG did not receive any applications or make any awards for this activity.

Displacement for these contracts is limited to voluntary participants and displacement costs are not eligible for reimbursement or included in project narratives. All households, businesses, or other entities impacted by a CDBG-assisted housing project, along with their needs and preferences, were identified by the locality during the homeowner application process, and only those homeowners choosing to participate were displaced in any way.

Provision of house-to-line connections for first-time water or sewer services is the most common housing rehabilitation activity in the Texas CDBG Program. During PY 2014, CDBG funded 28 contracts through four different grant programs to provide water or sewer services on private property, including installing new water and sewer yardlines, replacing yardlines, and installing on-site sewer facilities. The \$3,483,564 in CDBG assistance is expected to benefit 1,849 low to moderate income persons. Private property improvements installed to benefit persons that are not low to moderate income may be included in the project but must be funded through local or other private funds.

COLONIA IMPROVEMENTS

Provide support for colonia communities, including funding for public improvements through a Colonia Construction Fund and Colonia Economically Distressed Areas Program, funding for planning through a Colonia Planning Fund, and Self-Help Centers established in border counties.

The Colonia Fund is the second largest program administered by Texas CDBG. In 2014 CDBG funded 12 Colonia Fund-Construction (CFC) projects totaling \$5,499,661 which will benefit 3,223 persons, of which 2,711 are low- to moderate income persons.

All CFC funds awarded in PY 2014, excluding local administrative costs, address basic human needs. The CFC contracts provided \$1,335,130 in housing rehabilitation activities including first time public water or public sewer facilities replacement of failing on-site sewer facilities, and housing repairs. These twelve contracts are expected to benefit 523 low- to moderate-income persons.

No applications were received in PY 2014 for CEDAP, and no funds were awarded under this program. The CEDAP funding is partnered with a specific state funding source, the Economically Distressed Areas Program through the Texas Water Development Board, and CEDAP funds cannot be requested unless a project in a colonia and also funded through EDAP is ready to proceed with house-to-line connections.

Very few EDAP-funded projects met these criteria during PY 2014, and those that did used other funding sources for the house-to-line connections. TDA is exploring opportunities to expand the partnerships available for CEDAP funding.

A rider to TDA’s state appropriation retains 2.5% of the total CDBG appropriation for the operation of colonia self-help centers in seven border counties, in addition to the 10% federally mandated colonia set-aside. The activities of the self-help centers are overseen by the Texas Department of Housing and Community Affairs Office of Colonia Initiatives (TDHCA-OCI). Three border field offices, operated by TDHCA-OCI staff and supported in part by CDBG funds, are located in El Paso, Edinburg and Laredo to provide technical assistance to area residents and other interested parties. The TDHCA-OCI staff continues to provide technical assistance and disseminate information regarding available programs administered by TDHCA that could assist in addressing colonia issues and other local priority needs.

Colonia Fund Activities, Contracts Awarded in PY 2014

Activity	CFC	CSH	Colonia Fund Total	% of Colonia Fund Total
Water/Sewer Facilities	\$3,738,941	-	\$3,738,941	52.66%
Housing Rehabilitation	\$1,335,130	\$682,000	\$2,017,130	28.41%
Other	-	\$678,000	\$678,000	9.55%
Administration	\$425,590	\$240,000	\$665,590	9.37%
Total	\$5,499,661	\$1,600,000	\$7,099,661	
Basic Human Needs	\$5,074,071	\$682,000	\$5,756,071	81.08%
All Construction Dollars	\$5,074,071	\$1,360,000	\$6,434,071	90.63%

DISASTER RELIEF / URGENT NEED

Provide assistance for the recovery from natural disasters and fund projects that resolve threats to the public health and/or safety of local residents in rural areas.

During this reporting period, 25 grants were awarded for Disaster Relief/Urgent Need Fund projects. Ten grants were awarded to address drought conditions, while 15 addressed damage cause by flooding, ice storms, or wildfire. The \$7,623,941 obligated for these contracts will provide urgently needed assistance or alleviate the impacts of natural disasters for 84,778 Texans. An estimated 36,666 of the total beneficiaries for these projects are persons with low and moderate income.

PLANNING

Provide assistance to local governments in rural areas, emphasizing planning activities that primarily address problems in the areas of public works and housing assistance.

Texas CDBG awarded 20 grants totaling \$690,193 for planning and capacity building projects. These projects are expected to benefit 30,119 persons including 16,648 low- and moderate-income persons. The 2014 planning projects primarily address public works and housing planning elements and leverage an estimated \$109,568 in other funding.

Persons with Disabilities

TDA accomplished the following to address the needs of persons with disabilities during PY 2014:

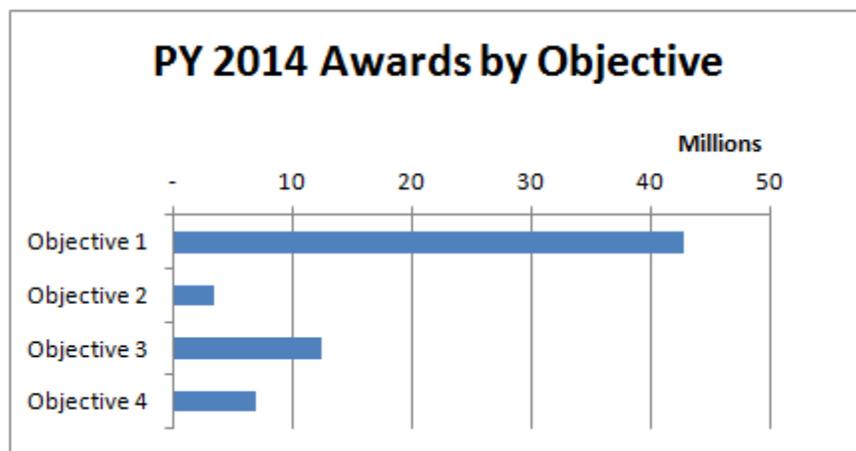
- Localities wishing to address the needs of persons with disabilities may include removal of architectural barriers as an eligible activity in an application for CDBG assistance under the CD Fund. Housing rehabilitation assistance may also be requested to make the homes of beneficiaries accessible.
- In PY 2014, funds awarded under the Texas Capital Fund Main Street and Downtown Revitalization Program provided accessible ramps and sidewalks among other improvements.
- Texas CDBG and all grantees are required to comply with federal and state non-discrimination regulations and monitored for Section 504 compliance.

RELATIONSHIP BETWEEN USE OF FUNDS AND PROGRAM OBJECTIVES

The purpose of the Texas CDBG continues to be the development of viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities, principally for persons of low and moderate income. The funding allocations among the CDBG programs and the activities funded within those programs reflect the following state development objectives and priorities:

The objectives of the Texas CDBG Program are as follows:

- Objective 1: To improve public facilities to meet basic human needs, principally for low and moderate income persons.
- Objective 2: To improve housing conditions, principally for persons of low and moderate income.
- Objective 3: To expand economic opportunities by creating or retaining jobs, principally for low and moderate income persons.
- Objective 4: To provide assistance and public facilities to eliminate conditions hazardous to the public health and of an emergency nature.



Note: Activities may meet more than one objective.

The largest percentage of the funds obligated during this period were used to address Objective 1, the *basic human needs* of water, sewer, and housing. Objective 2 *housing conditions* is addressed through several funds according to local priorities. Objective 3 *job creation and retention* was addressed under the

Texas Capital Fund. Through the Disaster Relief/Urgent Need Fund, the State continues to address Objective 4 *disaster relief* to provide assistance to meet the needs resulting from the disaster situations that impact Texas during each program year. The graph above charts CDBG funds according to the objective(s) met by funded activities.

CHANGES IN PROGRAM OBJECTIVES

The Proposed 2015 Action Plan was included in the 2015-2019 Consolidated Plan, which was for the Texas CDBG Program was presented for public comment at four (4) public hearings that took place in Austin, Ft. Worth, Harlingen, and San Antonio.

Public comments were received, which are included and addressed in the Consolidated Plan document. The TDA does not intend to make any changes to the program objectives described above for PY 2015.

PROGRAM CHANGES BASED ON EXPERIENCES

The following changes were implemented during the program year to further improve the program:

Revision of Texas Administrative Code rules

Effective January 1, 2015, TDA revised its published rules to make the following changes to the Texas CDBG programs:

Texas Capital Fund - Real Estate / Infrastructure:

- Simplified application process to include one application rather than a two step submittal process.
- Improved scoring methodology to target projects with greater economic impact and prioritize applicants with new job creation and greater job related need.
- Increased minimum award from \$50,000 to \$100,000

Texas Capital Fund - Downtown Revitalization/Main Street Program:

- Revise annual program allocations to use a percentage of the total Texas CDBG allocation rather than a fixed amount per year.
- Revise and integrate DRP/MSP scoring criteria to eliminate confusing and incidental scoring criteria.

Disaster Relief / Urgent Need Fund:

- DR/UN contracts are reduced from two year contracts to one year duration to ensure project planning prior to award of grant funds and expeditious completion of the roject to address disaster conditions.

Community Enhancement Fund

- New funding category established, to be implemented in PY 2015, designed to enhance the overall quality of life for all residents within a community and fund projects not typically prioritized under the CD Fund.

The following changes are underway or represent potential changes from existing initiatives:

- **Positive working relationships with program stakeholders**

CDBG staff is part of several interagency workgroups with a focus on infrastructure improvement grants across Texas. The groups are working toward greater cooperation among the funding agencies on complex projects requiring funding or approval from two or more sources.

- **Technical Assistance** CDBG staff provided training for communities and administrative consultants throughout the state, including:

- Drought-focused Disaster Relief Fund application workshops, in coordination with other state and federal agencies;
- Application Workshops for the Community Development Fund, Colonia Fund Construction, Texas Capital Fund – Real estate and Infrastructure Programs, and Texas Capital Fund – Main Street and Downtown Revitalization Programs.
- Training and technical assistance to 24 Regional Review Committees that establish scoring criteria and score the CD Fund applications, to ensure a method of local scoring that meets all HUD requirements.
- 2014 Implementation and Administrator Certification Workshops: and
- Policy Issuances to the CDBG Projection Implementation Manual, related to financial documentation, economic development documentation, and other procedural improvements.

- **Closeout of Programs Years**

With the PY 2014 PER, TDA will request the closeout of PY 1996. The closeout request will include the return of a very small amount of funds that had been deobligated from a previous Contract.

HUD PERFORMANCE MEASURES

Texas CDBG has implemented the HUD Performance Outcome Measurement System Applications submitted for PY 2014 application and closeout documents submitted during PY 2014 were required to identify the Objective (1. Creating Suitable Living Environments; 2. Providing Decent Affordable Housing; or 3. Creating Economic Opportunities) and the Outcome (1. Availability/Accessibility; 2. Affordability; or 3. Sustainability) addressed by the project.

The table below shows the performance measures identified for activities awarded in PY2014:

Performance Measures, Activities Awarded in PY 2014

Performance Measure Identified	Proportion of Activities - Projected	Proportion of Activities - Awarded
<i>Activities to create Suitable Living Environments</i>	-	-
through Availability/Accessibility	42.20%	44.51%
through Affordability	16.80%	<1%
through Sustainability	29.90%	34.8%
	88.80%	79.62%
<i>Activities to provide Decent Housing through Affordability</i>	-	
through Affordability	0.40%	1.25%
	0.40%	1.25%
<i>Activities to create Economic Opportunities</i>	-	-
through Availability/Accessibility	4.50%	-
through Affordability	1.90%	-
through Sustainability	4.50%	19.12%
	10.80%	19.12%

MINORITY OUTREACH

The Texas Department of Agriculture and its individual units have been successful in hiring qualified minority staff.

- The minority labor force percentage for all Statewide Agencies, provided by the biennial report-- Texas Workforce Commission 2013-2014 Equal Employment Opportunity and Minority Hiring Practices Report (January 2015) is 38.95 percent; the Texas Department of Agriculture percentage of minority employees is 39.03 percent.
- The female labor force percentage for all Statewide Agencies is 57.27 percent; the Texas Department of Agriculture percentage of female employees is 52.14 percent.

	African American #	African American %	Hispanic American #	Hispanic American %	Female #	Female %
State Agencies	63,032	17.75%	75,282	21.20%	203,354	57.27%
T.D.A	82	12.08%	183	26.95%	354	52.14%

Summary of Minority Business Enterprise Activities

The Texas Comptroller of Public Accounts (“the Comptroller Office”) provides business services including maintaining the Centralized Master Bidders List, which includes the Historically Underutilized Businesses (HUB) List, as well as a list dedicated only to HUB listings. All Contractor localities can obtain a copy of this list of minority-owned businesses through the Comptroller’s Office and its website. These businesses have been certified through the State’s HUB Certification Program. Contact numbers and website addresses are included in the CDBG Implementation Manual.

The directory can assist CDBG contractors in identifying minority- and women-owned businesses that provide goods and services in their immediate area and in the state. The online directory also provides an

opportunity for local minority- and women-owned businesses to sign-up for HUB certification through the Internet.

The Texas CDBG Program continues to require that all grantees submit Minority Business Enterprise information, including gender information, on each contract over \$10,000 as those contracts are executed. Instructions for reporting CDBG contractors are provided in the CDBG Project Implementation Manual. The information from these reports is compiled and reported annually to the HUD Regional Office in Fort Worth.

The state reviews the performance of all CDBG grantees and monitors the compliance with the required civil rights laws. All bid documents and contracts must contain equal opportunity provisions and Section 504 requirements must be in place to avoid discrimination on the basis of disability. Grantees and contractors must also comply with Section 3 requirements to encourage economic opportunities for local low- to moderate-income persons and businesses.

CDBG staff closely monitors the Contractor files for the following program requirements:

- Were equal opportunity guidelines followed in advertising vacancies, such as posting job vacancies and including equal opportunity language?
- Does the city/county have a written Section 3 Policy (or equivalent)? Is it followed? Has Section 3 information been properly reported?
- Did the city/county implement procedures that allow individuals with disabilities to obtain information concerning the existence and location of accessible services, activities and facilities?
- Did the city/county adopt 504 grievance procedures that incorporate due process standards and allow for prompt resolution of complaints?
- Has the city/county adopted and enforced a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individual engaged in nonviolent civil rights demonstrations, and a policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstration within its jurisdiction?
- Has the city/county provided in the closeout reports the final beneficiaries for the project beneficiaries broken out, by race, ethnicity, gender, and low/moderate income status?

If evidence of the above program requirements was not found in the files, the locality is allowed 30 days to provide the information, or complete the activity and submit proof of compliance.

Minority Business Enterprise Participation October 1, 2013 to September 30, 2014*

Funds/Contracts	Subcontracted by CDBG Grant Recipients	Subcontracted to MBEs	% Subcontracted to MBEs
Amount of Funds	\$69,671,586	\$10,113,164	14.52%
Number of Contracts	662	72	10.88%

* Reported on a fiscal year basis

HOMELESS: EMERGENCY SOLUTIONS GRANTS PROGRAM

TDHCA has administered the ESG Program since its inception.

The state's strategy to help homeless persons and persons at risk of homelessness includes:

- community outreach efforts to ensure that homeless persons and persons at risk of homelessness are aware of available services;
- provision of funding to support emergency shelter and permanent housing programs;
- helping homeless persons make the transition to permanent housing and independent living through comprehensive case management;
- provision of assistance to prevent homelessness; and
- supporting efforts to address and prevent homelessness.

Emergency shelter and permanent housing needs of homeless persons are addressed by utilizing ESG grant funds to provide support to organizations that provide emergency services, shelter, and permanent housing to homeless persons and families. ESG subrecipients assess the needs of homeless persons and those persons assisted to prevent homelessness through a case management system. To ensure that homelessness prevention funds are used appropriately and efficiently, ESG subrecipients are encouraged to maximize all community resources when providing homelessness prevention assistance.

The ESG focuses greater attention on preventing homelessness and re-housing persons who are currently homeless by providing limited support to organizations for emergency services and shelter and placing greater emphasis on homelessness prevention and rapid re-housing.

The objectives of the ESG Program are to:

- assist in meeting the cost of operating and maintaining emergency shelters;
- provide essential services so that homeless individuals and families have access to the assistance they need to improve their situations;
- provide emergency intervention assistance to prevent homelessness for individuals and families at risk of homelessness; and
- provide intervention to rapidly re-house homeless individuals and families.

DESCRIPTION OF RESOURCES

This section describes ESG funding that was available for FPY 2014.

PY 2013 State ESG Allocation

Total 2012 State ESG Allocation	\$ 6,944,311
State Administration (\$)	
Shared Administration	\$260,412
Reserved State Administration	\$260,412
Regional Obligation	\$6,423,487
Re-obligated ESG funds	\$0
Total Funds Obligated (does not include administrative funds)	\$6,423,487

PY 2014 State ESG Allocation

Total 2013 State ESG Allocation	\$8,239,076
State Administration (\$)	
Shared Administration	\$312,837
Reserved State Administration	\$305,093
Regional Obligation	\$7,774,792.50
Re-obligated ESG funds	\$1,612,257.59
Total Funds Obligated (does not include administrative funds)	\$9,387,050.09

FPY 2014 Activities

The following activities are performed with ESG PY 2013 and 2014 funding obligated in FPY 2014

- Provision of Street Outreach, including (but not limited to):
 - a. engagement (contact with homeless persons where they live and congregate); and
 - b. case management.
- Provision of Emergency Shelter and essential services^{*}, including (but not limited to):
 - a. case management;
 - b. food cards for homeless clients;
 - c. medical and psychological counseling and supervision;
 - d. employment counseling;
 - e. nutritional counseling;
 - f. substance abuse treatment and counseling;
 - g. assistance in obtaining other federal, state, and local assistance;
 - h. other services such as child care, transportation, job placement, and job training; and staff salaries necessary to provide the above services.
- Payment of maintenance, operation, and furnishings costs.
- Provision of Homelessness Prevention, Rapid Re-housing, and Housing Relocation and Stabilization services, including but not limited to:

- a. Short and medium-term rental assistance;
 - b. Financial assistance to pay housing owners, utility companies, and other third parties;
 - c. housing placement;
 - d. assistance in obtaining other federal, state, and local assistance;
 - e. other services such as child care, transportation, job placement, and job training; and
 - f. staff salaries necessary to provide the above services.
- Developing and implementing homelessness prevention activities as per Sec. 414 of the McKinney-Vento Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act.

*Services must be provided pursuant to Sec. 414 of the McKinney-Vento Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. Sec. 11374), which requires ESG-funded services to be provided in a non-discriminatory manner.

INVESTMENT OF RESOURCES

This section describes ESG funding commitments made with PY 2013 and 2014 funding obligated in FPY 2014

PY 2013 and 2014 Funding Commitments

ESG funds received for PY 2013 were awarded in June 2013. The State ESG contracts using PY 2013 funds began expending funds on October 1, 2013, and ended December 31, 2014. For PY 2013, ESG committed \$6,683,901 through 23 grants, including shared administrative funds.

PY 2013 State ESG Funding Commitments

Funding Category	Funding Commitments
Contract Dates	10/1/13- 12/31/14
Number of Grant Recipients, Statewide	23
State ESG Allocation	\$ 6,944,311
State Administration	\$260,412
Funds Committed	\$ 6,683,901
Re-obligated ESG Funds	\$0
Total Allocated	\$ 6,683,901

ESG funds received for PY 2014 were awarded in June 2014. The State ESG contracts using PY 2014 funds began on October 1, 2014, and will end September 30, 2015. For PY 2014, ESG committed \$9,387,050.09 through 30 grants, including shared administrative funds.

PY 2014 State ESG Funding Commitments

Funding Category	Funding Commitments
Contract Dates	10/1/14-9/30/15
Number of Grant Recipients, Statewide	30

Funding Category	Funding Commitments
State ESG Allocation	\$ 8,239,076
State Administration	\$305,093
Funds Committed	\$7,774,792.50
Re-obligated ESG Funds	\$1,612,257.59
Total Allocated	\$9,387,050.09

PY 2013 State ESG Funding Commitments by Activity

(10/1/13-12/31/14)

Activity	Funding Amount	Percentage
Administration (shared with subrecipients)	\$ 221,340	3.31%
Emergency Shelter	\$ 2,104,469	31.49%
HMIS	\$ 399,271	5.97%
Homelessness Prevention	\$ 1,353,999	20.26%
Rapid Re-Housing	\$2,145,501	32.10%
Street Outreach	\$459,320	6.87%
Total Funds Committed	\$6,683,901	100%

PY 2014 State ESG Funding Commitments by Activity

(10/1/14-09/30/15)

Activity	Funding Amount	Percentage
Administration (shared with subrecipients)	\$321,800	3.54%
Emergency Shelter	\$2,942,980.72	32.39%
HMIS	\$505,803.32	5.57%
Homelessness Prevention	\$1,733,494.78	19.08%
Rapid Re-Housing	\$3,008,286.69	33.17%
Street Outreach	\$574,171.64	6.32%
Total Funds Committed	\$9,387,050.09	100%

Matching Requirements

As stated in 24 CFR §576.201 of the ESG regulations, each grantee must match the funding provided by HUD. These matching funds must be provided after the date of the grant award to the grantee. TDHCA has each subrecipient responsible for the match requirement, but makes available \$100,000 for those subrecipients least able to obtain match. Match must be provided in an amount equal to or greater than the grant award. ESG applicants identify the source and amount of match they intend to provide if they are chosen for funding. Subrecipients report monthly on the amount of match provided. TDHCA's Compliance Division monitors ESG subrecipients and reviews the match documentation during each monitoring visit. TDHCA also conducts a desk review at the close out of each contract to ensure that each ESG subrecipient has provided an adequate amount of match during the contract period.

The following tables reflect match contributions.

Match Contributions for PY 2013 ESG funds

(10/01/13 – 12/31/14)

Source	Dollar Value
Fees	
Local Government	\$413,802.03
Other	\$1,474,142.38
Other Federal Funds	\$572,326.24
Other Non-ESG HUD Funds	\$467,546.94
Private Funds	\$1,752,828.55
Program Income	\$101,762.07
State Government Funds	\$267,061.26
Total	\$5,049,469.47

Note: Some match contributions were reported on the FPY2013 report.

Match Contributions for PY 2014 ESG funds

(10/01/14- 09/30/15)

Source	Dollar Value
Fees	
Local Government	\$7,903.24
Other	\$900,587.03
Other Federal Funds	\$429,885.26
Other Non-ESG HUD Funds	\$216,340.74
Private Funds	\$615,929.87
Program Income	\$20,000.00
State Government Funds	\$105,226.18
Total	\$2,295,872

Note: Match contributions for PY 2014 are only partially reported because the amount reported only covers 5 months of a 12 month contract.

Continuum of Care Activities and Input on Performance Measures

Pursuant to 24 CFR Part578, the Interim Continuum of Care (“CoC”) Program Rule, CoCs must be established according to HUD requirements prior to the start of FPY 2015. To assist Texas CoCs in meeting this requirement, TDHCA provided Community Services Block Grant (“CSBG”) discretionary funds to the Texas Homeless Network (“THN”) to provide statewide technical assistance and training to CoCs. Through this grant, the state will:

- Determine current capacity of each CoC;
- Assess current level of compliance with 24 CFR Part578;
- Assess training and technical assistance needs; and
- Provide training and technical assistance needed resulting in full compliance with 24 CFR Part578.

TDHCA continues to consult with CoCs regarding all facets of ESG. On January 9, 2014, TDHCA published a survey to seek comments from Continuum of Care members in the State of Texas on the

topics of allocation of funding, performance standards and Management Information System (“HMIS”) policies and procedures. A total of 14 organizations from 7 different CoCs provided input. TDHCA carefully reviewed all input received and revised the 2014 ESG Program Notice of Funding Availability (“NOFA”) and program regulations where applicable. The comments will be revisited as TDHCA continues to improve upon its program design.

HMIS Requirements

In applications submitted to TDHCA for the PY 2013 and 2014 ESG, applicants were required to certify that the applicant organization will meet HUD’s standards for participation in a local HMIS and the collection and reporting of client-level information. TDHCA requires all ESG subrecipients receiving HUD McKinney-Vento Act Program funds which are located in a Continuum of Care jurisdiction to participate in an HMIS. Pursuant to 24 CFR §576.107 of the ESG regulations, an exception is made for victim services providers and legal services providers which allows them to report client data using a comparable database. ESG subrecipients located in a Continuum of Care jurisdiction must coordinate and report client-level data to the administrator for the Continuum of Care coalition in their area. Furthermore, TDHCA has notified ESG subrecipients that failure to coordinate with appropriate contacts to facilitate the HMIS or comparable system implementation may result in withholding of future ESG contract funds.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2013 and 2014 funds were distributed and the location of ESG awards. TDHCA administers the funds in a manner consistent with the McKinney-Vento Act, as amended (42 USC Sec 11371 *et seq.*). According to 24 CFR §576.203, states must obligate the entire ESG grant, minus the state’s administrative portion, within 60 days from the date that HUD signs the grant agreement. In order to comply with these deadlines, TDHCA begins the application process several months in advance of receiving the dated grant award from HUD. Contracts are typically issued for a 12 month period in order to ensure that the full allocation is spent within 24 months of the time the funds are awarded to subrecipients. If any funds remain unexpended after the contract period or program income is earned outside this twelve month period, the remaining funds may be used to make additional awards to entities already awarded ESG funds.

Fund Distribution Methodology

TDHCA obligated PY 2013 ESG funds to 23 projects through a statewide competitive application process. In order to more closely relate the state’s funding strategy to CoC needs, TDHCA reserved ESG funds for each of the HUD-designated CoC Regions. Funds were allocated to each region using a formula based on a combination of the region’s proportionate share of the state’s total homeless population based on the 2012 Point-in-Time count submitted to HUD by the CoCs, and the region’s proportionate share of people living in poverty as reported by the US Census Bureau’s American Community Survey (“ACS”) 2011 5-year data. The homeless percentage was weighted at 75 percent and the poverty percentage 25 percent. TDHCA awarded funds to units of general local government and to private nonprofit organizations.

TDHCA obligated PY 2014 ESG funds to 30 projects through the combination of a statewide competitive application process and a direct award to one Texas CoC. In order to more closely relate the state's funding strategy to CoC needs, TDHCA reserved ESG funds for each of the HUD-designated CoC Regions. Funds were allocated to each region using a formula based on a combination of the region's proportionate share of the state's total homeless population based on the 2013 Point-in-Time count submitted to HUD by the CoCs, and the region's proportionate share of people living in poverty as reported by the ACS 2011 5-year data. The homeless percentage was weighted at 75 percent and the poverty percentage 25 percent. TDHCA awarded funds to units of general local government and to private nonprofit organizations. As part of a pilot project, TDHCA awarded the amount of funds set aside for the Fort Worth/Arlington/Tarrant County CoC to the Tarrant County Homeless Coalition, the lead agency for the CoC. TDHCA is investigating ways to increase local coordination through the awards of ESG funds.

In awarding PY 2013 and 2014 ESG funds, TDHCA established funding guidelines at a minimum of \$125,000 (or up to the maximum amount available in the region if the available amount is less than \$125,000) and a maximum of \$150,000, with collaborative projects awarded up to \$600,000. TDHCA imposed a limit of up to 60 percent of the PY 2013 and 2014 ESG budgets for street outreach and emergency shelter combined. TDHCA made available 3.75 percent for operations administration for collaborative applicants and 3.25 percent for single applicants, and the remainder of the funds for rehabilitation, maintenance and operations.

PY 2013 Regional Funding Distribution

CoC Number	CoC Name	Fund Distribution by CoC Region*
TX-607	Texas Balance of State	\$2,317,784
TX-700	City of Houston/Harris County	\$1,441,956
TX-600	Dallas City & County/ Irving	\$671,102
TX-500	San Antonio/ Bexar County	\$548,967
TX-601	Fort Worth/Arlington/Tarrant County	\$398,481
TX-503	Austin/Travis County	\$385,248
TX-603	El Paso City & County	\$257,958
TX-703	Beaumont/Port Arthur/South East Texas	\$213,179
TX-501	Corpus Christi/ Nueces County -	\$132,419
TX-611	Amarillo	\$72,044
TX-604	Waco/McLennan County	\$63,043
TX-701	Bryan/College Station/Brazos Valley	\$59,998
TX-624	Wichita Falls/Wise, Palo Pinto, Wichita, Archer Counties	\$48,563
TX-504	Victoria/Dewitt, Lavaca, Gonzales Counties	\$38,436
	Total	\$6,649,178

* This represents the amount of PY 2013 ESG funds awarded in PY 2013. The amount includes \$260,412 of state administration funds shared with awardees.

PY 2014 Regional Funding Distribution

CoC Number	CoC Name	Fund Distribution by CoC Region
TX-500	San Antonio/ Bexar County	\$902,287.00
TX-503	Austin/Travis County	\$399,879.00
TX-600	Dallas City & County/ Irving	\$703,765.00
TX-601	Forth Worth/Arlington/Tarrant County	\$665,328.00
TX-603	El Paso City & County	\$371,716.65
TX-604	Waco/McLennan County	\$91,531.93
TX-607	Texas Balance of State**	\$3,812,625.51
TX-611	Amarillo	\$92,024.00
TX-624	Wichita Falls/Wise, Palo Pinto, Wichita, Archer Counties	\$0
TX-700	City of Houston/Harris County	\$2,147,224
TX-701	Bryan/College Station/Brazos Valley	\$16,565.00
TX-703	Beaumont/Port Arthur/South East Texas	\$184,104.00
		\$9,387,050.09

Award Locations

ESG awards were made in the following areas of the state. The amounts reported reflect actual allocations per contract cycle.

PY 2013 ESG Awards by CoC Region

Legal Name of Applicant	CoC Number	City	Award Amount
Advocacy Outreach	TX-607	Elgin	\$302,315.00
Alliance of Community Assistance Ministries, Inc.	TX-700	Houston	\$587,505.00
City of Beaumont	TX-703	Beaumont	\$215,493.00
City of Denton	TX-607	Denton	\$497,011.00
Faith Mission & Help Center, Inc.	TX-607	Brenham	\$149,900.00
Family Abuse Center, Inc.	TX-604	Waco	\$63,043.00
Family Place, The	TX-600	Dallas	\$602,315.00
Family Violence Prevention Services, Inc.	TX-500	San Antonio	\$150,000.00
Friendship of Women, Inc.	TX-607	Brownsville	\$567,898.00
La Posada Providencia	TX-607	San Benito	\$295,616.00
Matagorda County Women's Crisis Center	TX-607	Bay City	\$302,315.00
Mid-Coast Family Services, Inc.	TX-504	Victoria	\$38,436.00
Northwest Assistance Ministries	TX-700	Houston	\$452,315.00
Project Vida	TX-603	El Paso	\$260,273.00
SafeHaven of Tarrant County	TX-601	Hurst	\$250,795.00
Salvation Army - Corpus Christi	TX-501	Corpus Christi	\$132,419.00
Salvation Army Fort Worth Mabee Center	TX-601	Fort Worth	\$150,000.00
San Antonio Family Endeavors, Inc.	TX-500	San Antonio	\$452,315.00
Service of the Emergency Aid Resource Center for the Homeless	TX-700	Houston	\$452,315.00
Twin City Mission	TX-701	Bryan	\$62,313.00
Women's Center of East Texas, Inc.	TX-607	Longview	\$138,296.00
Women's Shelter of East Texas, Inc.	TX-607	Lufkin	\$125,000.00
Youth and Family Alliance dba LifeWorks	TX-503	Austin	\$436,013.00
TOTAL			\$6,683,901.00

*Contract period 10/1/2013-9/30/2014. The amount includes \$260,412 of state administration funds shared with awardees.

PY 2014 ESG Awards by CoC Region

Legal Name of Applicant	CoC Number	City	Award Amount
Advocacy Outreach	607	Elgin	302,288.00
Alliance of Community Assistance Ministries, Inc.	700	Houston	602,288.00
Bridge Over Troubled Waters, Inc., The	700	Pasadena	452,120.00
Center Against Family Violence	603	El Paso	147,550.00
City of Amarillo	611	Amarillo	92,024.00
City of Beaumont	703	Beaumont	184,104.00
City of Denton	607	Denton	625,401.00
Corpus Christi Hope House, Inc.	607	Corpus Christi	130,690.00
Family Abuse Center, Inc.	604	Waco	91,531.93
Family Place, The	600	Dallas	602,288.00
Friendship of Women, Inc.	607	Brownsville	543,994.00
Houston Area Women's Center Inc.	700	Houston	300,000.00
La Posada Providencia	607	San Benito	566,541.00
Matagorda County Women's Crisis Center	607	Bay City	302,288.00
Mid-Coast Family Services, Inc.	607	Victoria	144,575.51
Northwest Assistance Ministries	700	Houston	340,528.00
Project Vida	603	El Paso	74,166.65
SafeHaven of Tarrant County	601	Hurst	165,179.00
Salvation Army - Corpus Christi	607	Corpus Christi	319,869.00
Salvation Army - El Paso	603	El Paso	150,000.00
Salvation Army - Tyler	607	Tyler	602,288.00
San Antonio Family Endeavors, Inc.	500	San Antonio	452,288.00
San Antonio Metropolitan Ministry, Inc.	500	San Antonio	449,999.00
Service of the Emergency Aid Resource Center for the Homeless	700	Houston	452,288.00
Shared Housing Center, Inc.	600	Dallas	101,477.00
Shelter Agencies for Families in East Texas, Inc.	607	Mt. Pleasant	149,691.00
Tarrant County Homeless Coalition	601	Fort Worth	500,149.00
Twin City Mission	701	Bryan	16,565.00
Women's Shelter of East Texas, Inc.	607	Longview	125,000.00
Youth and Family Alliance dba LifeWorks	503	Austin	399,879.00

Note: The awards for City of Beaumont and Denton include some ESGP funding.

FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with ESG funds.

Anticipated Households Served with PY 2013 and 2014 Funding

The ESG Program does not project the number of anticipated households to be served. Please see the next section for information on the actual number of persons served in FPY 2014.

Actual Households Served in FPY 2014

This section reports on the actual households served in FPY 2014 (February 1, 2014, through January 31, 2015) through current contracts encompassing two program years: PY 2013 and PY 2014. These contracts were originally awarded in 2013 and 2014, and assisted persons during the FPY 2014 reporting period. There were 20,361 total beneficiaries reported during the reporting period. Of those served, 18,636 were homeless and 1725 persons received non-residential services, including homelessness prevention assistance.

Persons Assisted with PY 2013 ESG (period covered 02/01/2014 – 01/31/2015)

Activity	Unduplicated Persons Served	Total Funds Expended
Street Outreach	1,858	314,454.07
Emergency Shelter	13,343	1,505,341.15
Homelessness Prevention	2,599	1,094,946.82
Rapid Re-Housing	1,944	1,581,052.92
HMIS		271,581.87
Administration		134,433.99
Total	19,744	4,901,810.82

Note: Total Unduplicated Persons is an unduplicated count among all categories of assistance

Persons Assisted with PY 2014 ESG (period covered 02/01/2014 – 01/31/2015)

Activity	Unduplicated Persons Served	Total Funds Expended
Street Outreach	1,653	133,824.19
Emergency Shelter	8,035	885,543.39
Homelessness Prevention	1,274	478,985.12
Rapid Re-Housing	1,121	588,766.86
HMIS		111,664.26
Administration		109,720.35
Total	12,083	2,308,504.17

Note: Total Unduplicated Persons is an unduplicated count among all categories of assistance

The table below reports program performance measures as required by HUD CPD guidelines. ESG Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard.

Performance Measures, PY 2014

Outcomes and Objectives	Performance Indicators	Expected Number of Persons	Actual Number of Persons
SL-1 Availability/ Accessibility and Create a Suitable Living Environment	Provide funding to support the provision of emergency and/or transitional shelter to homeless persons	9,585	1,688
DH-2 Affordability and Provide Decent Housing	The provision of non-residential services including homelessness prevention assistance	4,543	2,898

Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of the 32,050 total individuals receiving assistance in PY 2013 and 2014 is reported below.

Racial Status of Persons Assisted in FPY 2014

(2013 and 2014 ESG for the time period of 2/1/14-1/31/15)

Race	Persons Assisted	Percent
White	19,263	60%
Black or African-American	10,357	31%
Asian	151	1%
American Indian or Alaska Native	221	1%
Native Hawaiian or Other Pacific Islander	151	1%
Multi-racial	920	3%
Don't Know/ Refused	566	2%
Information Missing	242	1%
Total	31,871	100%

Of 31,871 total persons, 12,084 persons, or 38percent, are of Hispanic or Latino origin. The breakdown of this population is below.

Ethnicity of Persons Assisted in FPY 2014

(for the time period of 02/01/2014 – 01/3/2015)

Ethnicity	Persons Assisted	Percent
Hispanic/Latino	12,084	38%
Non-Hispanic/Non-Latino	19,254	60%
Don't Know/ Refused	354	1%
Information Missing	115	1%
Total	31,807	100.00%

Income Status of Persons Assisted

Of the 31,807 persons assisted, approximately 100% percent had extremely low incomes.

Income Status of Persons Assisted in FPY 2014

Income Level	Persons Assisted	Percent
Extremely Low Income (0-30% AMFI)	31,807	100
Very Low Income (31-50% AMFI)	0	0
Low Income (51-80% AMFI)	0	0
Moderate Income (81-95% AMFI)	0	0
Higher than 95 AMFI%	0	0
Total	31,807	100%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

Homeless Populations Needs Summary Table

Populations	Priority Need Level
Homeless Population	H
Families	H
Chronic Substance Abusers	H
Seriously Mentally Ill	H
Persons with HIV/AIDS	H
Victims of Domestic Violence	H
Youth	H
Rural	H
General Homeless	H

Subpopulations of Persons Assisted

Populations	Number Served
Chronic Substance Abusers	1,260
Severely Mentally Ill	1,866
Persons with HIV/AIDS	91
Victims of Domestic Violence	8,853
Chronically Homeless	1,867
Persons with Other Disabilities	2,624
Veterans	1,237
Elderly (age 62 and over)	809

Note: Persons may be reported in multiple categories.

The following award recipients targeted several of the priority homeless populations identified above. The table reflects the primary target population; however, the majority of the subrecipients also serve other populations. The exception would be subrecipients who serve domestic violence victims or youth.

Priority Homeless Populations Served by PY 2013 ESG Award Recipients

Target Population	Number of Subrecipients
All Homeless	23
At-Risk Homeless	19
Chronically Homeless	13
Domestic Violence Victims	16
Youth	5
Persons with HIV/AIDS	7
Mentally Ill	
Other single Women who are Homeless	
Other Asylum seekers, Asylees, Immigrants	
Homeless Families	
Other Homeless Men	
Other Women and Children	
Elderly	9
Persons with Disabilities	7
Persons with Alcohol or Other Addictions	7
Veterans	7
Other	2
Total Subrecipients	115

Note: The table represents 2013 allocation and also represents a duplicate count in that a subrecipient may serve more than one target population. “Other” represents subrecipients serving releases/ex-offenders and victims of sexual assault or stalking or child abuse.

Priority Homeless Populations Served by PY 2014 ESG Award Recipients

Target Population	Number of Subrecipients
All Homeless	14
At-Risk Homeless	15
Chronically Homeless	18
Domestic Violence Victims	23
Youth	1
Persons with HIV/AIDS	13
Mentally Ill	16
Other single Women who are Homeless	
Other Asylum seekers, Asylees, Immigrants	
Homeless Families	
Other Homeless Men	
Other Women and Children	
Elderly	17
Persons with Disabilities	20

Target Population	Number of Subrecipients
Persons with Alcohol or Other Addictions	14
Veterans	16
Other	2
Total Subrecipients	169

Note: The table represents 2014 allocation and also represents a duplicate count in that a subrecipient may serve more than one target population. “Other” represents subrecipients serving releases/ex-offenders and victims of sexual assault or stalking or child abuse.

Specific Accomplishments

While TDHCA considers all homeless populations to be a priority, the awards process gives some preference for populations with higher barriers such as severe mental illness and ex-offenders, and to applicants who serve rural areas, ESG funds are awarded on a competitive basis. The services provided by ESG subrecipients during the FPY 2014 period addressed the high priority needs identified above. The information in the table reflects the primary target populations of ESG subrecipients. Most ESG subrecipients also serve other populations and most of the shelters serving all homeless populations would include persons who are mentally ill, persons who are chronic substance abusers, and persons with HIV/AIDS.

Persons with Disabilities

In order to meet the needs of persons with disabilities, TDHCA’s ESG subrecipients must make facilities accessible to persons with disabilities.

ESG subrecipients submit a monthly performance report, and in that report, agencies state the number of persons assisted for the report period who met a variety of identified characteristics, including the number of persons who have physical disabilities. The statewide number of persons with disabilities assisted is 2,624.

HOUSING: HOME INVESTMENT PARTNERSHIPS PROGRAM

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. HOME strives to meet both the short-term goal of increasing the supply and the availability of affordable housing and the long-term goal of building partnerships between State and local governments and, private and nonprofit organizations to strengthen their capacity to meet the housing needs of low income Texans.

DESCRIPTION OF RESOURCES

This section describes HOME funding that was available for PY 2014.

PY 2014 Activities

For PY 2014, TDHCA was allocated \$24,483,424 in HOME funds by HUD.

PY 2014 HOME State Allocation and Funding Plan

Plan	Funding Amount	Percentage of Total Annual Allocation
Total HOME Allocation for PY 2014	\$24,483,424	100%
Less Administration Funds (10 percent of allocation, not subject to Regional Allocation Formula)	\$2,448,342	10%
Less CHDO Project Funds Set Aside (15 percent of allocation, subject to Regional Allocation Formula)	\$3,672,514	15%
Less CHDO Operating Expenses Set Aside (5 percent of CHDO Set Aside, not subject to Regional Allocation Formula)	\$183,626	1%
Less Persons with Disabilities Housing Programs (not subject to Regional Allocation Formula)	\$1,224,171	5%
Less Set Aside for Contract for Deed (CFD) Conversions (not subject to Regional Allocation Formula)	\$2,000,000	8%
Less Funding for Rental Housing Development Program (subject to Regional Allocation Formula)	\$9,548,535	39%
Less Funding for non set aside Single Family activities(subject to Regional Allocation Formula)	\$5,406,236	22%
Estimated Program Income ¹	\$3,000,000	n/a
Total HOME Funds subject to the Regional Allocation Formula	\$18,627,285	n/a

¹Preliminary estimate included in the 2014 One-Year Action Plan, Funding Plan.

PY 2014 Activities

HUD regulations allow the HOME Program to serve a variety of activities such as homeowner rehabilitation, homebuyer assistance, tenant-based rental assistance, single family development, and rental housing development assistance. The PY 2014 allocation funded these activities as described below

Homeowner Rehabilitation Assistance

Rehabilitation or reconstruction cost assistance, in the form of grants or loans, is provided to eligible homeowners for the repair or reconstruction of their existing homes. The homes must be the principal residence of the homeowner prior to assistance and throughout the established affordability period. Housing that is constructed or rehabilitated with HOME funds must meet all applicable local codes, rehabilitation standards, ordinances, and zoning ordinances at the time of project completion. In the absence of a local code for new construction or rehabilitation, HOME-assisted new construction or reconstruction must meet, as applicable, the International Residential Code (“IRC”), Texas Minimum Construction Standards (“TMCS”) and be in compliance with the basic access standards in new construction, established by Texas Government Code §2306.514. This statutory requirement applies to any applicants utilizing federal or state money administered by TDHCA in the construction of affordable single-family homes for low and very-low income households and individuals.

Homebuyer Assistance With or Without Rehabilitation

Down payment and closing costs and contract for deed conversion assistance may be provided to homebuyers for the acquisition of affordable single-family housing based on the household’s needs. This activity may also be used for the following:

- Construction costs associated with architectural barrier removal in assisting homebuyers with disabilities by modifying a home purchased with HOME assistance to meet their accessibility needs.
- Acquisition and rehabilitation costs associated with contract for deed conversions to serve colonia residents.

Eligible homebuyers may receive loans up to \$20,000 per household for down payment and closing costs, in the form of a 2nd or 3rd lien, at zero percent interest, with a 10-year deferred-forgivable loan term. Homebuyer assistance loans are to be repaid at the time of resale of the property, transfer of any interest in the property, lease of the property, default under the terms of the loan, refinance of the first lien, or repayment of the first lien. TDHCA has elected to utilize the recapture provision under 24 CFR §92.254(a)(5)(ii) as its primary method of enforcing compliance with the applicable HOME requirements if a sale or foreclosure occurs or if the owners no longer occupy the property as their principal residence or breach any other HOME requirement. If a situation occurs where the recapture provisions will not apply, TDHCA will utilize and comply with the resale provisions under 24 CFR §92.254(a)(5)(i).

At the completion of the assistance, all properties must meet the Texas Minimum Construction Standards, Housing Quality Standards, or local building codes, as applicable. Compliance with the basic standards in new construction, established by §2306.514 of the Texas Government Code is also required for any applicants utilizing federal or State money administered by TDHCA in the construction of affordable single family homes for low and very-low income families and individuals.

Tenant-Based Rental Assistance

Rental subsidy and security and utility deposit assistance are provided to tenants, in accordance with written tenant selection policies, for a period not to exceed twenty-four months, but may be renewed, for up to an additional thirty-six months total, subject to meeting TDHCA’s program rules and the

availability of HOME funds. TBRA assistance is portable which allows the assisted tenant to live in the dwelling unit of their choice with a right to continued assistance for up to twenty-four months with the condition that assisted families participate in a self-sufficiency program.

Rental Housing Development

Awards for eligible applicants are to be used for acquisition, construction, and rehabilitation of affordable multifamily rental housing. Owners are required to make the units available to extremely low, very low, and low income families, and must meet long-term rent restrictions and maintain property standards.

CHDO Set-Aside

A minimum of 15 percent of the annual HOME allocation is reserved for community housing development organizations (“CHDO”). CHDO set-aside projects are owned, developed, or sponsored by the CHDO, and result in the development of multifamily and single family rental units or homeownership. Development includes projects that have a construction component, either in the form of new construction or the rehabilitation of existing units. Nonprofits applying for single family development funds must apply and qualify for certification as a CHDO at the time of application.

Activities funded in support of Subchapter GG of Texas Government Code Chapter 2306, which was created to provide low interest rate or interest-free loans to promote the development of new, high-quality, residential housing, can be funded under the CHDO set-aside. These activities provide alternatives to substandard colonias, and housing options affordable to individuals and families with extremely low and very low income that would otherwise move into substandard colonias.

Contract for Deed Conversions Set-Aside

In 2009, the 81st Texas Legislature passed Appropriations Rider 6 to TDHCA’s appropriation, which requires TDHCA to set-aside no less than \$4 million for the biennium on contract for deed conversions for families that reside in a colonia, and earn 60 percent or less of the applicable area median family income (“AMFI”) and the home converted must be their primary residence throughout the established affordability period. The intent of this program is to help colonia residents become property owners by converting their contracts for deeds into traditional mortgages. Properties proposed for this initiative must be located in a colonia as defined in Chapter 2306 of the Texas Government Code or as published in TDHCA’s program rules.

Persons with Disabilities Set-Aside

Pursuant to Texas Government Code §2306.111(c)(2), in TDHCA’s administration of federal housing funds provided to the state under the Cranston-Gonzalez National Affordable Housing Act, five percent of annual HOME allocation funds shall be expended for the benefit of persons with disabilities who live in any area of the state. Approximately \$1.2 million of directed assistance for persons with disabilities is eligible for activities including Rental Housing Development, Homeowner Rehabilitation Assistance (“HRA”), Tenant Based Rental Assistance (“TBRA”), and Homebuyer Assistance (“HBA”) with optional rehabilitation activities.

INVESTMENT OF RESOURCES

This section describes HOME funding commitments that were made with PY 2014 funds.

TDHCA receives an annual HOME allocation from HUD, which is then awarded to units of local government, public housing authorities (“PHAs”), local mental health authorities (“LMHA”), CHDOs, Councils of Government (“COGs”) and other nonprofits and for-profits eligible to receive HOME funds from the State. TDHCA provides technical assistance through on-site visits, webinars, and workshops to HOME administrators to ensure that all participants meet and follow the State program rules and federal regulations, and continues to provide technical assistance during the implementation of the HOME programs. Furthermore, TDHCA’s Compliance Division monitors HOME subrecipients for compliance. Such monitoring encompasses on-site monitoring and desk reviews.

At least 95 percent of HOME funds administered by TDHCA must be used in areas that do not receive HOME allocations directly from HUD. Locations that receive HOME allocations directly from HUD are known as participating jurisdictions and are predominantly urban, therefore TDHCA’s HOME program primarily funds rural parts of the state. The Texas state law requirements to use 95 percent in predominantly rural areas and to use 5 percent for persons with disabilities is found at Texas Government Code §2306.111(c) and is sometimes referred to as the “95/5 rule.”

HOME funds are reserved for persons at or below 80 percent of the area median family income as defined by HUD. By HUD regulations, 15 percent of TDHCA’s total HOME allocation must be set aside for CHDOs.

PY 2014 Funding Commitments

During 2014, TDHCA awarded a total of \$31,474,861 in HOME funds, including program income and additional de-obligated funds, in the following manner:

Total Amount of Funding Committed for PY 2014*

Activity	Project Funds Awarded	Admin/Oper Exp. Funds Awarded
Homeownership and Rental Assistance (HRA, HBA, TBRA)	\$19,742,791.58	\$847,189.30
CHDO (15% of Allocation)	\$875,816.00	\$0.00
CHDO Operating Exp. (5% of CHDO)	\$0.00	\$50,000.00
MF Rental Housing Development Program	\$7,050,000.00	\$0.00
Contract for Deed	\$1,104,348.28	\$40,635.41
Persons with Disabilities Set-Aside	\$1,614,522.13	\$151,821.08

*PY 2014 Funding Commitments include Project Funds Awarded and Admin/Oper Exp. Funds Awarded from the 2014 HUD HOME Allocation and reprogrammed funds, including program income

Summary of Project Funds Subject to Regional Allocation Formula for PY 2014

Activity	HOME Allocation	Project Funds Awarded	Admin/Oper Exp. Funds Awarded
Homebuyer Assistance	\$1,784,058	\$472,435.66	\$18,910.16
Homeowner Rehabilitation Assistance (Includes Disaster Relief)	\$1,784,058	\$17,522,223.05	\$700,158.75
Tenant-Based Rental Assistance	\$1,838,120	\$3,147,580.00	\$320,576.88

Award of HOME Funds by Activity PY 2014*

Activity	Amount	Percentage
Homebuyer Assistance	\$1,598,283.94	5.25%
Homeowner Rehabilitation Assistance	\$17,715,798.05	58.20%
Tenant Based Rental Assistance	\$3,147,580.00	10.34%
CHDO Operating Expense	\$50,000.00	0.16%
Rental Housing Development	\$7,050,000.00	23.16%
CHDO Single Family Development	\$875,816.00	2.88%
CHDO Rental Development	\$0.00	0.00%
Totals	\$30,437,477.99	100.00%

*Includes Administration and Reprogrammed Funds

Matching Requirements

TDHCA provides matching contributions from several sources for HOME funds drawn down from the State HOME Investment Trust Funds Treasury account within the PY. The following sources may be utilized:

- Proceeds from the sale of single or multifamily mortgage revenue bonds issued by TDHCA.
- Match contributions from TDHCA non-federal funds to affordable housing projects that are not HOME-assisted but that meet the requirements as specified in 24 CFR §92.219(b)(2).
- Eligible match contributions from State recipients and subrecipients, as specified in 24 CFR §92.220.

TDHCA annually submits a separate HOME match report, HUD 40107-A, which lists matching funds and sources provided by each HOME project.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2014 funds were distributed and the location of HOME awards.

Allocation Formula

The HOME Program is implemented through State and local governments called participating jurisdictions which are States, and units of general local governments, including consortia and urban counties, which receive funds directly from HUD. The 95/5 rule, Texas Government Code §2306.111(c), is a state law mandating that TDHCA is to allocate no less than 95 percent of HOME funds to serve households located outside of non-participating jurisdictions, and TDHCA must use 5 percent of the HOME funds to serve persons with disabilities.

In the One Year Action Plan, TDHCA had a goal of allocating a minimum of 20 percent of the annual HOME allocation to applicants serving persons with special needs. Persons with “special needs” include the elderly, frail elderly, persons with disabilities, persons with alcohol or other drug addiction, persons with HIV/AIDS, persons with the Violence Against Women Act protections (domestic violence, dating violence, sexual assault, or stalking), colonia residents, migrant farmworkers, homeless populations, veterans, wounded warriors (as defined by the Caring for Wounded Warriors Act of 2008), and public housing residents.¹ Eligible activities include homebuyer assistance, homeowner rehabilitation, and tenant-based rental assistance.

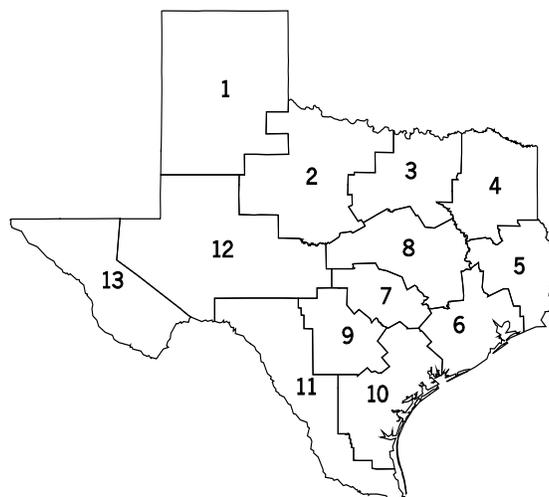
Regional Allocation Formula

Texas Government Code §2306.111(d) mandates that TDHCA allocate housing funds awarded in the HOME, Housing Trust Fund, and Housing Tax Credit (“HTC”) programs using a formula developed by TDHCA. As a result, a large portion of the HOME funds were awarded in early 2012 using the Regional Allocation Formula (“RAF”) developed pursuant to Texas Government Code §2306.111. PY 2014 funding associated with the following set-asides was not distributed through the RAF: CHDO Operation, Contract for Deed Conversions and Persons with Disabilities.

Texas Government Code §2306.1112 establishes TDHCA’s Executive Award and Review Advisory Committee. HOME funding recommendations for contract awards made in 2014 were presented to this committee prior to recommendation to TDHCA’s Governing Board.

¹ TDHCA added additional special needs categories through an Action Plan amendment in December 2013. Assistance to individuals in these additional categories will be reported in the next CAPER.

State Service Regions Map



Regional Allocation of HOME Funds PY 2014
(Includes Administration and Reprogrammed¹ Funds)

Region	Amount	% of Total Amount	Units to be Assisted	% of Total Units to be Assisted
1	\$3,176,905.35	10.44%	52	6.89%
2	\$1,398,115.00	4.59%	36	4.77%
3	\$4,854,624.05	15.95%	86	11.39%
4	\$3,941,395.78	12.95%	67	8.87%
5	\$1,516,075.00	4.98%	35	4.64%
6	\$2,226,492.35	7.31%	40	5.30%
7	\$1,241,442.00	4.08%	47	6.23%
8	\$1,104,500.00	3.63%	19	2.52%
9	\$1,664,580.00	5.47%	153	20.26%
10	\$4,476,440.80	14.71%	84	11.13%
11	\$2,359,537.38	7.75%	44	5.83%
12	\$990,198.00	3.25%	28	3.71%
13	\$1,437,172.28	4.72%	20	2.65%
Multiregional	\$50,000.00	0.16%	44	5.83%
Total	\$30,437,477.99	100.00%	755	100.00%

¹includes program income and deobligated monies

Award Locations

PY 2014 HOME awards were made in the following areas of the state. These numbers include administration dollars awarded to the contractor.

PY 2014 HOME Awards and Estimated Units by Region

Region Served	Administrator Name	Counties Served	Amount	Total Units
1	GS Plainview Housing, LP	HALE	\$750,000.00	10
1	Dickens County	DICKENS	\$354,452.00	4
1	City of Floydada	FLOYD	\$377,924.00	4
1	Terry County	TERRY	\$85,501.00	1
1	Lifetime Independence for Everyone, Inc.	LUBBOCK	\$58,220.00	10
1	City of Turkey	HALL	\$96,586.00	1
1	City of Roaring Springs	MOTLEY	\$351,123.80	4
1	City of Plainview	HALE	\$385,944.00	4
1	City of Olton	LAMB	\$178,572.00	2
1	City of Matador	MOTLEY	\$358,142.55	4
1	City of Hart	CASTRO	\$92,586.00	1
1	Motley County	MOTLEY	\$87,854.00	1
2	Central Texas Opportunities, Inc.	CALLAHAN	\$15,332.00	1
2	City of Roscoe	NOLAN	\$279,798.00	3
2	Runnels County	RUNNELS	\$88,586.00	1
2	Haskell County	HASKELL	\$445,730.00	5
2	City of Winters	RUNNELS	\$444,930.00	5
2	Central Texas Opportunities, Inc.	COLEMAN	\$21,492.00	2
2	Central Texas Opportunities, Inc.	BROWN	\$49,746.00	5
2	Central Texas MHMR Services dba The Center for Life Resources	BROWN	\$35,853.00	3
2	Central Texas Opportunities, Inc.	EASTLAND	\$16,648.00	1
3	TX Majors Place Apartments, LP	HUNT	\$3,000,000.00	36
3	City of McKinney	COLLIN	\$10,216.00	1
3	City of Commerce	HUNT	\$96,049.00	1
3	Texas Neighborhood Services	HOOD	\$19,590.00	1
3	Texas Neighborhood Services	HOOD	\$4,757.00	1
3	Easter Seals-Central Texas, Inc.	DALLAS	\$21,500.00	1
3	City of Ravenna	FANNIN	\$86,929.00	1
3	City of McKinney	COLLIN	\$10,000.00	1
3	City of McKinney	COLLIN	\$93,986.00	1
3	City of Italy	ELLIS	\$35,277.05	1
3	City of Corsicana	NAVARRO	\$388,400.00	4
3	WREM Literacy Group, Inc.	ELLIS	\$96,450.00	1
3	City of Commerce	HUNT	\$192,098.00	2
3	Affordable Housing of Parker County	DALLAS	\$31,143.00	2
3	Affordable Housing of Parker County	WISE	\$63,469.00	9
3	Affordable Housing of Parker County	PARKER	\$147,725.00	17
3	City of Josephine	COLLIN	\$269,796.00	3
3	City of Honey Grove	FANNIN	\$287,239.00	3
4	Paris Living, a Community Development Corporation	LAMAR	\$2,694.00	1

Region Served	Administrator Name	Counties Served	Amount	Total Units
4	City of Jacksonville	CHEROKEE	\$97,100.00	1
4	City of Naples	MORRIS	\$159,605.60	2
4	City of Paris	LAMAR	\$821,396.70	9
4	City of Queen City	CASS	\$87,695.80	1
4	City of Roxton	LAMAR	\$96,049.00	1
4	City of Rusk	CHEROKEE	\$85,592.80	1
4	City of Texarkana	BOWIE	\$16,611.00	1
4	City of Trinidad	HENDERSON	\$191,948.00	2
4	Habitat for Humanity of Smith County	SMITH	\$307,098.00	4
4	Red River County	RED RIVER	\$79,574.80	1
4	City of Hughes Springs	CASS	\$172,276.00	2
4	Delta County	DELTA	\$192,098.00	2
4	City of Blossom	LAMAR	\$78,118.40	1
4	Affordable Caring Housing, Inc.	HENDERSON	\$61,728.00	7
4	City of Hooks	BOWIE	\$85,429.00	1
4	City of Alto	CHEROKEE	\$89,830.00	1
4	City of Atlanta	CASS	\$80,611.00	1
4	Affordable Caring Housing, Inc.	HENDERSON	\$48,886.00	7
4	City of Carthage	PANOLA	\$184,819.92	2
4	City of Cooper	DELTA	\$96,049.00	1
4	City of Elkhart	ANDERSON	\$269,624.40	3
4	City of Gilmer	UPSHUR	\$81,999.00	1
4	City of Gladewater	GREGG	\$291,300.00	3
4	City of Henderson	RUSK	\$89,830.00	1
4	City of Annona	RED RIVER	\$173,431.36	2
5	City of Trinity	TRINITY	\$441,928.00	5
5	Trinity County	TRINITY	\$832,867.00	9
5	Spindletop Center	JEFFERSON	\$44,264.00	5
5	Burke Center	POLK	\$6,432.00	1
5	Burke Center	ANGELINA	\$1,325.00	1
5	Burke Center	ANGELINA	\$11,176.00	2
5	Buckner Children & Family Ser., Inc., dba Buckner Family Place	ANGELINA	\$178,083.00	12
6	Affordable Caring Housing, Inc.	WALKER	\$81,984.00	13
6	Affordable Caring Housing, Inc.	WALKER	\$8,370.00	1
6	Buckner Children & Family Ser., Inc., dba Buckner Family Place	MONTGOMERY	\$60,988.00	4
6	City of Eagle Lake	COLORADO	\$96,500.00	1
6	City of Eagle Lake	COLORADO	\$96,500.00	1
6	City of Palacios	MATAGORDA	\$186,477.60	2
6	City of Palacios	MATAGORDA	\$93,850.00	1
6	City of Willis	MONTGOMERY	\$69,580.00	1
6	Easter Seals-Central Texas, Inc.	MONTGOMERY	\$10,849.00	1
6	EBENZ, Inc.	GALVESTON	\$184,893.75	2

Region Served	Administrator Name	Counties Served	Amount	Total Units
6	EBENZ, Inc.	GALVESTON	\$96,684.00	1
6	WREM Literacy Group, Inc.	WALLER	\$875,816.00	8
6	WREM Literacy Group, Inc.	WALLER	\$96,450.00	1
6	City of Columbus	COLORADO	\$267,550.00	3
7	Easter Seals-Central Texas, Inc.	FAYETTE	\$6,834.00	1
7	Travis County Housing Finance Corporation	TRAVIS	\$4,739.00	1
7	Meals on Wheels and More, Inc.	TRAVIS	\$68,258.00	1
7	Easter Seals-Central Texas, Inc.	WILLIAMSON	\$9,672.00	1
7	Easter Seals-Central Texas, Inc.	LEE	\$12,022.00	1
7	Easter Seals-Central Texas, Inc.	HAYS	\$21,500.00	1
7	Combined Community Action, Inc.	TRAVIS	\$6,936.00	1
7	City of Smithville	BASTROP	\$97,076.00	1
7	Combined Community Action, Inc.	BASTROP	\$39,961.00	3
7	Combined Community Action, Inc.	FAYETTE	\$12,384.00	1
7	City of Taylor	WILLIAMSON	\$183,290.00	2
7	City of Taylor	WILLIAMSON	\$385,900.00	4
7	Community Partnership for the Homeless DBA Green Doors	TRAVIS	\$14,746.00	2
7	Easter Seals-Central Texas, Inc.	TRAVIS	\$378,124.00	27
8	City of Bartlett	BELL	\$277,605.00	3
8	Economic Opportunities Advancement Corporation of PR XI	MCLENNAN	\$13,064.00	1
8	Economic Opportunities Advancement Corporation of PR XI	MCLENNAN	\$5,892.00	1
8	Economic Opportunities Advancement Corporation of PR XI	MCLENNAN	\$20,588.00	1
8	City of Marlin	FALLS	\$273,462.00	3
8	City of Gatesville	CORYELL	\$89,947.00	1
8	City of Bartlett	BELL	\$186,820.00	2
8	Affordable Caring Housing, Inc.	CORYELL	\$42,912.00	5
8	City of Gatesville	CORYELL	\$194,210.00	2
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	COMAL	\$140,923.00	25
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	GUADALUPE	\$12,621.00	1
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	COMAL	\$216,865.00	22
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	COMAL	\$205,461.00	21
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	GUADALUPE	\$4,742.00	1
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	COMAL	\$399,276.00	49
9	City of Devine	MEDINA	\$436,080.00	5
9	Center for Health Care Services	BEXAR	\$236,396.00	27
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	GUADALUPE	\$12,216.00	2
10	HCS Houston House, Inc.	VICTORIA	\$2,300,000.00	49

Region Served	Administrator Name	Counties Served	Amount	Total Units
10	TX Kennedy Apartments, Ltd.	BEE	\$1,000,000.00	13
10	City of Port Lavaca	CALHOUN	\$93,063.80	1
10	Town of Refugio	REFUGIO	\$96,000.00	1
10	San Patricio County	SAN PATRICIO	\$287,000.00	3
10	San Patricio County	SAN PATRICIO	\$87,735.00	1
10	City of Yoakum	LAVACA	\$96,300.00	1
10	City of Ingleside	SAN PATRICIO	\$192,000.00	2
10	City of Gregory	SAN PATRICIO	\$92,000.00	1
10	City of Driscoll	NUECES	\$175,470.00	2
10	Coastal Bend Center for Independent Living	NUECES	\$56,872.00	10
11	Community Development Corporation of Brownsville	CAMERON	\$724,560.88	9
11	Willacy County	WILLACY	\$195,400.00	2
11	Willacy County	WILLACY	\$97,700.00	1
11	Community Development Corporation of Brownsville	CAMERON	\$67,221.13	6
11	Community Development Corporation of Brownsville	CAMERON	\$203,560.53	10
11	Coastal Bend Center for Independent Living	CAMERON	\$4,872.00	2
11	City of Roma	STARR	\$96,065.00	1
11	City of Lyford	WILLACY	\$88,247.00	1
11	City of La Feria	CAMERON	\$97,797.00	1
11	Community Development Corporation of Brownsville	CAMERON	\$629,661.84	9
11	Community Development Corporation of Brownsville	WILLACY	\$154,452.00	2
12	City of Eden	CONCHO	\$575,648.00	6
12	Permian Basin Community Centers	ECTOR	\$83,046.00	8
12	City of Midland	MIDLAND	\$140,000.00	7
12	City of Coahoma	HOWARD	\$96,500.00	1
12	Central Texas Opportunities, Inc.	MCCULLOCH	\$20,826.00	2
12	Buckner Children & Family Ser., Inc., dba Buckner Family Place	MIDLAND	\$59,886.00	3
12	Permian Basin Community Centers	MIDLAND	\$14,292.00	1
13	Village Of Vinton	EL PASO	\$9,200.00	1
13	Adults and Youth United Development Association Inc.	EL PASO	\$102,700.00	1
13	Adults and Youth United Development Association Inc.	EL PASO	\$329,315.87	3
13	Alliance of Border Collaboratives, Inc.	EL PASO	\$549,234.64	5
13	City of Socorro	EL PASO	\$124,785.00	7
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$96,139.00	1
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$225,797.77	2
-	HCS Houston House, Inc.	-	\$50,000.00	-
-	-	Totals	\$30,437,477.99	687

Anticipated Households Served with PY 2014 Funding

For activities that were awarded with PY 2014 funds, there are 687 total anticipated units.

PY 2014 Estimated Funds and Units
(Including Administration and Reprogrammed Funds*)

Activity	Total Estimated Units	Total Funding
Owner -Homebuyer Assistance (all activities)	39	\$1,598,283.94
Owner-Homeowner Rehabilitation Assistance	198	\$17,715,798.05
Owner – CHDO Development Single Family	8	\$875,816.00
Renter - Tenant-Based Rental Assistance	334	\$3,147,580.00
Renter - Rental Housing Development	176	\$7,050,000.00
CHDO Operating	0	\$50,000.00
Total:	755	\$30,437,477.99

* includes program income and deobligated funds

Actual Households Served in PY 2014

This section reports on the actual units completed in PY 2014 (February 1, 2014, though January 31, 2015) through current HOME agreements. These activities were originally awarded between 2007 and 2014, and units were completed during the PY 2014 reporting period. There were 1,008 total units completed in PY 2014 through these awards.

Actual Units Completed in PY 2014 by Activity

Activity	Total Units	Total Disbursed
Owner - CHDO Single Family Development	2	\$173,642.88
Owner - Homebuyer Assistance	43	\$1,382,334.18
Homeowner Rehabilitation Assistance	314	\$26,060,339.10
Renter - Tenant-Based Rental Assistance	479	\$4,180,850.00
Renter - CHDO Rental Development	22	\$450,000.00
Renter - Rental Development	148	\$7,548,367.18
Totals	1,008	\$39,795,533.34

Special Needs Population Assisted Units Completed in PY 2014

Special Needs Group	Units Assisted	% of Units
Alcohol and Drug Addiction	0	0.00%
Colonias	41	6.68%
Elderly Populations	221	35.99%
Homeless Populations	100	16.29%
Migrant Farmworkers	1	0.16%
People With Disabilities	244	39.74%
Persons with HIV/AIDS	1	0.16%
Victims of Domestic Violence	6	0.98%
Public Housing Residents	0	0.00%

Racial Composition of Assisted Units Completed in PY 2014

Race	Units Assisted	Percent of Units
American Indian/Alaska Native	2	0.24%
American Indian/Alaska Native & Black/African American	1	0.12%
American Indian/Alaska Native & White	2	0.24%
Asian	0	0.00%
Asian & White	1	0.12%
Black/African American	131	15.63%
Black/African American & White	1	0.12%
Native Hawaiian/Other Pacific Islander	1	0.12%
Other Multi Racial	28	3.34%
White	671	80.07%
Total	838	100.00%

Hispanic Origin of Assisted Units Completed in PY 2014

Ethnicity	Units Assisted	Percent of Units
Hispanic and American Indian/Alaska Native	1	0.12%
Hispanic and American Indian/Alaska Native & White	1	0.12%
Hispanic and Other Multi Racial	13	1.55%
Hispanic and White	322	38.42%
Not Hispanic and American Indian/Alaska Native	1	0.12%
Not Hispanic and American Indian/Alaska Native & Black/African American	1	0.12%
Not Hispanic and American Indian/Alaska Native & White	1	0.12%
Not Hispanic and Asian & White	1	0.12%
Not Hispanic and Black/African American	131	15.63%
Not Hispanic and Black/African American & White	1	0.12%
Not Hispanic and Native Hawaiian/Other Pacific Islander	1	0.12%
Not Hispanic and Other Multi Racial	15	1.79%
Not Hispanic and White	349	41.65%
Total	838	100.00%

Income Status of Owner/Renter Units Completed in PY 2014

Income Category	Number of Units	% of Units
Extremely Low Income (0-30% AMFI)	466	46.28%
Very Low Income (31-50% AMFI)	170	16.88%
Low Income (51-60% AMFI)	195	19.36%
Low/Moderate Income(61-80% AMFI)	176	17.48%
Total	1,007	100.00%

Income Status of Units Completed in PY 2014 by Activity

Income Status	AMFI 0-30%	AMFI 31-50%	AMFI 51-60%	AMFI 61-80%	Total
Owner – Homebuyer Assistance	0	3	4	28	35
Owner – Homeowner Rehabilitation	106	91	67	57	321
Owner - CHDO Single Family Development	0	1	1	0	2
Renter – Tenant-Based Rental Assistance	320	75	50	34	479
Renter – Rental Housing Development	40	0	73	57	170
Totals	466	170	195	176	1,007

CPD Outcome Performance Measurement

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard and represent actual activities approved during PY 2014. The table delineates 1) the number of anticipated units based on the award of dollars during the Program Year and 2) the number of units activated during the Program Year. For rental activities, it is not uncommon for units to be reported as a completed as many as 2 to 3 years after award. The chart reflects revised performance measures for the number of units anticipated to be awarded during PY 2014.

HOME Program Performance Measures, PY 2014

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number	Percentage
DH-2	No. of rental units assisted through new construction and rehabilitation	524	556	106%
DH-2	No. of tenant-based rental assistance units	243	483	199%
DH-2	No. of existing homeowners assisted through homeowner rehabilitation assistance	56	286	510%
DH-2	No. of first-time homeowners assisted through homebuyer assistance	124	157	127%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and program objectives.

Housing Priority Summary Needs Table

H=High, M=Medium, L= Low, N=No Such Need

	Priority Housing Needs	Priority Need Level 0-30%	Priority Need Level 31-50%	Priority Need Level 51-80%
Renter Elderly HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Small Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Large Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
All Other HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Owner	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H

Specific Accomplishments

This section describes specific HOME Program activities undertaken during PY 2014 that address high priority needs. Please see the “Goals and Objectives” section for detailed information about HOME Program goals and objectives, which also address these needs.

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. Beginning in PY 2012, the HOME Program funds were awarded utilizing a Reservation System for single family activities continued to be first-come, first-served and based on funding availability, and multifamily activities are awarded under a competitive application cycle, as defined in each Notice of Funding Availability (NOFA). Threshold and/or scoring criteria are included in each NOFA to meet statutory or federal requirements and program or TDHCA goals, such as income, match, and special population assistance targets. Additionally, the HOME Program addresses high priority needs areas by making available ninety-five percent (95%) of its annual HUD allocation to rural areas of Texas, pursuant to Texas Government Code §2306.111(c)(1).

Persons with Disabilities

In order to address the needs of Persons with Disabilities, the HOME Program accomplished the following during PY 2014:

Pursuant to Texas Government Code §2306.111(c)(2) five percent (5%) of HOME Program funds were made available to persons with disabilities living in any area of the state. As a part of the 2013 allocation, TDHCA established a Persons with Disabilities (PWD) set-aside equal to 5% of the HOME award, to support the housing needs of this community in Texas.

ON-SITE INSPECTIONS

On-site monitoring reviews of affordable HOME rental developments are conducted in accordance with 24 CFR §92.504(d) of the HOME Final Rule. TDHCA is committed to ensuring all rental developments funded with HOME are in compliance with federal and state rules and regulations. TDHCA’s compliance monitoring rules are in 10 TAC Chapter 10, Subchapter F.

While on site, monitors review resident files to ensure that households are income eligible under the HOME Program and that rents are properly restricted. Historically, TDHCA has inspected HOME developments to determine compliance with the Uniform Physical Condition Standards (“UPCS”). However, through recent trainings with HUD staff, TDHCA understands that UPCS is to be used only in the absence of local codes or when UPCS meets or exceeds all requirements in the state and local codes.

Therefore, TDHCA is updating its monitoring procedures to include a review of local code requirements to determine which inspection standard to use. Please note that all the properties included in this report were all inspected under the Uniform Physical Condition Standards.

Properties assisted with HOME funds may fall into egregious or ongoing non-compliance or have financial/operational issues that require further intervention by TDHCA. In these cases, the Asset Management Division of TDHCA provides additional oversight and intervention activities to work toward a timely resolution of the identified issues. The Asset Manager enters into discussions with the Owner to determine the most effective workout/resolution strategy available. This includes coordination

with compliance and loan servicing staff and may lead to referral to the Asset Resolution Committee of the agency for possible modification of the loan terms. The two primary goals in working with the Owners of HOME assisted developments during the asset resolution phase is to restore compliance with the Land Use Restrictive Agreement (LURA) and facilitate repayment of the loan under the originally agreed upon terms. If the Owner is unable or unwilling to restore compliance, after provided with extensive technical assistance or incurring financial penalties, TDHCA's options are generally limited to its rights of declaring default, foreclosing on the collateral, and attempting to identify a new party to undertake compliant administration of the property under a modified and extended LURA to achieve documented compliance during the required federal affordability period.

TDHCA strives to work cooperatively with owners to restore compliance. Before imposing consequences for noncompliance, alternative solutions are considered such as restructuring debt, intensive in-depth technical assistance, and/or requiring changes in management companies.

To reduce risk of noncompliance, prior to awarding any new funding, the Compliance Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance or any asset management concerns. If any issue(s) are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action documentation to correct noncompliance.

TDHCA's enforcement provisions in 10 TAC §1.14 establish monetary penalties for owners who do not correct noncompliance violations at the end of the corrective action period. TDHCA has successfully brought developments into compliance through the administrative penalties process. TDHCA is continuing to conduct informal conferences with owners to address their compliance violations and restore compliance.

The following table reflects the results of on-site reviews and inspections conducted on HOME rental developments from February 1, 2014 through January 31, 2015. During this time period, TDHCA monitored 214 HOME rental developments. Of the 214, no noncompliance was identified at 20 properties. At 149 of the properties, some noncompliance was identified, but the owners corrected the issue. Thirty seven of the reviews have not yet been closed. Eight of the properties have been referred for administrative penalties for failure to cure the issue identified. The table below outlines the developments monitored during this time period and current status.

PY 2014 HOME Program Property Inspections

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
18	530677	Heatherwilde Park Retirement Apartments	5/14/2014	Owner did not properly calculate utility allowance; UPCS violation	Owner has been referred to TDHCA's Enforcement Committee for utility allowance; UPCS corrected
156	530717	Eagle Lake Gardens Apartments	10/15/2014	UPCS violation	Corrected
178	530737	Parkside Place Apartments	6/25/2014	UPCS violation	Corrected
180	530727	Raintree Apartments	4/29/2014	UPCS violation	Corrected
369	531100	La Villita	3/21/2014	UPCS violation	Corrective Action not due until 4/23/2015
544	1001677	Cottonwood	12/17/2014	UPCS violation	Corrective Action not due until 4/15/2015
675	1001252	Country Village Apartments	3/18/2014	UPCS violation	Corrective Action not due until 4/15/2015
679	1000243	Shady Oaks Apartments	7/31/2014	UPCS violation	Corrected
720	1000239	Golden Manor Apartments	6/13/2014	Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination)	Corrective Action not due until 4/8/2015
781	1000441	East Texas Apartments	5/20/2014	UPCS violation	Corrected
870	1001076	Alta Vista I & II	8/29/2014	None	N/A
879	1000990	Fredericksburg Seniors	5/14/2014	UPCS violation	Corrected
882	1000989	Bracketville Seniors Apartments	2/13/2014	UPCS violation	Corrected
944	1001254	Heritage Square Apartments	8/19/2014	Owner failed to correctly complete or document tenant's annual income recertification (unit 113); Noncompliance with lease requirements (§92.355 and §570.487(c) -failure to provide lead-based paint disclosure; UPCS violation	Corrected
1235	5000000009	Corona Del Valle	5/15/2014	UPCS violation	Corrected
1303	1001590	Northwood Apartments	3/14/2014	UPCS violation	Corrected
1304	1001591	Oakwood Apartments	11/20/2014	UPCS violation	Corrective Action received and under review
1369	532304	Longview Commons	3/21/2014	UPCS violation	Corrected
1404	535003	Llano Square Apartments	10/24/2014	UPCS violation	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
1406	535028	Jefferson Square Apartments	6/26/2014	UPCS violation	Corrected
1473	536263	Brownwood Apartments	10/29/2014	Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); UPCS violation	Corrected
1525	536264	Commonwealth Apartments	5/22/2014	Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); UPCS violation	Corrected
1574	536265	Sunrise Village II	4/24/2014	UPCS violation	Corrected
1596	537070	Granada Apartments	3/27/2014	UPCS violation	Corrected
1616	536266	Brentwood Oaks Apartments	5/14/2014	UPCS violation	Corrected
1641	536279	Crestview Apartments	3/21/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected; UPCS Corrective Action not due until 3/12/2015
1747	537078	Westwind Village	3/26/2014	UPCS violation	Corrected
1785	537079	San Augustine Seniors Apartments	5/21/2014	UPCS violation	Corrected
1858	533504	Heritage at Dartmouth	5/2/2014	UPCS violation	Corrected
1922	539119	Asbury Place Apartments	4/24/2014	Owner did not properly calculate utility allowance; Noncompliance with tenant selection requirements (§92.253(d)(6) - failure to provide written rejection notice); Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); Gross rent exceeds limit (102/206); UPCS violation	Corrected
1952	538006	Tierra Socorro Ltd.	6/18/2014	UPCS violation	Corrected
2063	538622	Brownwood Apartments II	10/29/2014	UPCS violation	Corrected
2206	538620	May Road Apartments	7/30/2014	UPCS violation	Corrected
2214	538621	Commonwealth, Phase II	5/22/2014	Noncompliance with tenant selection requirements (§92.253(d)(6) - failure to provide written rejection	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
				notice); UPCS violation	
2603	539111	Bavarian Manor Apartments	4/24/2014	Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); UPCS violation	Corrected
2606	534389	Bentcreek Apartments	2/17/2014	UPCS violation	Corrected
2610	530707	Casa De Manana	3/28/2014	UPCS violation	Corrected
2611	536268A	Chateau Apartments	5/22/2014	UPCS violation	Corrected
2613	530607	Crowley Retirement Village	10/21/2014	Owner did not properly calculate utility allowance; Failure to provide Affirmative Marketing plan; UPCS violation	Corrective Action for file issues received and under review. UPCS corrected
2615	538610	Denton Affordable Housing Corporation	9/26/2014	UPCS violation	Corrected
2618	534031	Rincon Point Apartments	3/25/2014	Owner did not properly calculate utility allowance; Noncompliance with tenant selection requirements (§92.253(d)(5) -waitlist); Household increased above 80% at recertification and owner failed to properly determine rent (4); Household income above income limit upon initial occupancy (7/16/36); Owner failed to correctly complete or document tenant's annual income recertification (17)	Some file noncompliance uncorrected and owner has been referred to TDHCA's Enforcement Committee
2619	531105	Garden Terrace Apartments	2/19/2014	UPCS violation	Corrected
2623	537602	Hillside Senior Community	11/12/2014	UPCS violation	Corrected
2625	533345	Juan Linn Apartments	10/29/2014	UPCS violation	Corrected; UPCS Corrective Action received and under review.
2626	536268	Keystone Apartments	3/25/2014	UPCS violation	Corrected
2630	539098	Levelland Multi-family	1/1/2015	UPCS violation	UPCS Corrective Action not due until 5/3/2015

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
2631	533186	Lincoln Courts	8/22/2014	UPCS violation	Corrected
2635	531103	Medina Court Senior Housing	7/17/2014	Noncompliance with tenant selection requirements (§92.253(d)(6) - failure to provide written rejection notice); Failure to execute required lease provisions or exclude prohibited lease language (§92.253); UPCS violation	Corrected; UPCS Corrective Action received and under review
2636	532305	Freeport Apartments	7/16/2014	Failure to execute required lease provisions or exclude prohibited lease language (§92.253); Noncompliance with tenant selection requirements (§92.253(d)(5) -waitlist); Owner did not properly calculate utility allowance; UPCS violation	Owner has been referred to the TDHCA's Enforcement Committee for utility allowance; UPCS corrected
2641	532300	Brazos Bend Villas	9/30/2014	UPCS violation	Corrective Action received and under review
2646	535247B	Olton Multifamily Housing	1/1/2015	UPCS violation	UPCS Corrective Action not due until 5/4/2015
2649	537073	Panola Seniors Community II	5/21/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
2652	535031	Parkview Place Apartments	4/16/2014	UPCS violation	Corrected
2653	539113	Piney Woods Home Team Affordable Housing, Inc	8/21/2014	UPCS violation	Corrected
2655	538613	Brittons Place	8/21/2014	UPCS violation	Corrective Action received and under review
2662	538090	Primrose Estates	3/20/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
2663	532329	Tomas H. Molina Homes	3/19/2014	Household income above income limit upon initial occupancy (3); UPCS violation	Corrected
2665	532306	Adela G. Guerrero Homes.	11/7/2014	Noncompliance with tenant selection	Corrective Action not due until 3/18/2015

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
				requirements (§92.253(d)(6) - failure to provide written rejection notice); Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); Failure to provide Affirmative Marketing plan;	
2671	534284	Cedar Ridge Apartments	8/8/2014	UPCS violation	Corrected
2672	534142	Chandler Place Apartments	6/13/2014	UPCS violation	Corrected
2680	537606	Southeast Texas Community Development Corp	8/1/2014	UPCS violation	Corrected
2684	538088	Hayden Ridge Apartments	6/13/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Owner has been referred to TDHCA's Enforcement Committee for Affirmative Marketing plan; UPCS corrected
2685	535004	Jourdanton Elderly Housing	5/23/2014	Owner failed to correctly complete or document tenant's annual income recertification (unit 113);	Owner has been referred to TDHCA's Enforcement Committee
2694	533300	Spur Triplex	1/1/2015	UPCS violation	UPCS Corrective Action not due until 5/4/2015
2700	532321	Warren House Apartments	10/21/2014	UPCS violation	Corrected
2704	533027	Mountain View Apartments	1/23/2015	UPCS violation	Corrected
2706	539112	Weldon Blackard Rental	3/25/2014	Owner did not properly calculate utility allowance; Household income above income limit upon initial occupancy (1601-3/1601-5/1602-5/1603-1/1603-5/1604-5/1606-5/1606-9); Owner failed to correctly complete or document tenant's annual income recertification (1603-5); Unit not available for rent (1601-3); UPCS violation	File response received and under review. UPCS corrected
2707	532340	North Athens Homes	10/23/2014	Owner did not allow for	Corrective Action not

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
				onsite monitoring review; UPCS violation	due until 3/17/2015; UPCS Corrective Action not due until 3/2/2015; owner previously referred to TDHCA's Enforcement Committee
2710	532325	Colonias Del Valle Project	11/20/2014	Owner did not properly calculate utility allowance	Corrected
2713	539116	Riverview Apartments	4/23/2014	UPCS violation	Corrected
2719	536286	Temple College Housing Scholarship Program	7/17/2014	UPCS violation	Corrected
2720	538089	Spring Garden Apartments II	10/15/2014	None	N/A
2721	530617	Spring Garden Apartments III	10/15/2014	Owner did not properly calculate utility allowance	Corrective Action not due until 5/4/2015
2722	532336	Sunrise Village Phase I	4/24/2014	Gross rent exceeds limit (160); UPCS violation	Corrected
2724	536292	Sutton Square Duplexes	5/20/2014	Failure to provide Affirmative Marketing plan	Corrected
2725	536270	Tanner Point Apartments	4/24/2014	UPCS violation	Corrected
2726	533029	Tembell Home	2/18/2014	UPCS violation	Corrected
2732	537603	West Avenue Apartments	10/9/2014	Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); Household income above income limit upon initial occupancy (§2020C);	Corrected
3200	530687	Alamo Plaza Apartments	9/15/2014	UPCS violation	Corrected
3201	530627	Brentwood Apartments	2/27/2014	UPCS violation	Corrected
3263	531099	La Mirage Apartments	2/11/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
3326	538263	Santa Lucia Housing	10/28/2014	Failure to execute required lease provisions or exclude prohibited lease language (§92.253); Noncompliance with tenant selection requirements	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
				(§92.253(d)(5) -waitlist); Owner failed to correctly complete or document tenant's annual income recertification (128); UPCS violation	
3371	1001639	Village of Kaufman	10/22/2014	UPCS violation	Corrected
3372	1001638	Fox Run Apartments	7/14/2014	UPCS violation	Corrected
3383	542072	Pine Meadows Apartments	7/31/2014	UPCS violation	Corrected
3384	542073	Green Manor Apartments	8/1/2014	None	N/A
3385	542070	Bayou Bend Apartments	7/30/2014	Owner failed to correctly complete or document tenant's annual income recertification (128/217); UPCS violation	Corrected
3387	542071	Willowchase Apartments	8/1/2014	UPCS violation	Corrected
3389	542068	Mira Vista Apartments	5/27/2014	UPCS violation	Corrected
3407	537076	Palestine Senior II	5/22/2014	UPCS violation	Corrected
4001	530200	Dale Meadows	8/26/2014	UPCS violation	Corrected
4003	531001	Cedar Ridge II	8/8/2014	Failure to execute required lease provisions or exclude prohibited lease language (§92.253); Household income above income limit upon initial occupancy (1111/1112); UPCS violation	Household income above income limit upon initial occupancy not corrected and referred to TDHCA's Enforcement Committee; UPCS corrected
4006	531300	Alta Vista Village Retirement Community	4/24/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected; The initial UPCS corrective action response was insufficient. Owner has submitted a second response which is under review.
4056	542075	Grand Montgomery Court	5/28/2014	Household income above income limit upon initial occupancy (1602); UPCS violation	Corrected
4069	1000246	Lexington Court	7/17/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected; UPCS Corrective Action received and under review.
4087	539117	NCDO II-Home Program	10/28/2014	UPCS violation	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4089	1000245	Bahia Palms Apartments	3/26/2014	UPCS violation	Corrected
4093	1000244	Briarwood Apartments	7/30/2014	UPCS violation	Corrected
4098	1000238	La Mirage Villas	2/11/2014	None	N/A
4204	534501	Mineola Seniors Community Phase II	3/19/2014	UPCS violation	Corrected
4207	1000084	Canal Street Apartments	8/21/2014	None	N/A
4222	1000639	Cypress Creek at River Bend	10/13/2014	None	N/A
4255	1000431	Pecan Village	7/17/2014	UPCS violation	Corrected
4271	1000648	Los Ebanos Apartments - Zapata	3/26/2014	UPCS violation	Corrected
4274	1000415	Spring Garden V	10/15/2014	Owner did not properly calculate utility allowance; UPCS violation	Corrective Action not due until 5/4/2015; UPCS corrected
4288	1000437	Windvale Park	7/31/2014	UPCS violation	Corrected
4298	1000430	Park Place Apartments	6/26/2014	None	N/A
4299	1000429	Country Square Apartments	3/19/2014	Gross rent exceeds limit (9); UPCS violation	Corrected
4300	1000434	Clifton Manor Apartments I and II	9/17/2014	UPCS violation	Corrected
4301	1000432	Bel Aire Manor Apartments	2/20/2014	UPCS violation	Corrected
4302	1000433	Hamilton Manor Apartments	10/24/2014	UPCS violation	Corrected
4303	1000428	Bayshore Manor Apartments	7/17/2014	Noncompliance with tenant selection requirements (§92.253(d)(6) - failure to provide written rejection notice); Gross rent exceeds limit (5/9/10/18/24/29/33/40/42/47/54)	Some file noncompliance uncorrected and owner has been referred TDHCA's Enforcement Committee
4307	1000370	Bridgeport IV	10/16/2014	None	N/A
4312	1000417	Spring Terrace	4/9/2014	UPCS violation	Corrected
4313	1000555	Milam Creek Senior Village	11/5/2014	UPCS violation	Corrective action received and under review
4314	1000586	Floresville Square Apartments	10/8/2014	Household income above income limit upon initial occupancy (B903); UPCS violation	Corrective Action received and under review; UPCS Corrective Action not due until 4/15/2015
4336	1000609	Hayden Ridge Phase II	6/13/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4351	1000651	LULAC Amistad Apartments	3/25/2014	None	N/A
4356	1000657	Crestmoor Park West Apartments	2/27/2014	UPCS violation	Corrected
4380	1000655	El Paraiso Apartments	3/17/2014	UPCS violation	Corrected
4387	1000659	Evergreen at Rockwall	2/11/2014	UPCS violation	Corrected
4394	1000646	Victoria Place Phase II	10/23/2014	UPCS violation	Corrected
4395	1000654	Pembroke Court	2/19/2014	UPCS violation	Corrected
4396	1000656	HomeTowne at Picadilly	5/15/2014	UPCS violation	Corrected
4402	1000652	Skyline Terrace	2/11/2014	UPCS violation	Corrected
4408	1000660	Gardens of Mabank	11/13/2014	None	N/A
4430	1000878	Parkwood Apartments	10/30/2014	UPCS violation	Corrected
4446	1000771	Prospect Point	5/29/2014	Failure to provide Affirmative Marketing plan; Gross rent exceeds limit (122/214/512/711/718/913); Household income above income limit upon initial occupancy (728); UPCS violation	Corrective Action not due until 5/24/2015; UPCS corrected
4463	1001496	Meadowlake Village Apartments	1/1/2015	UPCS violation	UPCS Corrective Action not due until 4/23/2015
4471	1001139	Holland House Apartments	2/18/2014	UPCS violation	Owner did not respond to initial request for corrective action and was referred to TDHCA's Enforcement Committee. After that meeting, the owner submitted corrective action which is under review.
4479	1000882	Kingsville LULAC Manor Apartments	3/19/2014	UPCS violation	Corrected
4487	1000881	Shady Oaks Apartments	4/16/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
4494	1000884	Bluffs Landing Senior Village	4/17/2014	UPCS violation	Corrected
4498	1001138	Hyatt Manor Apartments	9/26/2014	None	N/A
4499	1000879	Constellation Ranch	8/25/2014	None	N/A
4514	1000962	Buena Vida Apartments	3/26/2014	UPCS violation	Corrected
4522	1000968	Creek View	11/14/2014	None	N/A

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
		Apartments			
4523	1000991	Cambridge Crossing	7/31/2014	UPCS violation	Corrected
4539	1001537	American GI Forum Village I and II	3/18/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
4540	1001077	Constitution Court	2/18/2014	UPCS violation	Corrected
4542	1000986	Creekside Villas Senior Village	4/21/2014	UPCS violation	Corrected
4543	1000977	Lexington Court Phase II	7/17/2014	Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
4544	1000987	Evergreen at Morningstar	2/21/2014	UPCS violation	Corrected
4545	1001495	Jourdanton Square Apartments	5/23/2014	UPCS violation	Corrected
4546	1001000	Brookhollow Manor	8/12/2014	UPCS violation	Corrected
4547	1001001	Northview Apartments	7/17/2014	UPCS violation	Corrected
4548	1001002	Quail Run Apartments	10/16/2014	Household income above income limit upon initial occupancy (02); Owner failed to correctly complete or document tenant's annual income recertification (31); UPCS violation	Corrective Action not due until 4/9/2015; UPCS corrected
4549	1001003	Chisum Trail Apartments	11/20/2014	UPCS violation	Corrected
4552	1000998	First Huntington Arms	5/23/2014	UPCS violation	Corrected
4553	1000981	St. Charles Place	8/12/2014	UPCS violation	Corrected
4554	1001006	SilverLeaf at Chandler	7/16/2014	UPCS violation	Corrected
4555	1001007	The Mirabella	1/15/2015	UPCS violation	Corrected
4572	1001112	Costa Mariposa	3/26/2014	Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); Failure to provide Affirmative Marketing plan	Corrected
4573	1001106	Woodmont Apartments	6/11/2014	UPCS violation	Corrected
4580	1001113	Encino Pointe	4/22/2014	Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination);	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
				Noncompliance with tenant selection requirements (§92.253(d)(6) - failure to provide written rejection notice); UPCS violation	
4589	1001074	Huntington	7/16/2014	Household income above income limit upon initial occupancy (102/211); UPSC violation	Corrective Action not due until 4/5/2015; UPCS corrected
4591	1001114	Mineral Wells Pioneer Crossing	4/23/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
4593	1001075	Park Ridge Apartments	4/23/2014	UPCS violation	Corrected
4611	1001134	Courtwood Apartments	7/18/2014	UPCS violation	Corrected
4612	1001135	Hillwood Apartments	7/18/2014	None	N/A
4617	1001216	Cherrywood Apartments	6/24/2014	UPCS violation	Corrected
4650	1001128	Horizon Meadows Apartments	3/26/2014	Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
4657	1001132	Pearland Senior Village	8/19/2014	Owner failed to correctly complete or document tenant's annual income recertification (1111/1112/1204/1208/1213/2104/2201/2208/3105/3112/3206/3208/3214/3215/3220/4124/4212/4214/4221); UPCS violation	Corrected
4662	1001126	Evergreen at Vista Ridge	4/25/2014	UPCS violation	Corrected
4665	1001143	Leander Station Senior Village	10/29/2014	UPCS violation	Corrected
4671	1001130	Heights at Corral	3/20/2014	None	N/A
4675	1001133	Crestmoor Park South Apartments	9/17/2014	UPCS violation	Corrected
4683	1001129	Heritage Crossing	3/27/2014	Failure to provide Affirmative Marketing plan	Corrected
4690	1001141	Oakwood Apartments	10/30/2014	Household income above income limit upon initial occupancy (104); Gross rent exceeds limit (504); UPCS violation	Some file noncompliance uncorrected and owner has new corrective action deadline 11/7/2015; UPCS corrected
4692	1001203	Abilene Senior Village	5/28/2014	UPCS violation	Corrected
4693	1001137	Lufkin Pioneer	5/22/2014	UPCS violation	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
		Crossing for Seniors			
4701	1001255	Riverplace Apartments	4/4/2014	UPCS violation	Corrected
4707	1001250	Evergreen at Richardson	7/23/2014	UPCS violation	Corrected
4722	1001214	Milam Creek Senior Village II	7/17/2014	Owner did not properly calculate utility allowance; Household income above income limit upon initial occupancy (301B);	Corrected
4724	1001242	Artisan at Port Isabel	3/27/2014	UPCS violation	Corrective Action not due until 4/23/15
4727	1001243	Silverleaf at Chandler II	7/16/2014	UPCS violation	Corrected
4730	1001319	Sulphur Springs Pioneer Crossing for Seniors	3/20/2014	Failure to provide Affirmative Marketing plan	Corrected
4732	1001306	Merritt Lakeside Senior Village	8/13/2014	None	N/A
4745	1001244	Oak Creek Townhomes	7/29/2014	UPCS violation	Corrected
4749	1001246	Costa Tarragona II	3/27/2014	UPCS violation	Corrected
4750	1001256	Auburn Square	5/29/2014	UPCS violation	Corrective Action not due until 5/11/2015
4751	1001233	Meadow Vista	4/24/2014	None	N/A
4761	1001234	Casa Ricardo	3/20/2014	Failure to provide Affirmative Marketing plan	Corrected
4763	1001235	Red Oak Apartments	10/24/2014	Owner did not properly calculate utility allowance; Household income above income limit upon initial occupancy (025/107); Household increased above 80% at recertification and owner failed to properly determine rent (051/063/079); UPCS violation	Corrective Action not due until 5/29/2015; UPCS Corrective Action not due until 3/19/2015
4771	1001241	Lufkin Pioneer Crossing	5/22/2014	UPCS violation	Corrected
4778	1001493	Pine Ridge Manor	5/22/2014	UPCS violation	Corrected
4780	1001506	Pioneer Crossing for Seniors Burkburnett	11/19/2014	None	N/A
4782	1001497	Main Street Commons	6/26/2014	UPCS violation	Corrected
4785	1001504	Countrywood Apartments	3/20/2014	None	N/A
4786	1001505	Southwood Apartments	2/26/2014	Failure to provide	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
				Affirmative Marketing plan	
4788	1001500	Mariposa at Bay Colony	3/27/2014	UPCS violation	Corrected
4790	1001501	Artisan at Dilley	4/23/2014	UPCS violation	Corrected
4792	1001502	SilverLeaf at Gun Barrel City	3/19/2014	UPCS violation	Corrected
4793	1001491	Oasis Cove	2/18/2014	Noncompliance with tenant selection requirements (§92.253(d)(6) - failure to provide written rejection notice); Household income above income limit upon initial occupancy (2104); Failure to provide Affirmative Marketing plan; UPCS violation	Corrected
4802	1001494	Amber Stone Apartments	9/30/2014	None	N/A
4803	1001492	The Overlook at Plum Creek	6/25/2014	UPCS violation	Corrected
4804	1001538	The Terrace at MidTowne	10/23/2014	UPCS violation	Corrected
4818	1001393	Sunflower Estates	3/24/2014	Owner did not properly calculate utility allowance; Gross rent exceeds limit (12 units);	Corrective Action not due until 4/15/2015
4822	1001576	Allegre Point	8/28/2014	UPCS violation	Corrected
4823	1001307	Las Brisas Manor	3/27/2014	UPCS violation	Corrected
4830	1001541	Villas of Giddings	4/18/2014	UPCS violation	Corrected
4831	1001540	Riverwood Commons	7/15/2014	Failure to execute required lease provisions or exclude prohibited lease language (§92.253);	Corrected
4835	1001672	The Reserves at High Plains	12/10/2014	UPCS violation	Corrective Action not due until 4/2/2015
4837	1001673	Saddlebrook Apartments	11/19/2014	Household income above income limit upon initial occupancy (1-112); Noncompliance with lease requirements (§92.253(c) -failure to provide 30 day notice for termination); Owner failed to correctly complete or document tenant's annual income	Corrective Action not due until 4/8/2015; UPCS corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
				recertification (2-201/2-203); UPCS violation	
4838	1001670	San Gabriel Apartments	10/20/2014	UPCS violation	Corrected
4839	1001674	Inez Tims	8/26/2014	UPCS violation	Corrected
4841	1001687	Villa Brazos	10/26/2014	UPCS violation	Corrective Action received and under review
4844	1001680	Parkview Place	10/20/2014	UPCS violation	Corrected
4846	1001669	Merritt Legacy	9/25/2014	UPCS violation	Corrected
4861	1001714	Villas of Brownwood II	10/29/2014	UPCS violation	Corrected
4882	1001750	Stonebridge of Kelsey Park	1/28/2015	UPCS violation	Corrective Action not due until 5/5/2015
4885	1001759	The Residences of Solms Village	8/26/2014	UPCS violation	Corrected
4890	1001799	Creek View Apartments III	3/13/2014	UPCS violation	Corrected
4922	1001715	Chandler Place Apartments	1/27/2015	UPCS violation	Corrective Action not due until 6/7/2015

AFFIRMATIVE MARKETING AND MINORITY OUTREACH

In accordance with HOME regulations at 24 CFR §92.351 (a) and (b) and in furtherance of Texas' commitment to nondiscrimination and equal opportunity in housing, TDHCA has established procedures to affirmatively market units assisted under the HOME Investment Partnerships Program. These procedures are intended to further the objectives of Title VIII of the Civil Rights Act of 1968, the Fair Housing Amendments of 1988, and Executive Order 11063.

TDHCA staff ensures that HOME Program administrators comply with the fair housing, accessibility, and affirmative marketing requirements of the program. The following actions are taken by TDHCA to ensure compliance.

- An application guide, which discusses these issues and includes guidance regarding the affirmative marketing plan requirements, is provided at the time of application.
- HOME Program administrators must submit an Affirmative Marketing Plan at application.
- TDHCA conducts training workshops for HOME administrators. These workshops include guidance regarding compliance with the Fair Housing, accessibility, and affirmative marketing requirements of the program.

Affirmative Marketing Actions

For applications consisting of five or more HOME-assisted units, the applicant is required to submit an Affirmative Marketing Plan in accordance with the HOME Final Rule (24 CFR §92.351). TDHCA's Compliance Division monitors for compliance with the requirements specified in the HOME Final Rule (24 CFR §92.351) and in 10 TAC Subchapter B. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status, or disability.

The marketing program outlines procedures by which applications will be solicited from eligible potential program participants, maintain records of efforts to affirmatively market program activities or available housing opportunities, and to develop a system for evaluating the affirmative marketing efforts.

Specific Actions

- Program administrators must ensure that the public, including potential beneficiaries of HOME-assisted housing, is informed that the HOME Program is administered under an established, affirmative marketing policy; applicable federal Fair Housing laws; and other applicable federal, state, and local housing laws. This policy must be promoted in the community through media and other outlets, and communicated to beneficiaries of housing that will be or has been assisted with HOME funds.
- Program administrators shall affirmatively market available housing in local newspapers and using other appropriate methods. All forms of program marketing should depict the Equal Housing Opportunity logo.
- To help ensure that available housing is affirmatively marketed to persons not likely to apply for such housing, Program administrators are encouraged to make HOME information available in

non-English languages spoken by minority groups residing in or near the community. Furthermore, Program administrators are encouraged to distribute marketing materials to area social service agencies that work with minorities, disabled individuals, or other protected groups.

Affirmative Marketing Record Keeping

Program administrators are required to develop an affirmative marketing plan to identify persons who are the least likely to apply and how to reach those persons. Administrators must maintain documentation of their affirmative marketing activities. Program administrators must update their affirmative marketing plan annually throughout the contract term or period of affordability. TDHCA collects Fair Housing Sponsor Report data from each rental housing development program administrator annually. Program administrators use this information in preparing their affirmative marketing plan update.

Minority Outreach

Information on the number and dollar value of contracts for HOME projects completed during the reporting period to Minority Business Enterprises (“MBE”) and Women Business Enterprises (“WBE”) is provided below.

HOME Projects Completed by Minority Business Enterprises, PY 2014

Contractor or Subcontractor Business Racial/Ethnic Code	Contracts Total	Contracts Percent of Total	Contracts Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
American Indian/Alaska Native	0	0.00%	\$0.00	0	0.00%	\$0.00
Asian	0	0.00%	\$0.00	0	0.00%	\$0.00
Black/African American	6	2.55%	\$545,715.00	9	0.64%	\$136,149.00
Black/African American & White	5	2.13%	\$431,177.00	0	0.00%	\$0.00
Hispanic	16	6.81%	\$6,720,375.24	130	9.21%	\$4,896,332.37
White	207	88.09%	\$20,843,445.75	1272	90.15%	\$21,988,364.19
Unknown	1	0.43%	\$363,367.18	0	0.00%	\$0.00
Total	235	100.00%	\$28,904,080.17	1,411	100.00%	\$27,020,845.56

HOME Projects Completed by Women Business Enterprises, PY 2014

Gender Business Code	Contract Total	Contract Percent of Total	Contract Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
Man Owned	233	99.15%	\$28,766,585.17	1,374	97.38%	\$25,795,785.41
Woman Owned	2	0.85%	\$137,495.00	37	2.62%	\$1,225,060.15
Totals	235	100.00%	\$28,904,080.17	1,411	100.00%	\$27,020,845.56

OTHER ACTIONS

This section describes actions by TDA and TDHCA to address the following: Obstacles to Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Gaps in Institutional Structure, Enhancing Coordination, Reducing and Ending Homelessness, and Furthering Fair Housing. DSHS has reported on these topics in Part II.

MEETING UNDERSERVED NEEDS AND DEVELOPING AFFORDABLE HOUSING

The agencies have identified various obstacles that may affect the ability to meet underserved needs in Texas. They include the lack of affordable housing, lack of organizational capacity, lack of organizational outreach, local opposition to affordable housing, regulatory barriers to affordable housing, and area income characteristics (particularly in rural areas). The agencies take actions to mitigate these obstacles such as effectively using existing resources to administer programs, providing information resources to individuals and local areas, and coordinating resources. The following paragraphs outline specific actions taken by the program areas to meet underserved needs and develop affordable housing.

CDBG

Texas CDBG encourages affordable housing projects using several methods in the allocation of CDBG funds to the eligible communities that can participate in its programs, including favorable state scoring and regional prerogative to prioritize funding for housing infrastructure and rehabilitation. Each region is encouraged to set aside a percentage of the regional allocation for housing improvement projects, and housing applications are scored as high priority projects at the state level.

Currently, the primary method of promoting and supporting affordable housing is by providing the water and wastewater infrastructure for residential housing. The CDBG funding provides a cost savings for housing when CDBG funds are used to provide first-time water and wastewater services by installing water and sewer house-to-line connections and paying impact and connection fees for qualifying residents.

CDBG funding also helps cities and counties study affordable housing conditions. The plans produced through CDBG planning contracts provide both valuable data concerning a city's or county's affordable housing stock and planning tools for expanding their affordable housing.

The most commonly cited obstacle to meeting the underserved community development needs of Texas cities (aside from inadequate funding) is the limited administrative capacity of the small rural towns and counties the CDBG Program serves. Rural areas may also have difficulty finding interested contractors who have the financial stability to wait a minimum of two weeks for payment after the work is complete. Contractors can earn more working in metropolitan areas with larger projects and without the location costs required to transport materials and equipment to rural communities. Texas CDBG staff offers technical assistance to communities and works with regulatory agencies as appropriate to resolve issues and promote successful CDBG projects.

The physical size and the diversity of the State of Texas can present challenges to understanding and meeting underserved needs in local communities. The TDA Field Offices have been established to better serve these communities by providing technical assistance and support to Nacogdoches, Houston, Lockney, Bédias, Marfa, San Juan, Uvalde, Woodsborough and San Angelo. In addition, the Colonia Self-Help Centers continue to address affordable housing needs in border counties by assisting qualifying colonia residents to finance, refinance, construct, improve or maintain a safe, suitable home in suitable areas.

HOME and ESG

The HOME Program provides grant funds, deferred forgivable loans, and repayable loans through units of local government, nonprofit and for-profit organizations, CHDOs, Councils of Governments (“COGs”), and public housing authorities (“PHAs”). These funds are primarily used to foster and maintain affordable housing by providing rental assistance, rehabilitation, or reconstruction of owner-occupied housing units, down payment and closing cost assistance for the acquisition of affordable single family housing, and funding for rental housing development or preservation of existing affordable or subsidized rental housing.

HOME funds may also be used in conjunction with the Housing Tax Credit Program to construct or rehabilitate affordable multifamily housing.

Regarding ESG, while TDHCA encourages the use of ESG funds to provide homeless prevention and rapid re-housing assistance, the majority of funds are utilized to provide operational assistance to emergency shelters, to assist persons at-risk of homelessness with rental assistance, and to house persons who are homeless. These funds meet the needs of local homeless populations.

PUBLIC HOUSING RESIDENT INITIATIVES

The future success of PHAs will center on ingenuity in program design, emphasis on resident participation towards economic self-sufficiency, and partnerships with other organizations to address the needs of this population. While the Departments do not have any direct or indirect jurisdiction over the management or operations of PHAs, it is important to maintain relationships with these service providers.

CDBG

Texas CDBG serves public housing areas through various funding categories as residents of PHAs qualify as low- to moderate-income beneficiaries for CDBG projects.

HOME and ESG

TDHCA publishes all Notices of Funding Availability on its website and sends notification of funding availability statewide through TDHCA's email subscriber lists. As PHAs have received homebuyer assistance and tenant-based rental assistance funds, information is provided to enable them to transition families toward homeownership or provide additional households with rental assistance and services to increase self-sufficiency.

Finally, PHAs, including those receiving HOME funds and those with Section 8 Homeownership programs, are targeted by TDHCA's Texas Statewide Homebuyer Education Program for training to provide homebuyer education opportunities and self-sufficiency tools for PHA residents.

PHA residents are eligible to receive assistance and services from ESG grantees.

LEAD-BASED PAINT HAZARDS

DSHS informs the public that, "Lead enters your body when you swallow or breathe in lead dust or particles. Lead can be found in the air, water, food, dust and soil. Small amounts of lead can build up in the body and cause temporary or permanent damage."² Lead-based paint can be found in housing built prior to 1978. According to the 2009-2013 American Community Survey, there are approximately 4.4 million homes in Texas built before 1980. This makes up approximately 44 percent of the total housing stock in Texas.

At the state level, DSHS has been charged with oversight of 25 Texas Administrative Code Chapter 295, Subchapter I, Texas Environmental Lead Reduction Rules ("TELRR"). The TELRR certifies persons and companies conducting lead inspections, lead risk assessments and lead abatements, and conducts enforcement-related activities in response to compliance inspections. The adherence to inspection and abatement standards is related to the extent of lead-based paint in that a majority of the housing in need of rehabilitation is likely housing built before 1978. By following these standards, the state is increasing the access to housing without lead-based paint hazards.

CDBG

In accordance with CDBG state regulations and the Lead-Based Paint Poisoning Prevention Act, CDBG has adopted a policy to eliminate as far as practicable the hazards of lead poisoning due to the presence of lead-based paint in any existing housing assisted under the CDBG. In addition, this policy prohibits the

² <http://www.dshs.state.tx.us/info/#dangerous>

use of lead-based paint in residential structures constructed or rehabilitated with federal assistance. Abatement procedures should be included in the housing rehabilitation contract guidelines for each project and must appear in the approved work write-up documentation for all homes built prior to 1978 that will be rehabilitated, as outlined in the CDBG Project Implementation Manual.

HOME and ESG

The HOME Program increases the awareness of the hazards of lead-based paint by requiring screening for TBRA, homebuyer assistance and homeowner rehabilitation. Furthermore, single-family and multifamily development activities in HOME increase the access to lead-based-paint-free housing because they create new housing.

The HOME Program requires lead screening in housing built before 1978 for all HOME-eligible activities. Rehabilitation activities fall into three categories: 1) Requirements for federal assistance up to and including \$5,000 per unit; 2) Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit; and 3) Requirements for federal assistance over \$25,000 per unit.

Requirements for federal assistance up to and including \$5,000 per unit are: distribution of the pamphlet “Protect Your Family from Lead in Your Home” is required prior to renovation activities; notification within 15 days of lead hazard evaluation, reduction, and clearance must be provided; receipts for notification must be maintained in the administrator file; paint testing must be conducted to identify lead based paint on painted surfaces that will be disturbed or replaced or administrators may assume that lead based paint exist; administrators must repair all painted surfaces that will be disturbed during rehabilitation; if lead based paint is assumed or detected, safe work practices must be followed; and clearance is required only for the work area.

Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit include all the requirements for federal assistance up to and including \$5,000 per unit and the following: a risk assessment must be conducted prior to rehabilitation to identify hazards in assisted units, in common areas that serve those units and exterior surfaces or administrators can assume lead based paint exist and; clearance is required for the completed unit, common areas which serve the units, and exterior surfaces where the hazard reduction took place.

Requirements for federal assistance over \$25,000 per unit included all the requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit and the following: if during the required evaluations lead-based paint hazards are detected on interior surfaces of assisted units, on the common areas that serve those units or on exterior surfaces including soils, then abatement must be completed to permanently remove those hazards; and if lead based paint is detected during the risk assessment on exterior surfaces that are not disturbed by rehabilitation then interim controls may be completed instead of abatement.

For ESG, TDHCA requires subrecipients to evaluate and reduce lead-based paint hazards for conversion, renovation, or rehabilitation projects funded with ESG funds, and tracks work in these efforts as required by the Lead-Based Paint Poisoning Prevention Act’s implementing regulations at 24 CFR Part 35. During the annual contract implementation training, TDHCA provides ESG subrecipients with federal and state requirements and information related to lead-based paint regulations. TDHCA will require ESG funded subrecipients to determine if a housing unit was built prior to 1978, for households seeking ESG funded

rent or rent deposit assistance whose household has a family member(s) 6 year of age or younger. If the housing unit is built prior to 1978, the ESG subrecipient will notify the household of the hazards of lead-based paint.

ESG subrecipients utilizing ESG funds for renovation, rehabilitation or conversion must comply with the Lead Based Paint Poisoning Prevention Act (42 USC, Chapter 63, §4831) and the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 USC, Chapter 63, §4852). Through renovation, rehabilitation or conversion, ESG increase access to shelter without lead-based paint hazards.

POVERTY-LEVEL HOUSEHOLDS

According to the American Community Survey for 2009 to 2013, Texas had a poverty rate of 17.6 percent during this time period compared to the national poverty rate of 15.4 percent. The federal government defined the poverty threshold in 2015 is \$24,250 for a family of four. Many of these poverty-level households can have worst-case housing needs such as severe cost burden, substandard housing and involuntary displacement. Poverty can be self-perpetuating, creating barriers to education, employment, health, and financial stability.

TDA, TDHCA, and DSHS have an important role in addressing Texas poverty. These agencies seek to reduce the number of Texans living in poverty, thereby providing a better future for all Texans. This means trying to provide long-term solutions to the problems facing people in poverty and targeting resources to those with the greatest need.

CDBG

A substantial majority of Texas CDBG funds are obligated to cities and counties under the funding competitions meeting the national objective to “principally benefit low and moderate income persons.” Texas CDBG encourages the funding of communities with a high percentage of persons in poverty through its application scoring. The CDBG projects funded under this national objective are required to serve 51% low to moderate income persons. In addition, the CDBG allocation formula used to distribute Community Development funds among regions includes a variable for poverty in the community distress scoring. The percentage of persons in poverty for each region is factored into the allocation formula in order to target funding toward communities with the greatest need.

In PY 2014, CDBG awarded 209 contracts under the National Objective of benefiting primarily low to moderate income persons. The \$68,267,056 in funds obligated for this National Objective in PY 2014 benefits 255,665 persons, of whom 159,200 are low- to moderate-income persons.

The CDBG economic development funds are instrumental in creating infrastructure and jobs. By creating and retaining jobs through assistance to businesses and then providing lower income people access to these jobs, CDBG can be a very effective anti-poverty tool. Providing jobs that offer workplace training and education, fringe benefits, opportunities for promotion, and services such as child care can further maximize the potential benefits. In addition, programs that improve infrastructure affords the opportunity to upgrade existing substandard housing (such as in the colonias) and build new affordable housing where none could exist before.

In accordance with 24 CFR §135.1, known as Section 3 of the Housing and Urban Development Act of 1968, as amended, Grant Recipients using CDBG funding for housing or other public construction are required, to the greatest extent feasible, to provide training and employment opportunities to lower income residents and contracting opportunities to businesses in the project area when those opportunities are “triggered” by HUD funding. CDBG provides Technical Assistance and program guidance on methods to be employed to attain Section 3 goals and closely monitors the results of those efforts. During PY 2011, CDBG enhanced the oversight and reporting of Section 3 requirements, with reporting now required both on an annual basis as well as when construction and non-construction contracts are executed.

HOME and ESG

Through the HOME Tenant-Based Rental Assistance Program, TDHCA assists households with rental subsidy and security and utility deposit assistance for a period not to exceed twenty-four months. As a condition to receiving rental assistance, households must participate in a self-sufficiency program, which can include job training, General Education Development (“GED”) classes, or drug dependency classes. The HOME Program enables households to receive rental assistance while participating in programs that will enable them to improve employment options and increase their economic independence and self-sufficiency. Rental assistance may be extended beyond the 24-month period subject to TDHCA’s program rules and based on availability of funds.

The ESG Program funds activities that provide shelter, essential services, and rapid re-housing for homeless persons, as well as intervention services for persons threatened with homelessness. Essential services for homeless persons include medical and psychological counseling, employment counseling, substance abuse treatment, transportation, and other services. Rapid re-housing services for homeless persons include short and medium-term rental assistance, application fees, security deposits, utility deposits and payments, and moving costs. In FPY 2014, the State committed \$5,047,449 for shelter and \$5,153,787 for rapid re-housing activities from the 2013 and 2014 ESG. These services are intended to help homeless individuals and those with poverty-level incomes improve their conditions and achieve self-sufficiency.

For individuals threatened with homelessness, homelessness prevention funds can be used for short-term subsidies to defray rent and utility arrearages for households receiving late notices, security deposits, and payments to prevent foreclosure. In FPY 2014, the State committed \$3,087,493 for homelessness prevention activities from the 2013 and 2014 ESG. These services are intended to assist very low income households and those with poverty-level incomes in avoiding becoming homeless.

COMPLIANCE

TDA and TDHCA ensure compliance with program and comprehensive planning requirements through various compliance measures.

CDBG

The monitoring function of TDA has four components: project implementation, contract management, audit, and monitoring compliance.

Project Implementation: Prior to the award of funds, each community is evaluated for compliance in prior contracts. The application scoring process at the state level includes a scoring factor for past performance on CDBG contracts. In addition, once a funding recommendation has been made the contract is routed through the Program Development, Operations, Legal, and Fiscal Operations Departments to verify that no outstanding issues in previously awarded contracts prevent the contract execution for the recommended award.

Contract Management: All open CDBG projects are assigned to a specific Regional Coordinator who is responsible for contract compliance and project management. All projects have formal contracts that include all federal and state requirements. Regional Coordinators monitor progress and compliance through formal reporting procedures. Program Specialists for Labor Standards and Environmental compliance also exist under the Project Management function. Additionally, all reimbursement requests require complete supporting documentation before payment is made.

Audit: All CDBG grantees are required to report financial information and/or submit a Single Audit Report to TDA for each fiscal year in which CDBG funds were expended. If a Single Audit is required in accordance with either OMB A-133 or the new 2 CFR 200, that audit is submitted to TDA. TDA reviews the Single Audit as an additional monitoring tool to evaluate the fiscal performance of grantee, regardless of whether there are findings noted in the audit pertaining to CDBG funds.

Monitoring Compliance: The on-site programmatic reviews are conducted on every CDBG contract prior to close-out to ensure the contractual obligations of each grant are met. In September 2011, the CDBG Program implemented a new risk assessment methodology covering compliance monitoring of funded projects. The new process uses an objective risk assessment tool to evaluate the programmatic compliance risk of CDBG-funded projects.

Contracts scored according to the risk assessment tool will be grouped into a range of three categories: High Risk, Medium Risk, and Low Risk. Monitoring staff will conduct a risk assessment of CDBG contracts to determine whether monitoring reviews are to be performed onsite or as desk reviews. All High Risk contracts are to be monitored onsite. Medium Risk and Low Risk contracts are to be monitored as desk reviews, unless otherwise directed by CDBG management.

In addition, the risk category is used to determine the timeframe for monitoring the project. Contracts will be selected for monitoring according to their risk category and according to the approximate percentage of total CDBG funds drawn.

The areas reviewed include procurement procedures paid with CDBG funds or with match dollars, accounting records including copies of cancelled checks, bank statements and general ledgers (source

documentation is reviewed at the time of draw requests), equipment purchases and/or procurement for small purchases, on-site review of environmental records, review of any applicable construction contracts, file review of any applicable client files for rehabilitation services, review of labor standards and/or a review of local files if internal staff used for construction projects, and a review of documentation on hand pertaining to fair housing and civil rights policies.

In addition to the formal monitoring function described above, the staff of the Compliance unit communicates with the staff of the Project Management unit as needed to evaluate issues throughout the contract implementation phase of CDBG contracts in order to identify and possibly resolve contract issues prior to the monitoring phase of the project.

HOME and ESG

TDHCA has established oversight and monitoring procedures within the Compliance Division to ensure that activities are completed and funds are expended in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes. TDHCA's monitoring efforts are guided by both its responsibilities under the HOME and ESG programs and its affordable housing goals for the State of Texas. These monitoring efforts include the following:

- Identifying and tracking program and project results
- Identifying technical assistance needs of administrators
- Ensuring eligible expenditure of funds
- Documenting compliance with program rules
- Preventing fraud and abuse
- Identifying innovative tools and techniques that support affordable housing goals
- Ensuring quality workmanship in funded projects
- Long-term compliance

Identifying and Tracking Program and Project Results

HOME contract and project activities are tracked through the TDHCA Contract Database (CDB) system, including pending projects, funds drawn, and funds disbursed through the internet-based system, HUD's Integrated Disbursement and Information System (IDIS), and other reports generated as needed. The CDB provides information necessary to track the success of the program and identify process improvements and administrator training needs. IDIS tracks HOME Program data such as commitment and disbursement activities, the number of units developed, the number of families assisted, the ongoing expenditures of HOME funds, and beneficiary information.

Other resources utilized by TDHCA to track project results include asset management and a loan servicing division. If either of these areas identifies problems, steps are taken to resolve the issue, including project workouts and oversight of reserve accounts.

ESG project and contract activities are uploaded and tracked through TDHCA's website, which maintains an Oracle-based reporting system. This system maintains funds drawn, funds expended, performance data, and other reports as needed. ESG data such as commitment and disbursement activities, number of persons assisted, ongoing expenditures, and program activities are also tracked through HUD's IDIS.

Identifying Technical Assistance Needs of Subrecipients

Identification of technical assistance needs for HOME and ESG administrators is performed through analysis of administrator management practices, analysis of sources used by TDHCA to track technical assistance such as information captured in the Housing Contract System or the Community Affairs Contract System and division's database, review of documentation submitted, desk reviews based on the requirements identified in the Compliance Supplement and State Affordable Housing Program requirements, project completion progress, results of on-site audits and monitoring visits, and desk reviews conducted by TDHCA staff.

Ensuring Timely Expenditure of Funds

TDHCA ensures adequate progress is made toward committing and expending HOME and ESG funds. Regular review of HUD reports, internal reports and data from IDIS is performed to assess progress of fund commitment and to ensure that all funds are committed within 24 months from the last day of the month in which HUD and TDHCA enter into an agreement. Performance deadlines for spending and matching funds are reviewed on a quarterly basis to track expenditure totals. HOME benchmark and set-aside requirements are also tracked.

Documenting Compliance with Program Rules

Compliance with program rules is documented through contract administration and formal monitoring processes. Staff documents compliance issues as part of their ongoing contract management reviews and notifies administrators of any noncompliance and required corrective action.

Contract monitoring is on-going throughout the contract period and/or the construction period. Monitoring reviews are scheduled and planned based on risk. Areas tested include specific program requirements, such as eligibility and program match. Federal cross-cutting requirements, such as financial, procurement, relocation, environmental, labor, and fair housing are also included in the monitoring scope.

On-site monitoring reviews are conducted at least once every three years and may be monitored more frequently if a development continues to have uncorrected noncompliance, change in ownership, or any other risk factors determined by the Division. An on-site monitoring file review consists of reviewing 20% percent of the HOME units or a minimum of 5 units. During a file review staff confirms household eligibility, rent restrictions, income recertification requirements and that lease agreements contain required tenant protections. In addition, the development's resident selection criteria, program forms, waiting list, affirmative marketing plan and utility allowance documentation is reviewed to ensure compliance with the program. Technical assistance is provided to on-site staff during the review.

A comparison is made between local codes (if any) and the Uniform Physical Conditions Standards ("UPCS") prior to conducting inspections to ensure the development meets applicable codes and is safe, sanitary and decent housing. The physical inspection is typically conducted one to three months after the

onsite file review. Inspections are conducted by TDHCA staff or by outside inspectors contracted by TDHCA. All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and TDHCA policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreements.

TDHCA has developed a set of standards for HOME administrators to follow to ensure that subcontractors and lower-tiered organizations entering into contractual agreements with administrators perform activities in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes.

TDHCA maintains a database to document an administrator's compliance history with rental housing developments. Prior to the award of any new funding the Compliance Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issues are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action to correct noncompliance.

Preventing Fraud and Abuse

TDHCA monitors for potential fraud and mismanagement of funds through the assistance of written agreements with HOME administrators and review of supporting documentation throughout the HOME contract period to ensure that activities are eligible, through information gathered from outside sources and TDHCA staff, and through onsite monitoring visits of HOME and ESG subrecipients. If fraud or mismanagement of funds is found, consequences for noncompliance are enforced and disallowed costs are refunded to TDHCA. Also, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, and local law enforcement agencies as applicable.

Identifying Innovative Tools and Techniques that Support Affordable Housing Goals

Staff identifies innovative tools and techniques to support affordable housing goals by attending trainings and conferences, maintaining contact with other state affordable housing agencies, and through the HUD internet listserv and HUD website.

Ensuring Quality in Funded Projects

Ensuring the administrator provides the committed product, amenities, and compliance with accessibility is a TDHCA priority. Division staff monitors for the quality of workmanship in HOME-funded projects through the inspection process. TDHCA staff conducts inspections to substantiate compliance with program standards and application commitments and representations. Deficiencies and concerns are identified at pre-construction plan review, and final construction inspections. Construction inspections examine a sample of units based on size of the development, unit type and related risk factors. If any deficiencies or concerns are identified during these inspections, the HOME administrator is notified in writing and provided a corrective action period. In addition, technical assistance is available and provided during the entire construction process. All identified deficiencies require correction prior to retainage release and final inspection clearance for all HOME rental developments.

TDHCA staff is trained in the design standards and technical requirements of Section 504 of the Rehabilitation Act of 1973, the 2010 Americans with Disabilities Act Standards, Fair Housing Act, and Model Construction Codes including Energy Efficiency Standards. The tools and training provided to field inspection staff include comprehensive inspection checklist, annual training class and one-on-one training in the field to ensure accuracy and consistency. TDHCA is committed to ensuring all inspectors are trained thoroughly on the Division's procedures, expectations, and accessibility requirements.

Long-Term Compliance

The Compliance Division is responsible for long term monitoring of HOME rental developments. Long-term monitoring begins at the commencement of leasing. Performance is monitored through desk reviews and on-site monitoring visits and on-site physical inspections. Desk reviews are required to be submitted electronically through TDHCA's web-based Compliance Monitoring and Tracking System ("CMTS") throughout the affordability period. All HOME rental developments are required to submit electronic quarterly desk reports during the initial lease up phase. Once a development has achieved 100% occupancy and is in compliance with all program rules and regulations, the development's reporting schedule is changed to an annual basis. All HOME rental developments are required to submit an electronic annual desk report and an Annual Owner's Compliance Report ("AOCR") April 30 of each year.

At the commencement of leasing all HOME rental developments are scheduled for an on-site monitoring review. HOME developments are monitored throughout the affordability period. An on-site monitoring review consists of reviewing 20% percent, or 5 minimum, resident files to ensure compliance with income and rent restrictions and all other federal requirements. In addition, a physical inspection of the development, buildings, and units is completed. Inspections are completed by a TDHCA Contractor, or Inspection staff. The physical inspection is not limited to health and safety issues, but also includes an on-going limited accessibility inspection with the construction requirements of American with Disabilities Act, Section 504 of the Rehabilitation Act of 1973, and the Fair Housing Act.

All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and TDHCA policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreement. If a HOME rental development fails to comply with requirements as listed above, TDHCA has implemented enforcement procedures and administrative penalties described in 10 TAC Part 1, Chapter 2 Enforcement.

Risk Management

HOME contracts are monitored based on a risk assessment model that is updated on a quarterly basis or more frequently if required. Some of the elements of the Risk Assessment Model may include the type of activity, existence of a construction component, Davis-Bacon requirements, results of previous on-site visits, status of the most recent monitoring report, amount committed/expended, previous administrator experience, entity type, and Single Audit status. In addition to the results of the risk assessment survey, referrals from division staff are considered when determining in depth monitoring reviews or required technical assistance. An emphasis is placed on monitoring of contracts within the current draw period and contracts with projects in the affordability period as defined by HUD.

If complaints are received by TDHCA, they are considered a risk management element and will be reviewed in detail. Supplemental monitoring activities will be performed to ensure program compliance and detection of possible fraud or mismanagement.

The Risk Assessment Model is also implemented for ESG contracts. Some of the elements of the Risk Assessment Model include the following: length of time since last on-site visit, results of last on-site visit, status of most recent monitoring report, timeliness of grant reporting, total amount funded during assessment period, total amount funded for all TDHCA contracts during assessment period, number of TDHCA contracts funded during assessment period, and Single Audit Status. In addition to the results of the risk assessment survey consideration is also given to recommendations made from other TDHCA divisions regarding performance with other TDHCA-funded programs. Based on this assessment, higher ranking ESG subrecipients are prioritized for monitoring. This occurs on a quarterly basis.

Resolution Activities

TDHCA resolves findings and questioned costs arising from desk and on-site monitoring reviews. This work involves direct communication with contractors to obtain additional information and/or corrective action plans and involves the review and evaluation of submitted documentation. In the event questioned costs are determined to be unallowable per applicable cost principles, recovery is initiated through a formal recovery process.

INSTITUTIONAL STRUCTURE

Given that Texas is the second largest state in the union, TDA, TDHCA, and DSHS support the formation of partnerships in the provision of housing, housing-related, and community development endeavors to reach more people than one entity could do alone. Partnerships can help expand the geographic area that services reach, as well as leverage and layer funding to address the finite amount of financial resources available for affordable housing, community service, and community development.

TDA, TDHCA, and DSHS are primarily pass-through funding agencies and distribute federal funds to local entities that in turn provide assistance to households. Because of this, the agencies work with many housing and community development partners, including consumer groups, community-based organizations, neighborhood associations, community development corporations, councils of governments, community housing development organizations, community action agencies, real estate developers, social service providers, local lenders, investor-owned electric utilities, local government, nonprofits, faith-based organizations, property managers, state and local elected officials, and other state and federal agencies.

There are many benefits to these partnerships: risk and commitment are shared; the principle of reciprocity requires that local communities demonstrate an awareness of their needs and a willingness to participate actively in solving problems, therefore local communities play an active role in tailoring the project to their needs; partners are able to concentrate specifically on their area of expertise; and a greater variety of resources insure a well targeted, more affordable product.

CDBG

CDBG funds are awarded to non-entitlement units of general local government thereby providing these communities with financial resources to respond to its community development needs. Such may include planning; constructing community facilities, infrastructure, and housing; and implementing economic development initiatives. Each applicant to the CDBG Program is required through its citizen participation process to inform local housing organizations of its intention to apply for CDBG funding through the Texas CDBG and invite their input into the project selection process. Texas CDBG continues to coordinate with the Texas Department of Housing and Community Affairs, the Texas Water Development Board, Annual State Agency Meeting on Rural Issues, and the 24 Regional Councils of Governments to further its mission and target beneficiaries of CDBG funds through programs such as the Colonia Self-Help Centers, the Colonia Economically Distressed Areas Program, the Housing Tax Credit Program, and the Texas Capital Fund.

HOME and ESG

The HOME Program encourages partnerships in order to improve the provision of affordable housing. Organizations receiving HBA funds are required to provide homebuyer education classes to households directly, or coordinate with a local organization that will provide the education. In addition, organizations receiving TBRA funds must provide self-sufficiency services directly, or coordinate with a local organization that will provide the services. HOME staff also participates in workgroups with representatives from many organizations. The workgroups focus on rural housing, disability, and health related issues around the state.

TDHCA welcomes collaborative applications and in the 2014 Notice of Funding Availability for ESG included additional points for collaborative applications. TDHCA also encourages all ESG subrecipients to develop partnerships with service providers in their area. ESG subrecipients are also required to participate in the local HMIS system with exceptions for victim and legal services providers, and are awarded points if an applicant can demonstrate participation in the local Continuum of Care.

REDUCING AND ENDING HOMELESSNESS

HUD's interim rule released in December 2011 directly relates to the replacement of the Emergency Shelter Grants Program ("ESGP") with the ESG Program. However, part of the new regulations affect the other three programs, such as the requirement to report on efforts to reduce and end homelessness (24 CFR §91.520(c)). These reporting requirements include (1) Reaching Out to Homeless Persons, (2) Emergency and Transitional Housing Needs, (3) Transition to Permanent Housing, and (4) Efforts to Avoid Homelessness. Below is the state's report on 24 CFR §91.520(c) for all programs.

Reaching out to Homeless Persons (especially unsheltered persons) and assessing their individual needs

CDBG

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically reach out to homeless persons. In order to maximize the available resources and prevent program duplication, CDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

HOME and ESG

HOME recipients are required to publicize availability of funds including, but not limited to, public announcements, pamphlets, brochures and newspapers advertisements. For HOME activities that could transition persons out of homelessness, such as TBRA, recipients have the option to reach out to homeless individuals while completing their publicity requirement.

The point-in-time count for 2014 showed that there were at least 28,495 homeless persons in Texas, with as many as 10,267 unsheltered. Texas has taken the following steps to increase outreach to homeless persons:

1. The State has provided incentives for ESG Program applicants to collaborate and provide comprehensive outreach and services for homeless persons.
2. The State continued a grant to the Texas Homeless Network ("THN"). Through associated activities, THN is providing training and technical assistance needed by Texas CoCs resulting in full compliance with 24 CFR Part 578, including development of assessment systems that will improve the CoC's ability to reach out and coordinate assistance to homeless persons wherever they might seek assistance in a local or regional area.

Addressing the emergency shelter and transitional housing needs of homeless persons

CDBG

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address emergency and transitional housing needs. In order to maximize the available resources and prevent program duplication, CDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

HOME and ESG

TDHCA's ESG and HOME Programs make funding available annually that can be used to address emergency and transitional housing needs across the state. TDHCA is working to expand its network of providers by marketing the availability of the funds to entities that do not traditionally administer these programs during program specific workshops, public hearings, and other venues.

The point-in-time count for 2014 showed that there were 18,228 homeless persons in emergency shelter and transitional housing in Texas. Texas has taken the following steps to increase outreach to persons in emergency shelter and transitional housing:

1. To move people from emergency shelters and transitional housing into permanent housing, greater focus is placed on housing assistance and rapid re-housing in the State's ESG Program.
2. In FY 2013 and FY 2014, the State identified funds for the operation of the Homeless Housing Services Program ("HHSP"). This program provides funds to the eight largest cities which are used to provide essential services, improve facilities and fund shelter operations.
3. The State continued a grant to THN. Through associated activities, THN will provide training and technical assistance needed by Texas CoCs resulting in full compliance with 24 CFR Part 578, including development of centralized intake and coordinated assessment systems that will be used by various services providers within and outside of traditional homeless services providers, enabling them to better identify and address the needs of persons in emergency shelter and transitional housing.

Transition to Permanent Housing

CDBG

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address the transition to permanent housing. In order to maximize the available resources and prevent program duplication, CDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

HOME and ESG

During PY 2013, TDHCA assigned two staff members, including staff of the HOME Division, to work on a grant in coordination with DADS that focuses on assisting individuals with rental assistance following discharge from publicly funded institutions and systems of care including health-care facilities, and mental health facilities. Since the assignment of the staff members, TDHCA has assisted 19 households in

PY 2013 and 2014. TDHCA has revised its program requirements in an effort to shift the focus of ESG interventions from providing emergency and transitional housing to increasing access to permanent housing. Further, TDHCA promotes long-term housing stability by providing participants with the economic resources and tools necessary for long-term housing stability. While in programs, participants receive case management and services designed to remove barriers to long-term housing stability such as job search, training, and GED classes. Participants also receive assistance in applying for unemployment, disability, and other cash and non-cash benefits.

Efforts to avoid homelessness

CDBG

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address efforts to avoid homelessness. In order to maximize the available resources and prevent program duplication, CDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

HOME and ESG

TDHCA funds several entities that provide outreach and services to homeless populations. TDHCA's HOME Program funded four entities that reported assisting forty-one families at-risk of becoming homeless with rental assistance totaling over \$500,000.

The State has continued grants to the Texas Homeless Network to enable them to provide training and technical assistance to CoCs. Through associated activities, the CoCs will be equipped with a comprehensive assessment system that focuses on the direct needs of homeless individuals and families in the local or regional area. The coordinated assessment will result in the identification of persons who are at risk of homelessness and the provision of services from various services providers within and outside of traditional homeless services providers, with the goal of preventing those persons from becoming homeless.

AFFIRMATIVELY FURTHERING FAIR HOUSING

Detail on the state's progress to affirmatively further fair housing and address impediments identified in the Analysis of Impediments to Fair Housing Choice are included below followed by actions taken by TDA and TDHCA's programs included in the CAPER to affirmatively further fair housing.

Through rule provision, outreach and training, and monitoring, TDHCA works to ensure that its housing and assistance programs are furthering fair housing choice and reducing barriers for protected classes and low income residents in Texas as required by HUD. The Texas Workforce Commission's Civil Rights Division ("TWCCRD") is tasked with enforcing the State of Texas's Fair Housing Act, which was passed in 1989 and prohibits discrimination based on race, color, national origin, sex, religion, familial status, and disabilities in homeownership or rental housing opportunities. TDHCA is currently working with TWCCRD to ensure that prospective applicants and residents are aware of TWCCRD's complaint process and that Owners and Management Agents operating TDHCA monitored properties are aware of their responsibilities under the Federal and State Fair Housing Act. TWCCRD is planning the implementation of a web-based fair housing training that will assist TDHCA in being able to offer an online training course covering Fair Housing law and landmark settlement cases.

The State of Texas's Phase 2 Analysis of Impediments ("AI") was submitted to HUD on November 8, 2013. To better track its goals under the AI, TDHCA created a Fair Housing Team in May, 2014. The Team will be essential in working across the agency to consolidate records of fair housing activities and set priorities and goals. Highlights of its current activities include but are not limited to the following:

TDHCA created a new fair housing website section that expand on the previously developed page and improve housing discrimination complaint direction. The new website section was released in October, 2014 and includes the following resource pages: Fair Housing 101; Renters, Homebuyers, Housing Professionals resource pages; Elected Officials and Local Governments; Fair Housing Policy & Guidance; Training; Toolkits, Sample Forms, and Downloads; How To File a Complaint; TDHCA Fair Housing Corner; External Newsfeeds; Find An Apartment; Join Our Email List; Contact Us. The new website section pulls together information and creates linkages to sites maintained by HUD, the Department of Justice ("DOJ"), the American Disability Association ("ADA"), the National Fair Housing Alliance ("NFHA"), the National Low Income Housing Coalition, and other resources. Local sites such as the Texas Apartment Association, the University of Texas William Wayne Justice Center, Disability Rights Texas, the YWC, and the Texas State Law Library are also available. The Fair Housing Team tracks website traffic metrics and involves the public by collecting an online survey. The improved site has been shared with TDA, TWC, DSHS, and the General Land Office ("GLO") to facilitate discussions on ways to streamline complaint direction between agencies and establish consistent fair housing resources pages between agencies. The site is also being promoted with external groups that may find its content highly relevant, such as the Housing and Health Services Coordination Council ("HHSCC"). The new website section is available at: <http://www.tdhca.state.tx.us/fair-housing/index.htm>.

TDHCA has developed a Fair Housing Tracking Database that will assist the state in consolidating fair housing records and tracking goals under the Analysis of Impediments. The database allows TDHCA to better review current efforts and identify areas for improvement.

TDHCA does not currently provide agency-wide service data and many program areas enter data in different databases. The Department is prioritizing the standardization of its demographic data collection across Divisions and will attempt to consolidate data by creating a system that will pull and store data from the agency's primary database. The work requires several steps, but will be pioneered with the Multifamily portfolio's Compliance Monitoring and Tracking System ("CMTS") first to help with engineering a tool to be used in analyzing property tenant pool data against census demographic data for the wider metropolitan area or County. Underrepresentation of demographic populations based on HUD's definition of minority concentration will assist Owners in planning affirmative marketing efforts in coordination with a revised Affirmative Marketing rule.

TDHCA also adopted amendments to two rules in Subchapter F of its Uniform Multifamily Rules to assist in guiding its goals to affirmatively further fair housing and decrease housing barriers across the state. Final adoption of the rules occurred at the December 18, 2014 Board meeting and became effective on January 8, 2015. The Fair Housing Team also created a new Single Family Affirmative Marketing draft rule with the help of TDHCA's Single Family and Community Affairs Divisions. The draft rule is being proposed as an addition to the Single Family Umbrella Rule and will assist TDHCA in monitoring for compliance with HUD's affirmative marketing and Limited English Proficiency ("LEP") mandates for federally funded programs.

TDHCA revised site selection rules in Subchapter B of its Uniform Multifamily Rules to include penalties for a proposed property's proximity to additional undesirable site features (e.g., large oil refineries and oil field operations, nuclear power plants, and highly volatile hazardous substance pipelines, etc.) and created a new process by which one of three triggers (poverty rates above 40%, location in a census tract with a high violent crime rate, or an Environmental Site Assessment indicating facilities listings within ASTM-required (American Society for Testing and Materials) search distances in certain environmental watch databases) would result in a site and neighborhood review by staff. The revised rules, adopted at the November 13, 2014 TDHCA Board meeting, seek to provide a better mechanism for review in cases where development sites proposed at application conflict with TDHCA's goals to further fair housing choice in its multifamily housing portfolio.

The Fair Housing Team worked with the Compliance Division to integrate a tenant's programmatic rights brochure with TDHCA's Fair Housing Disclosure Notice and a property's notice of amenities and services. The guide has been created to assist TDHCA in providing fair housing rights information and directly addressing Impediment 3 of the State of Texas's Phase 2 AI. The document, in addition to the revised web pages, represents first steps to increase public knowledge about fair housing rights and rules unique to TDHCA monitored affordable rental properties.

Development of a guide for local engagement on affordable housing development was created through a TDHCA contract with the University of Houston. The guide provides information about the Housing Tax Credit program and various mechanisms for public and local government involvement. The guide also includes checklists for evaluating needs for affordable housing development, assessing the performance and history of development teams, and soliciting and evaluating community feedback. The guide was distributed by TDHCA at the American Planning Association Texas Chapter Conference in Frisco, TX in October, 2014, at which TDHCA was featured as a presenter on "Building Stronger Communities Through Housing Choice". Scott Marks of Coats, Rose, Yale, Ryman, and Lee, P.C. and Chance Sparks,

City Planner of Buda, joined TDHCA in presenting on the topic, offering additional valuable insights and feedback. The presentation generated much positive feedback.

In addition to the items above, TDHCA served a central role in fair housing trainings on topics such as new construction 2010 Americans with Disabilities Act (“ADA”) standards and Fair Housing Accessibility First, the latter of which filled the University of Texas Thompson Conference Center training room to capacity. The Fair Housing Team has worked to improve Fair Housing units in program trainings throughout the agency as well. The ESG Program recently worked in collaboration with Legal and the Fair Housing Team to create a Fair Housing unit for the ESG Implementation Workshop.

TDHCA has also worked in collaboration with several external groups to raise awareness of affordable housing and impediments that can limit fair housing choice for residents– these efforts include a Service-Enriched Housing Video series developed with the HHSCC which provide information on various forms of housing and emergency rental assistance, supportive housing, and fair housing. The Service-Enriched Housing Videos are available at <http://www.tdhca.state.tx.us/hhsc/service-enriched-housing-videos.htm>.

Highlights of activities taken statewide by TWCCRD in 2014 also to address the impediments:

- Redesign of TWCCRD’s fair housing web pages to include additional information and improve user friendliness;
- Conducting fair housing training for housing providers pursuant to terms of conciliation agreements for resolution of complaints;
- Investigating and closing 36% of cases as merit resolutions--defined as reasonable cause findings, conciliations and withdrawals with settlement;
- Implementing Memorandum of Understanding between TDHCA and TWCCRD designed to facilitate interagency cooperation regarding fair housing issues and fair housing complaints;
- Implementing a two-year outreach initiative focusing on “oil and gas” boom areas, with a designated outreach coordinator, on-site visits, print materials, and media placement;
- Applying for HUD Partnership Funds (approved) for a two-year enforcement initiative, including a mediator for an early mediation program, intake coordinator and additional legal assistance on investigations/litigation; and
- Investigating and closing a majority of disability cases as merit resolutions, and continuing education efforts.

The state is continuing to take steps to affirmatively further fair housing, and will expand on its activities related to the AI during 2015. The Texas State Fair Housing Workgroup was convened by TDHCA to encourage resource and idea sharing between TDA, TDHCA, TWC, DSHS, and GLO, all of which receive HUD funds for housing-related activities. The group meets at least every other month and discusses topics such as fair housing training, Limited English Proficiency (“LEP”) provisions, complaint direction, NOFA and application requirements, monitoring provisions, website improvements, and other relevant topics that assist state agencies in furthering fair housing choice as directed under the Phase 2 Analysis of Impediments.

CDBG FAIR HOUSING ACTIVITIES

In compliance with 24 CFR §570.487, other applicable laws and related program requirements, the state has completed the required actions to affirmatively further fair housing. The State of Texas conducts training and provides educational material to the participating units of general local government on federal and state fair housing laws and procedures, including technical assistance. The following are examples of this performance:

Contractor Certifications

All applicants for the CDBG funds must certify that they will take action to affirmatively further fair housing. This certification must be signed and submitted with the initial application for funding and is also included in the contract, if awarded. This certification is discussed at the application workshops and is clearly noted in the application guides.

Planning Activities

Contracts awarded under the Planning and Capacity Building Fund are required to include fair housing elements in several planning components, including housing inventory analysis, capital improvement needs planning, analysis of zoning ordinances, and overall planning strategies.

Civil Rights and Fair Housing Technical Assistance

The Texas Community Development Block Grant Program has assigned a staff member to be responsible for the fair housing and civil rights requirements of the program. Staff addresses questions from the grantees and general public regarding civil rights and makes any appropriate referrals on an on-going basis.

Project Implementation Manual

A copy of the TxCDBG Project Implementation Manual was made available to all new grantees and to the public via the TDA website to assist in the administration of project activities and to inform entities of applicable laws and regulations. This manual includes a chapter regarding fair housing and equal opportunity with information, forms, and checklists to ensure compliance with all regulations. This manual includes clear instructions so that city and county employees are able to understand and complete the necessary forms and requirements. A list of recommended fair housing activities, samples of Fair Housing Ordinances and a checklist of reporting and record keeping requirements of the CDBG program are provided in the Manual. Grantees are encouraged to pass fair housing ordinances and to update existing fair housing ordinances to include all federally protected classes. The fair housing ordinance must include a penalty clause and the locality must have the staff and the capacity to enforce the ordinance.

TxCDBG Project Implementation Workshops held across the state provided opportunities for grantees and administrative consultants to clarify these requirements as needed. Administrative consultants must attend a CDBG Project Implementation Workshop in order to be certified to administer CDBG contracts.

Pre-Funding Site Visits

TDA staff conducted pre-funding site visits to all localities that were recommended for funding under the Community Development Fund. All CDBG grantees are informed that they are required to conduct at

least one fair housing activity during the contract period. During this personal visit the localities are informed of the Project Implementation Manual available on the TDA website.

Availability of Fair Housing Documents

The Texas Community Development Block Grant Program are willing to provide copies of civil rights laws, various samples of public service announcements and fair housing ordinances, fair housing brochures, and technical assistance upon request.

Monitoring of Civil Rights Requirements

Texas CDBG administers on average between 800 and 1,000 open CDBG contracts throughout the year. Program Monitors review each contractor for civil rights requirements using a detailed checklist on civil rights and fair housing requirements. A review of the files includes, but is not limited to, the following:

- All bid documents and contracts must contain equal employment opportunity provisions including an equal opportunity plan.
- All Grant Recipients are required to publish a notice of non-discrimination in a general circulation newspaper in the affected community and complete a Section 504 self-evaluation review.
- Grant Recipients with 15 or more employees must have appointed a Section 504 coordinator, adopted grievance procedures, and notified all CDBG project participants that they must not discriminate on the basis of an individual's disability.
- The CDBG Program requires that each Grant Recipient appoint a Fair Housing/Equal Opportunity Officer to be responsible for the fair housing and civil rights program requirements, and to take any possible complaints and make referrals, as necessary.
- Each Grant Recipients is monitored closely to ensure that at least one fair housing activity was completed within the contract period.
- The project completion report must include a description of the fair housing activities conducted during the contract period.
- The project completion report also contains the documentation of beneficiaries by income level, gender, race, and ethnicity.

Evidence of the civil rights and fair housing activities performed must be well-documented and available for review at the locality. This evidence is reviewed by Program Monitors when conducting on-site monitoring visits. If documentation of these activities is not available at the time of the monitoring visit, the locality is provided with a written request for these documents and instructed to provide the evidence within 30 days. Contracts are not administratively closed until the civil rights and fair housing requirements are met.

Staff Outreach, Training, Conferences, and Workshops

The Texas Health and Human Services Commission's Civil Rights Office has the responsibility to investigate claims of discrimination; to conduct new, periodic, and special compliance reviews of offices, programs and contractors; to provide training and guidance; and to take other appropriate steps to ensure that programs and services do not discriminate.

The staff members of the TDA Field Offices attended various events to provide technical assistance regarding TDA programs and fair housing issues. These staff members provide technical assistance in

housing, community and economic development, and capacity building, and provide health-care related information for the rural areas. The staff members also provide limited information on TDHCA's housing programs and refer communities to the appropriate office.

In addition to TDA Field Offices, Border Field Offices, operated by TDHCA's Office of Colonia Initiatives ("OCI") and supported in part by CDBG funds, promote fair housing in border counties. The OCI staff provides one-on-one training and technical assistance on their housing and community affairs programs and services including Contract for Deed Conversion, Texas Bootstrap Loan Program, First-Time Homebuyer, and Contract for Deed Consumer Education. Furthermore, CDBG provides grants for colonia self-help centers in seven border counties. The centers provide on-site technical assistance and conduct community development activities, housing activities, public service activities, infrastructure improvements, outreach and education.

HOME FAIR HOUSING ACTIVITIES

This section describes TDHCA's efforts to affirmatively further fair housing through the HOME Program.

Monitoring and Compliance of the Fair Housing Requirements

TDHCA's Compliance Division is responsible for on-site monitoring of HOME administrators and all HOME rental developments. Compliance staff utilizes comprehensive checklists to review compliance with accessibility, fair housing, and affirmative marketing requirements.

- Accessibility requirements are monitored throughout the affordability period. All rental housing developments must comply with Section 504 of the Rehabilitation Act of 1973, the Fair Housing Act and the Americans with Disabilities Act. Prior to the commencement of construction or rehabilitation, a plan review is conducted by TDHCA staff to assess compliance with the above mentioned accessibility standards. HOME rental developments also receive a mid-construction inspection. At the completion of construction or rehabilitation, a final construction inspection is performed to ensure compliance with accessibility requirements. If any deficiencies are noted, the owner is provided an opportunity to cure. Final retainage is not released until all deficiencies are corrected. A limited accessibility inspection is conducted with each on-site monitoring review to ensure the development's amenities and common areas continue to comply with applicable accessibility requirements.
- Fair Housing requirements are monitored by reviewing pertinent development documents. The property's written leasing criteria is reviewed to ensure objective standards are used for selecting tenants and for establishing applicant household eligibility to receive HOME assistance. Objective standards would include household income, rental history, credit history and criminal history. The criteria is also reviewed to ensure preferences do not discriminate on the basis of race, color, religion, sex, national origin, disability, or familial status.
- The Affirmative marketing plan and documentation of outreach efforts are reviewed in-depth during the on-site monitoring review. All HOME assisted properties containing 5 or more units must operate under an Affirmative Marketing Plan. The plan is reviewed to ensure it is property-

specific and describes actions and marketing steps that will be utilized to provide information to attract eligible persons from all racial, ethnic, and gender groups in the housing market. The plan is required to be in writing and must specify methods for soliciting potential program applicants; such as persons least likely to apply and persons with disabilities. These groups must be identified and marketed to appropriately. Records of the Affirmative Marketing Plan are reviewed during the on-site monitoring visit to ensure the development is appropriately marketing to persons with disabilities and service agencies that serve minority groups. Owners are also encouraged to make marketing materials in non-English languages spoken by minority groups residing in or near the community of the property.

Fair Housing Training

State rules regarding housing developments require owners and architects to attend at least five hours of Fair Housing training. TDHCA maintains a list of entities that provide ongoing training to ensure that training opportunities are shared with developers, architects, and TDHCA staff. In addition, TDHCA added additional Fair Housing information in training presentations including TDHCA's 1st Thursday income eligibility training, multi and single-family application and implementation workshops, and training provided for staff. TDHCA posts Fair Housing Training opportunities online on TDHCA's Fair Housing website and requires rental housing administrators to sign a certification that the Applicant has read and understands TDHCA's fair housing educational materials posted on TDHCA's website.

Public Education and Outreach

TDHCA developed a webpage dedicated to Fair Housing issues that is now available on TDHCA's website. The site contains several fair housing resources that the general public, elected officials, housing developers, mortgage brokers, and leasing/loaning agents can all benefit from to provide them with a greater understanding of the Federal and Texas Fair Housing Acts, and their impact on daily activities. TDHCA also designed a fair housing outreach campaign that included Fair Housing Information Notices as well as educational materials available to be provided during training events that TDHCA hosts and attends. TDHCA has also contacted other State entities in order to make TDHCA available as a resource for both education and research.

Efficient Use of Funds

To address the limited availability of funding for affordable housing, TDHCA enforces contract performance standards that allow TDHCA to deobligate funds from non-performing contracts and reprogram these funds for low-income housing. TDHCA also worked collaboratively with the state agencies administering HUD programs to update the State of Texas Plan for Fair Housing Choice: Analysis of Impediments. The result is a comprehensive, complete document identifying fair housing impediments across the state.

ESG FAIR HOUSING ACTIVITIES

This section describes TDHCA's efforts to affirmatively further fair housing through ESG.

ESG subrecipients, in providing rental assistance to homeless persons or persons who are at risk of homelessness due to eviction or due to loss of utilities, ensure that owners or renters are not discriminated against. TDHCA's annual ESG Program Implementation Workshop includes specific information for

subrecipients regarding fair housing requirements. TDHCA's ESG subrecipient contracts include a provision on discrimination and equal opportunity as follows: Title VI of the Civil Rights Act of 1964, (42 U.S.C. Sec. 2000d et seq.); 24 CFR Part 1, "Nondiscrimination in Federally Assisted Programs of the Department of Housing and Urban Development – Effectuation of Title VI of the Civil Rights Act of 1964"; Title VIII of the Civil Rights Act of 1968, "The Fair Housing Act of 1968" (42 U.S.C. Sec. 3601 et seq.) and implementing regulations; Executive Order 11063, as amended by Executive Order 12249, and 24 CFR Part 107, "Nondiscrimination and Equal Opportunity in Housing under Executive Order 11063." The failure or refusal of the Subrecipient to comply with the requirements of Executive Order 11063 of 24 CFR Part 107 shall be a proper basis for the imposition of consequences for noncompliance specified in 24 CFR §107.60.; The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. §6101 *et seq.*) and implementing regulations at 24 CFR Part 146; The prohibitions against discrimination against otherwise qualified individuals with disabilities under Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. Sec. 794) and implementing regulations at 24 CFR Part 8.

Finally, TDHCA's monitoring of subrecipients includes a process where we review compliance with provisions of the McKinney-Vento Act, the ESG contract, and other federal or State regulations.

GOALS AND OBJECTIVES

This section describes those goals and objectives that pertain to the Community Development, Homeless, Housing activities. Non-Homeless Special Needs goals and objectives are included in Part II of this document.

COMMUNITY DEVELOPMENT GOALS AND OBJECTIVES: CDBG

The following includes the reported outputs of key and non-key measures for CDBG goals as reported to the Legislative Budget Board for Fiscal Year 2014.

Number of new community and economic development contracts awarded.

FY 2014 Target: 267

FY 2014 Actual: 267

Number of projected beneficiaries from new contracts awarded.

FY 2014 Target: 388,000

FY 2014 Actual: 404,032

Number of programmatic monitoring visits conducted.

FY 2014 Target: 397

FY 2014 Actual: 280

HOMELESS AND HOUSING GOALS AND OBJECTIVES

ESG and HOME

The goals below, taken from TDHCA's 2015 State of Texas Low Income Housing Plan and Report, reflect program performance during State Fiscal Year 2014 based upon measures developed with the State's Legislative Budget Board and Governor's Office of Budget and Planning. State Fiscal Year 2014 covers the period September 1, 2013 to August 31, 2014. Goals and strategies that do not relate to HUD programs administered by TDHCA have been omitted. The following performance measures are distinct from the HUD Performance Indicators reported in each program section of this document, which measure performance during the 2014 Program Year, February 1, 2014 through January 31, 2015.

GOAL 1: TDHCA will increase and preserve the availability of safe, decent and affordable housing for very low-, low- and moderate-income persons and families.

Strategy 1.2

Provide federal housing loans and grants through the HOME Investment Partnership (HOME) Program for affordable single family housing

Strategy Measure	2014 Target	2014 Actual	% of Goal	2015 Target
Number of households assisted with Single Family HOME Funds	433	659	152.2%	351

Explanation of Variance: Reservation System usage combined with the use of deobligated fund balances and program income have increased the number of single family households served.

GOAL 2: TDCHA will promote improved housing conditions for extremely low-, very low- and low-income households by providing information and technical assistance.

Strategy 2.1

Provide information and technical assistance to the public through the Housing Resource Center

Strategy Measure	2014 Target	2014 Actual	% of Goal	2015 Target
Number of information and technical assistance requests completed	7,000	7,778	111.1%	7,000

Explanation of Variance:

The number of information and technical assistance requests handled by the Housing Resource Center (HRC) varies based on economic conditions across the state. Throughout SFY2013 - SFY2014 the HRC experienced a consistently higher volume of requests due to in large part to the continuing economic downturn.

Strategy 2.2

To assist colonias, border communities, and nonprofits through field offices, Colonia Self-Help Centers, and TDHCA programs.

Strategy Measure	2014 Target	2014 Actual	% of Goal	2015 Target
Number of technical assistance contacts and visits conducted by the field offices	1,200	1,123	93.6%	1,200

Explanation of Variance:

The number of Contacts/Visits is below the YTD Expected target due to the two quarter vacancy of the Laredo Field Office.

GOAL 3: TDHCA will improve living conditions for the poor and homeless and reduce the cost of home energy for very low-income Texans.

Strategy 3.1

Administer homeless and poverty-related funds through a network of community action agencies and other local organizations so that poverty-related services are available to very low-income persons throughout the state.

Strategy Measure #1	2014 Target	2014 Actual	% of Goal	2015 Target
Number of persons assisted through homeless and poverty related funds	674,828	687,908	101.9%	652,055

Explanation of Variance: None needed.

Strategy Measure #2	2014 Target	2014 Actual	% of Goal	2015 Target
Number of persons assisted that achieve incomes above poverty level.	1,100	1,262	114.7%	1,100

Explanation of Variance:

Texas economy and continued job growth created opportunities for increased wages reflected in increased performance.

GOAL 4: TDHCA will ensure compliance with the federal and state program mandates.

Strategy 4.1

The Compliance Division will monitor and inspect for Federal and State housing program requirements.

Strategy Measure	2014 Target*	2014 Actual	% of Goal	2015 Target
Total number of onsite reviews conducted.	848	998	117.7%	862

Explanation of Variance: The target was underestimated; it is difficult to predict this target because onsite reviews and UPCS inspections both accounted for in the measure) are not always conducted in the same quarter.

**In accordance with HB1 of the 82nd Legislature, the Community Development Block Grant Disaster Recovery funding program previously administered by TDHCA was transferred to the Texas General Land Office (GLO). As a result of this program transfer, the performance measure targets for Strategy 4.1 were revised in November 2011 and therefore deviate from the agency's FY2012-2013 LAR.*

Strategy 4.2

The Compliance Division will administer and monitor federal and state subrecipient contracts for programmatic and fiscal requirements.

Strategy Measure	2014 Target*	2014 Actual	% of Goal	2015 Target
Total number of contract monitoring reviews conducted.	183	177	96.7%	183

Explanation of Variance: None needed.

**In accordance with HB1 of the 82nd Legislature, the Community Development Block Grant Disaster Recovery funding program previously administered by TDHCA was transferred to the Texas General Land Office (GLO). As a result of this program transfer, the performance measure targets for Strategy 4.2 were revised in November 2011 and therefore deviate from the agency's FY2012-2013 LAR.*

The following TDHCA-designated goal addresses the housing needs of person with special needs.

HOME PROGRAM STATUTE REQUIREMENT: TDHCA will work to address the housing needs and increase the availability of affordable and accessible housing for persons with special needs.

Dedicate 5 percent of the HOME annual allocation for benefits of persons with disabilities who live in any area of this state.

Strategy Measure	2014 Target	2014 Actual	% of Goal	2015 Target
Amount of HOME project allocation awarded to applicants that target persons with disabilities.	\$1,224,171	\$4,617,275	377.2%	\$1,078,781

Explanation of Variance:

These include funds from the Persons with Disabilities Set-Aside and HOME general funds that were used to assist households with person with disabilities and special needs. It is important to note that funds from the Persons with Disabilities set-aside may be used anywhere in the state, and HOME general funds may only be utilized in non-participating jurisdictions, which are communities that do not receive HOME funds directly from HUD. The HOME Program's goal was exceeded by Administrators accessing HOME Persons with Disabilities funds as well as HOME general funds to serve households with a person with disabilities and special needs.



Part II: Consolidated Annual Performance and Evaluation Report for HOPWA

Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

Revised 1/22/15

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Previous editions are obsolete - form HUD-40110-D (Expiration Date: 10/31/2017)

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling

Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	

3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local

community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the

agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

**Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number TX-H-14-F999		Operating Year for this report <i>From (mm/dd/yy)</i> 02/01/14 <i>To (mm/dd/yy)</i> 01/31/15		
Grantee Name	The State of Texas HOPWA Formula Program – Texas Department of State Health Services			
Business Address	P.O. Box 149347, Mail Code 1873			
City, County, State, Zip	Austin	Travis	TX	78714-9347
Employer Identification Number (EIN) or Tax Identification Number (TIN)	1-32-0113643-A2			
DUN & Bradstreet Number (DUNs):	807391511	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 807391511		
Congressional District of Grantee's Business Address	10			
*Congressional District of Primary Service Area(s)	N/A			
*City(ies) and County(ies) of Primary Service Area(s)	Cities: N/A Counties: N/A			
Organization's Website Address	www.dshs.state.tx.us	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered. N/A		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name	Alamo Area Resource Center (AARC)		Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Howard Rogers			
Email Address	howardr@aarcsa.com			
Business Address	303 North Frio Street			
City, County, State, Zip,	San Antonio	Bexar	Texas	78207
Phone Number (with area code)	210-625-7200	Fax Number (with area code)	210-591-0807	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2583211			
DUN & Bradstreet Number (DUNs):	825117906			
Congressional District of Project Sponsor's Business Address	35			
Congressional District(s) of Primary Service Area(s)	15, 20, 21, 23, 28, 34, 35			
City(ies) and County(ies) of Primary Service Area(s)	<p>Cities: Adkins, Alamo Heights, Atascosa, Balcones Heights, Bandera, Bergheim, Bigfoot, Blanco, Boerne, Bulverde, Campbellton, Canyon Lake, Castle Hills, Castroville, Center Point, Charlotte, China Grove, Christine, Cibolo, Comfort, Converse, Devine, D'Hanis, Dilley, Doss, Ecleto, Elmendorf, Fair Oaks Ranch, Falls City, Fischer, Floresville, Fredericksburg, Garden Ridge, Geronimo, Gillett, Grey Forest, Harper, Helotes, Hill Country Village, Hobson, Hollywood Park, Hondo, Hunt, Ingram, Jourdanton, Karnes City, Kandalia, Kenedy, Kerrville, Kingsbury, Kirby, La Coste, La Vernia, Leesville, Leming, Leon Valley, Live Oak, Lytle, Macdona, Marion, McQueeney, Mico, Moore, Mountain Home, Natalia, New Berlin, New Braunfels, Nixon, Olmos Park, Pandora, Panna Maria, Pearsall, Peggy, Pipe Creek, Pleasanton, Poteet, Poth, Rio Medina, Runge, Saint Hedwig, San Antonio, Santa Clara, Schertz, Seguin, Selma, Shavano Park, Somerset, Spring Branch, St. Hedwig, Staples, Stockdale, Stonewall, Sutherland Springs, Tarpley, Terrell Hills, Universal City, Vanderpool, Von Ormy, Waring, Willow City, Windcrest, Yancey</p> <p>Counties: Atascosa, Bandera, Bexar, Comal, Frio, Gillespie, Guadalupe, Karnes, Kendall, Kerr, Medina, Wilson</p>			
Total HOPWA contract amount for this Organization for the operating year	\$151,161			
Organization's Website Address	www.aarcsa.com			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			

Project Sponsor Agency Name	Maverick County Hospital District (MCHD)	Parent Company Name, if applicable	N/A	
Name and Title of Contact at Project Sponsor Agency	Terri Contreras			
Email Address	t.contreras@mchdep.org			
Business Address	3406 Bob Rogers Drive, Suite 140			
City, County, State, Zip,	Eagle Pass	Maverick	Texas	78852
Phone Number (with area code)	830-757-4992	Fax Number (with area code)	830-757-4982	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-6000705			
DUN & Bradstreet Number (DUNs):	021330233			
Congressional District of Project Sponsor's Business Address	23			
Congressional District(s) of Primary Service Area(s)	11, 21, 23, 28			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Artesia Wells, Asherton, Barksdale, Batesville, Big Wells, Brackettville, Camp Wood, Carrizo Springs, Catarina, Comstock, Concan, Cotulla, Crystal City, Del Rio, Eagle Pass, El Indio, Encinal, Fowlerton, Knippa, La Pryor, Langtry, Leakey, Quemado, Rio Frio, Rocksprings, Sabinal, Spofford, Telegraph, Utopia, Uvalde Counties: Dimmit, Edwards, Kinney, La Salle, Maverick, Real, Uvalde, Val Verde, Zavala			
Total HOPWA contract amount for this Organization for the operating year	\$25,645			
Organization's Website Address	www.mchdep.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			

Project Sponsor Agency Name	Victoria City-County Health Department	Parent Company Name, if applicable	N/A	
Name and Title of Contact at Project Sponsor Agency	Paul M. Kelliher			
Email Address	pkelliher@vctx.org			
Business Address	2805 North Navarro Street, Suite 104			
City, County, State, Zip,	Victoria	Victoria	Texas	77901
Phone Number (with area code)	361-578-6281 x 3802	Fax Number (with area code)	361-575-6342	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-6002039			
DUN & Bradstreet Number (DUNs):	603165804			
Congressional District of Project Sponsor's Business Address	27			
Congressional District(s) of Primary Service Area(s)	10, 15, 27, 34			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Belmont, Berclair, Bloomington, Cost, Cuero, Edna, Fannin, Francitas, Ganado, Goliad, Gonzales, Hallettsville, Harwood, Hochheim, Inez, La Salle, La Ward, Leesville, Lolita, McFaddin, Meyersville, Moulton, Nixon, Nordheim, Nursery, Ottine, Placedo, Point Comfort, Port Lavaca, Port O'Connor, Seadrift, Shiner, Smiley, Sublime, Sweet Home, Telferner, Thomaston, Vanderbilt, Victoria, Waelder, Weesatche, Westhoff, Wrightsboro, Yoakum, Yorktown Counties: Calhoun, DeWitt, Goliad, Gonzales, Jackson, Lavaca, Victoria			
Total HOPWA contract amount for this Organization for the operating year	\$69,162			
Organization's Website Address	www.health.vctx.org			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			

Project Sponsor Agency Name	Community Action, Inc. of Central Texas	Parent Company Name, if applicable	N/A	
Name and Title of Contact at Project Sponsor Agency	Daniel Bustos			
Email Address	dbustos@communityaction.com			
Business Address	101 Uhland Road			
City, County, State, Zip,	San Marcos	Hays	Texas	78666
Phone Number (with area code)	512-754-3510 x 306	Fax Number (with area code)	512-392-3530	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1541726			
DUN & Bradstreet Number (DUNs):	037318342			
Congressional District of Project Sponsor's Business Address	35			
Congressional District(s) of Primary Service Area(s)	10, 11, 15, 17, 21, 25, 27, 31, 35			
City(ies) and County(ies) of Primary Service Area(s)	<p>Cities: Austin, Bastrop, Bear Creek, Bee Cave, Bertram, Blanco, Bluffton, Briarcliff, Briggs, Buchanan Dam, Buda, Burnet, Carmine, Castell, Cedar Creek, Cedar Park, Cottonwood Shores, Coupland, Creedmoor, Dale, Del Valle, Dime Box, Driftwood, Dripping Springs, Elgin, Ellinger, Fayetteville, Fentress, Flatonia, Florence, Georgetown, Giddings, Granger, Granite Shoals, Hays, Highland Haven, Horseshoe Bay, Hutto, Hye, Jarrell, Johnson City, Jonestown, Kingsland, Kyle, La Grange, Lago Vista, Lakeway, Leander, Ledbetter, Lexington, Liberty Hill, Lincoln, Llano, Lockhard, Lockhart, Luling, Manchaca, Manor, Marble Falls, Martindale, Maxwell, Mc Neil, McDade, Meadowlakes, Mountain City, Muldoon, Mustang Ridge, Niederwald, Paige, Pflugerville, Plum, Point Venture, Prairie Lea, Red Rock, Rollingwood, Rosanky, Round Mountain, Round Rock, Round Top, San Leanna, San Marcos, Schulenburg, Schwertner, Smithville, Spicewood, Sunrise Beach, Sunset Valley, Taylor, The Hills, Thrall, Tow, Uhland, Valley Spring, Volente, Walburg, Warda, Warrenton, Webberville, Weir, West Lake Hills, West Point, Wimberley, Woodcreek</p> <p>Counties: Bastrop, Blanco, Burnet, Caldwell, Fayette, Hays, Lee, Llano, Travis, Williamson</p>			
Total HOPWA contract amount for this Organization for the operating year	\$25,648			
Organization's Website Address	www.communityaction.com/HealthServices/Hlthservices.htm			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			

Project Sponsor Agency Name	Project Unity	Parent Company Name, if applicable	N/A	
Name and Title of Contact at Project Sponsor Agency	Jeannie McGuire			
Email Address	jmcguire@project-unity.org			
Business Address	4001 East 29th Street, Suite 114			
City, County, State, Zip,	Bryan	Bryan	Texas	77802
Phone Number (with area code)	979-595-2800	Fax Number (with area code)	979-595-29010	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2932865			
DUN & Bradstreet Number (DUNs):	03059121			
Congressional District of Project Sponsor's Business Address	17			
Congressional District(s) of Primary Service Area(s)	8, 10, 17			
City(ies) and County(ies) of Primary Service Area(s)	<p>Cities: Anderson, Bedias, Bremond, Brenham, Bryan, Buffalo, Burton, Caldwell, Calvert, Centerville, Chappell Hill, Chriesman, College Station, Concord, Deanneville, Flynn, Franklin, Hearne, Iola, Jewett, Kurten, Leona, Lyons, Madisonville, Marquez, Midway, Millican, Mumford, Navasota, New Baden, Normangee, North Zulch, Oakwood, Plantersville, Richards, Roans Prairie, Shiro, Snook, Somerville, Todd Mission, Washington, Wellborn, Wheelock, Wixon Valley</p> <p>Counties: Brazos, Burtleson, Grimes, Leon, Madison, Robertson, Washington</p>			
Total HOPWA contract amount for this Organization for the operating year	\$58,948			
Organization's Website Address	www.projectunitytx.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			

Project Sponsor Agency Name	Shannon Business Services			Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Crystal Connor				
Email Address	crystalconnor@shannonhealth.org				
Business Address	120 East Harris Avenue				
City, County, State, Zip,	San Angelo	Tom Green	Texas	76903	
Phone Number (with area code)	325-657-5677	Fax Number (with area code)		325-481-6134	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	43-2038769				
DUN & Bradstreet Number (DUNs):	073150963				
Congressional District of Project Sponsor's Business Address	11				
Congressional District(s) of Primary Service Area(s)	11, 21, 23				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Art, Barnhart, Big Lake, Brady, Bronte, Carlsbad, Christoval, Doole, Eden, Eldorado, Eola, Fort McKavett, Fredonia, Goodfellow Afb, Hext, Junction, Knickerbocker, Lohn, London, Lowake, Mason, Melvin, Menard, Mereta, Mertzon, Millersview, Ozona, Paint Rock, Pontotoc, Robert Lee, Rochelle, Roosevelt, San Angelo, Silver, Sonora, Sterling City, Tennyson, Vancourt, Veribest, Voca, Wall, Water Valley Counties: Coke, Concho, Crockett, Irion, Kimble, Mason, McCulloch, Menard, Reagan, Schleicher, Sterling, Sutton, Tom Green				
Total HOPWA contract amount for this Organization for the operating year	\$25,152				
Organization's Website Address	www.shannonhealth.com				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	United Way of the Greater Fort Hood Area			Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Aaron Montemayor				
Email Address	uwgfha@centexbiz.r.com				
Business Address	208 West Avenue A				
City, County, State, Zip,	Killeen	Bell	Texas	76541	
Phone Number (with area code)	254-634-0660	Fax Number (with area code)		254-634-0066	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1750544				
DUN & Bradstreet Number (DUNs):	16144021				
Congressional District of Project Sponsor's Business Address	31				
Congressional District(s) of Primary Service Area(s)	11, 17, 25, 31				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Bartlett, Belton, Bend, Buckholts, Burlington, Cameron, Carlton, Cherokee, Copperas Cove, Davilla, Evant, Flat, Fort Hood, Gatesville, Gause, Goldthwaite, Hamilton, Harker Heights, Heidenheimer, Hico, Holland, Jonesboro, Kempner, Killeen, Lampasas, Little River-Academy, Lometa, Milano, Morgan's Point Resort, Mound, Mullin, Nolanville, Oglesby, Pendleton, Pottsville, Priddy, Purmela, Richland Springs, Rockdale, Rogers, Salado, San Saba, South Mountain, Star, Temple, Thorndale, Troy Counties: Bell, Coryell, Hamilton, Lampasas, Milam, Mills, San Saba				
Total HOPWA contract amount for this Organization for the operating year	\$33,760				
Organization's Website Address	www.unitedway-gfha.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	Waco/McLennan County Public Health District			Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Laurel Churchman				
Email Address	laurel@ci.waco.tx.us				
Business Address	225 West Waco Drive				
City, County, State, Zip,	Waco	McLennan	Texas	76707	
Phone Number (with area code)	254-750-5499	Fax Number (with area code)		254-750-5480	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	1-74-6002468-4				
DUN & Bradstreet Number (DUNs):	075090779				
Congressional District of Project Sponsor's Business Address	17				
Congressional District(s) of Primary Service Area(s)	6, 17, 25, 31				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Abbott, Aquilla, Axtell, Bellmead, Beverly Hills, Blum, Brandon, Bruceville, Bruceville-Eddy, Bynum, Carl's Corner, Chilton, China Spring, Clifton, Coolidge, Covington, Cranfills Gap, Crawford, Donie, Eddy, Elm Mott, Fairfield, Gholson, Golinda, Groesbeck, Hallsburg, Hewitt, Hillsboro, Hubbard, Iredell, Irene, Itasca, Kirvin, Kopperl, Kosse, Lacy-Lakeview, Laguna Park, Leroy, Lorena, Lott, Malone, Marlin, Mart, McGregor, Meridian, Mertens, Mexia, Moody, Morgan, Mount Calm, Penelope, Prairie Hill, Reagan, Riesel, Robinson, Rosebud, Ross, Satin, Streetman, Teague, Tehuacana, Thornton, Valley Mills, Waco, Walnut Springs, West, Whitney, Woodway, Wortham Counties: Bosque, Falls, Freestone, Hill, Limestone, McLennan				
Total HOPWA contract amount for this Organization for the operating year	\$95,752				
Organization's Website Address	www.waco-texas.com/cms-healthdepartment/				
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	Dallas County Health and Human Services – HOPWA Program Unit		Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Kristi Dance			
Email Address	kristi.dance@dallascounty.org <input type="checkbox"/>			
Business Address	2377 North Stemmons Freeway, Suite 200, LB 16			
City, County, State, Zip,	Dallas	Dallas	Texas	75207-2710
Phone Number (with area code)	214-819-2844	Fax Number (with area code)	214-819-1850	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6000905			
DUN & Bradstreet Number (DUNs):	073128597			
Congressional District of Project Sponsor's Business Address	30			
Congressional District(s) of Primary Service Area(s)	3, 4, 5, 6, 12, 13, 24, 26, 30, 32, 33			
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	<p>Cities: Addison, Allen, Alma, Angus, Anna, Argyle, Aubrey, Avalon, Balch Springs, Bardwell, Barry, Bartonville, Blooming Grove, Blue Ridge, Caddo Mills, Campbell, Carrollton, Cedar Hill, Celeste, Celina, Chatfield, Cockrell Hill, Combine, Commerce, Copeville, Coppell, Copper Canyon, Corinth, Corral City, Corsicana, Cottonwood, Crandall, Cross Roads, Dallas, Dawson, Denton, Desoto, Dish, Double Oak, Duncanville, Elmo, Emhouse, Ennis, Eureka, Fairview, Farmers Branch, Farmersville, Fate, Ferris, Flower Mound, Forney, Forrester, Frisco, Frost, Garland, Garrett, Glenn Heights, Goodlow, Grand Prairie, Grays Prairie, Greenville, Hackberry, Hawk Cove, Heath, Hebron, Hickory Creek, Highland Park, Highland Village, Hutchins, Irving, Italy, Josephine, Justin, Kaufman, Kemp, Kerens, Krugerville, Krum, Lake Dallas, Lakewood Village, Lancaster, Lavon, Lewisville, Lincoln Park, Little Elm, Lone Oak, Lowry Crossing, Lucas, Mabank, Maypearl, McKinney, Mclendon-Chisholm, Melissa, Merit, Mesquite, Midlothian, Mildred, Milford, Mobile City, Murphy, Mustang, Navarro, Nevada, New Hope, Neylandville, Northlake, Oak Grove, Oak Leaf, Oak Point, Oak Ridge, Oak Valley, Ovilla, Palmer, Parker, Pecan Hill, Pilot Point, Plano, Ponder, Post Oak Bend, Powell, Princeton, Prosper, Providence, Purdon, Quinlan, Red Oak, Retreat, Rice, Richardson, Richland, Roanoke, Rockwall, Rosser, Rowlett, Royse City, Sachse, Sanger, Scurry, Seagoville, Shady Shores, St. Paul, Sunnyvale, Talty, Terrell, The Colony, Trophy Club, Union Valley, University Park, Waxahachie, West Tawakoni, Westminster, Weston, Wilmer, Wolfe City, Wylie</p> <p>Counties: Collin, Dallas, Denton, Ellis, Hunt, Kaufman, Navarro, Rockwall</p>			
Total HOPWA contract amount for this Organization for the operating year	\$1			
Organization's Website Address	www.dallascounty.org			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			

Project Sponsor Agency Name	Your Health Clinic	Parent Company Name, if applicable			N/A
Name and Title of Contact at Project Sponsor Agency	Gwynne Palmore				
Email Address	g.palmore@verizon.net				
Business Address	303 Sunset Boulevard				
City, County, State, Zip,	Sherman	Grayson	Texas	75092	
Phone Number (with area code)	903-891-1972	Fax Number (with area code)		903-892-6093	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2395756				
DUN & Bradstreet Number (DUNs):	879477875				
Congressional District of Project Sponsor's Business Address	4				
Congressional District(s) of Primary Service Area(s)	4, 13, 26				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Bailey, Bells, Bonham, Callisburg, Collinsville, Denison, Dodd City, Dorchester, Ector, Era, Gainesville, Gober, Gordonville, Gunter, Honey Grove, Howe, Ivanhoe, Knollwood, Ladonia, Leonard, Lindsay, Muenster, Myra, Pottsboro, Randolph, Ravenna, Rosston, Sadler, Savoy, Sherman, Southmayd, Telephone, Tioga, Tom Bean, Trenton, Valley View, Van Alstyne, Whitesboro, Whitewright, Windom Counties: Cooke, Fannin, Grayson				
Total HOPWA contract amount for this Organization for the operating year	\$68,873				
Organization's Website Address	www.callieclinic.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	AIDS Foundation Houston, Inc. (Houston HSDA)			Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Kelly Young				
Email Address	youngk@afhouston.org				
Business Address	6260 Westpark Drive, Suite 100				
City, County, State, Zip,	Houston	Harris	Texas	77057	
Phone Number (with area code)	713-623-6796	Fax Number (with area code)		713-623-4029	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	76-0073661				
DUN & Bradstreet Number (DUNs):	19-007-4179				
Congressional District of Project Sponsor's Business Address	7				
Congressional District(s) of Primary Service Area(s)	2, 7, 8, 9, 10, 14, 18, 22, 27, 29, 36				
City(ies) and County(ies) of Primary Service Area(s)	<p>Cities: Alief, Alleyton, Altair, Ames, Anahuac, Arcola, Barker, Baytown, Beach City, Beasley, Bellaire, Bellville, Bleiberville, Boling, Brazos Country, Brookshire, Bunker Hill Village, Cat Spring, Channelview, Cleveland, Columbus, Conroe, Cove, Crosby, Cut And Shoot, Cypress, Daisetta, Danevang, Dayton, Dayton Lakes, Deer Park, Devers, Dobbin, Dodge, Eagle Lake, East Bernard, Egypt, El Campo, El Lago, Fairchilds, Fresno, Fulshear, Galena Park, Garwood, Glen Flora, Glidden, Guy, Hankamer, Hardin, Hedwig Village, Hempstead, Highlands, Hilshire Village, Hockley, Houston, Huffman, Hufsmith, Hull, Humble, Hungerford, Hunters Creek Village, Huntsville, Industry, Jacinto City, Jersey Village, Katy, Kendleton, Kenefick, Kenney, Kingwood, La Porte, Lane City, Liberty, Lissie, Louise, Magnolia, Meadows Place, Missouri City, Mont Belvieu, Montgomery, Morgan's Point, Nada, Nassau Bay, Needville, New Caney, New Ulm, New Waverly, North Cleveland, North Houston, Oak Ridge North, Oakland, Old River-Winfree, Orchard, Panorama Village, Pasadena, Pattison, Patton Village, Pierce, Pine Island, Pinehurst, Piney Point Village, Pleak, Plum Grove, Porter, Prairie View, Raywood, Richmond, Riverside, Rock Island, Roman Forest, Romayor, Rosenberg, Rye, San Felipe, Seabrook, Sealy, Shenandoah, Sheridan, Shoreacres, Simonton, South Houston, Southside Place, Splendora, Spring, Spring Valley, Stafford, Stagecoach, Stowell, Sugar Land, Taylor Lake Village, Thompsons, Tomball, Waller, Wallis, Wallisville, Webster, Weimar, West University Place, Weston Lakes, Wharton, Willis, Winnie, Woodbranch, Woodloch</p> <p>Counties: Austin, Chambers, Colorado, Fort Bend, Harris, Liberty, Montgomery, Walker, Waller, Wharton</p>				
Total HOPWA contract amount for this Organization for the operating year	\$22,189				
Organization's Website Address	www.aidshelp.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	AIDS Foundation Houston, Inc. (Galveston HSDA)			Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Kelly Young				
Email Address	youngk@afhouston.org				
Business Address	6260 Westpark Drive, Suite 100				
City, County, State, Zip,	Houston	Harris	Texas	77057	
Phone Number (with area code)	713-623-6796	Fax Number (with area code)		713-623-4029	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	76-0073661				
DUN & Bradstreet Number (DUNs):	19-007-4179				
Congressional District of Project Sponsor's Business Address	7				
Congressional District(s) of Primary Service Area(s)	14, 22, 27				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Alvin, Angleton, Bacliff, Bailey's Prairie, Bay City, Bayou Vista, Blessing, Bonney, Brazoria, Brookside Village, Cedar Lane, Clear Lake Shores, Clute, Collegeport, Damon, Danbury, Danciger, Dickinson, Elmaton, Freeport, Friendswood, Galveston, Gilchrist, High Island, Hillcrest Village, Hitchcock, Holiday Lakes, Iowa Colony, Jamaica Beach, Jones Creek, Kemah, La Marque, Lake Jackson, League City, Liverpool, Manvel, Markham, Matagorda, Midfield, Old Ocean, Oyster Creek, Palacios, Pearland, Pledger, Port Bolivar, Quintana, Richwood, Rosharon, Sandy Point, Santa Fe, Surfside Beach, Sweeny, Texas City, Tiki Island, Van Vleck, Wadsworth, West Columbia Counties: Brazoria, Galveston, Matagorda				
Total HOPWA contract amount for this Organization for the operating year	\$3,405				
Organization's Website Address	www.aidshelp.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	Health Horizons of East Texas, Inc.			Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Dr. Wilbert Brown, Junior				
Email Address	drwilbertbrown@sbcglobal.net				
Business Address	1407 East Main Street				
City, County, State, Zip,	Nacogdoches	Nacogdoches	Texas	75961	
Phone Number (with area code)	936-569-8240 x 10	Fax Number (with area code)		936-569-2217	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2355884				
DUN & Bradstreet Number (DUNs):	800809741				
Congressional District of Project Sponsor's Business Address	1				
Congressional District(s) of Primary Service Area(s)	1, 5, 8, 36				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Ace, Apple Springs, Appleby, Bon Wier, Broaddus, Bronson, Brookeland, Browndell, Buna, Burke, Burkeville, Call, Camden, Center, Centralia, Chester, Chireno, Coldspring, Colmesneil, Corrigan, Crockett, Cushing, Dallardsville, Deweyville, Diboll, Doucette, Douglass, Etoile, Evadale, Fred, Garrison, Goodrich, Grapeland, Groveton, Hemphill, Hillister, Hudson, Huntington, Huxley, Jasper, Joaquin, Kennard, Kirbyville, Latexo, Leggett, Livingston, Lovelady, Lufkin, Martinsville, Milam, Moscow, Nacogdoches, Newton, Oakhurst, Onalaska, Pennington, Pineland, Point Blank, Pointblank, Pollok, Ratcliff, Sacul, San Augustine, Seven Oaks, Shelbyville, Shepherd, Spurger, Tenaha, Timpson, Trinity, Warren, Wiergate, Woden, Woodlake, Woodville, Zavalla Counties: Angelina, Houston, Jasper, Nacogdoches, Newton, Polk, Sabine, San Augustine, San Jacinto, Shelby, Trinity, Tyler				
Total HOPWA contract amount for this Organization for the operating year	\$133,675				
Organization's Website Address	www.hhet.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	Special Health Resources for Texas, Inc. (Texarkana/Paris HSDA)	Parent Company Name, if applicable			N/A
Name and Title of Contact at Project Sponsor Agency	Theresa Jones				
Email Address	thjones@shrt.net				
Business Address	410 North Fourth Street				
City, County, State, Zip,	Longview	Gregg	Texas	75602	
Phone Number (with area code)	903-234-0776 x 355	Fax Number (with area code)		903-234-9769	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2405203				
DUN & Bradstreet Number (DUNS):	13-582-6449				
Congressional District of Project Sponsor's Business Address	1				
Congressional District(s) of Primary Service Area(s)	1, 4, 5				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Annona, Arthur City, Atlanta, Avery, Avinger, Bagwell, Ben Franklin, Bivins, Bloomburg, Blossom, Bogata, Brashear, Brookston, Cason, Chicota, Clarksville, Como, Cookville, Cooper, Cumby, Cunningham, Daingerfield, De Kalb, Deport, Detroit, Dike, Domino, Douglassville, Enloe, Hooks, Hughes Springs, Kildare, Klondike, Lake Creek, Leary, Linden, Lone Star, Marietta, Maud, McLeod, Millers Cove, Mount Pleasant, Mount Vernon, Naples, Nash, New Boston, Omaha, Paris, Pattonville, Pecan Gap, Petty, Pickton, Powderly, Queen City, Red Lick, Redwater, Roxton, Saltillo, Scroggins, Simms, Sulphur Bluff, Sulphur Springs, Sumner, Sun Valley, Talco, Texarkana, Tira, Toco, Wake Village, Winfield Counties: Bowie, Cass, Delta, Franklin, Hopkins, Lamar, Morris, Red River, Titus				
Total HOPWA contract amount for this Organization for the operating year	\$73,197				
Organization's Website Address	www.shrt.net				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	Special Health Resources for Texas, Inc. (Longview/Tyler HSDA)	Parent Company Name, if applicable			N/A
Name and Title of Contact at Project Sponsor Agency	Theresa Jones				
Email Address	thjones@shrt.net				
Business Address	410 North Fourth Street				
City, County, State, Zip,	Longview	Gregg	Texas	75602	
Phone Number (with area code)	903-234-0776 x 355	Fax Number (with area code)		903-234-9769	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2405203				
DUN & Bradstreet Number (DUNs):	13-582-6449				
Congressional District of Project Sponsor's Business Address	1				
Congressional District(s) of Primary Service Area(s)	1, 4, 5				
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	<p>Cities: Alba, Alto, Arp, Athens, Beckville, Ben Wheeler, Berryville, Big Sandy, Brownsboro, Bullard, Caney City, Canton, Carthage, Cayuga, Chandler, Clarksville City, Clayton, Coffee City, Cuney, De Berry, Diana, East Mountain, East Tawakoni, Easton, Edgewood, Edom, Elkhart, Elysian Fields, Emory, Enchanted Oaks, Eustace, Flint, Frankston, Fruitvale, Gallatin, Gary, Gilmer, Gladewater, Golden, Grand Saline, Gun Barrel City, Hallsville, Harleton, Hawkins, Henderson, Hideaway, Jacksonville, Jefferson, Joinerville, Jonesville, Judson, Karnack, Kilgore, Laird Hill, Lakeport, Laneville, Larue, Leesburg, Lindale, Lodi, Log Cabin, Long Branch, Longview, Mabank, Malakoff, Marshall, Maydelle, Minden, Mineola, Montalba, Moore Station, Mount Enterprise, Murchison, Neches, New Chapel Hill, New London, New Summerfield, Noonday, Ore City, Overton, Palestine, Panola, Payne Springs, Pittsburg, Point, Poynor, Price, Quitman, Reklaw, Rocky Mound, Rusk, Scottsville, Selman City, Seven Points, Star Harbor, Tatum, Tennessee Colony, Tool, Trinidad, Troup, Tyler, Uncertain, Union Grove, Van, Warren City, Waskom, Wells, White Oak, Whitehouse, Wills Point, Winnsboro, Winona, Woodlawn, Yantis</p> <p>Counties: Anderson, Camp, Cherokee, Gregg, Harrison, Henderson, Marion, Panola, Rains, Rusk, Smith, Upshur, Van Zandt, Wood</p>				
Total HOPWA contract amount for this Organization for the operating year	\$426,737				
Organization's Website Address	www.shrt.net				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name Triangle AIDS Network		Parent Company Name, if applicable Triangle AREA Network		
Name and Title of Contact at Project Sponsor Agency		Kathleen Berryman		
Email Address		kthibaut@tanbmt.com		
Business Address		1495 North 7th Street		
City, County, State, Zip,		Beaumont	Jefferson	Texas 77702
Phone Number (with area code)		903-234-0776 x 355	Fax Number (with area code) 903-234-9769	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		76-0226835		
DUN & Bradstreet Number (DUNs):		609896378		
Congressional District of Project Sponsor's Business Address		14		
Congressional District(s) of Primary Service Area(s)		14, 36		
City(ies) and County(ies) of Primary Service Area(s)		Cities: Batson, Beaumont, Bevil Oaks, Bridge City, China, Groves, Hamshire, Kountze, Lumberton, Mauriceville, Nederland, Nome, Orange, Orangefield, Pine Forest, Port Arthur, Port Neches, Rose City, Rose Hill Acres, Sabine Pass, Saratoga, Silsbee, Sour Lake, Taylor Landing, Thicket, Vidor, Village Mills, Votaw, West Orange Counties: Hardin, Jefferson, Orange		
Total HOPWA contract amount for this Organization for the operating year		\$170,559		
Organization's Website Address		www.tanbmt.com		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name Basin Assistance Services		Parent Company Name, if applicable Permian Basin Community Centers for MHMR		
Name and Title of Contact at Project Sponsor Agency		Renue Jones		
Email Address		Renue.Jones@PBMHMR.com		
Business Address		1330 East 8th Street, Suite 410		
City, County, State, Zip,		Odessa	Ector	Texas 79761
Phone Number (with area code)		432-580-0713	Fax Number (with area code) 432-580-0972	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		75-1401776		
DUN & Bradstreet Number (DUNs):		074145561		
Congressional District of Project Sponsor's Business Address		11		
Congressional District(s) of Primary Service Area(s)		11, 19, 23		
City(ies) and County(ies) of Primary Service Area(s)		Cities: Ackerly, Andrews, Balmorhea, Barstow, Big Spring, Coahoma, Coyanosa, Crane, Dryden, Forsan, Fort Stockton, Gail, Garden City, Gardendale, Girvin, Goldsmith, Grandfalls, Imperial, Iraan, Kermit, Knott, Lamesa, Lenorah, Loop, Los Ybanez, McCamey, Mentone, Midkiff, Midland, Monahans, Notrees, Odessa, Orla, Pecos, Penwell, Pyote, Rankin, Sanderson, Saragosa, Seagraves, Seminole, Sheffield, Stanton, Tarzan, Thorntonville, Toyah, Toyahvale, Welch, Wickett, Wink Counties: Andrews, Borden, Crane, Dawson, Ector, Gaines, Glasscock, Howard, Loving, Martin, Midland, Pecos, Reeves, Terrell, Upton, Ward, Winkler		
Total HOPWA contract amount for this Organization for the operating year		\$111,300		
Organization's Website Address		www.pbmhmr.com		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name	Sun City Behavioral Health Care	Parent Company Name, if applicable	Emergence Health Network El Paso
Name and Title of Contact at Project Sponsor Agency	Larry Romero, Director		
Email Address	LRomero@ehnel Paso.org		
Business Address	2929 Montana Avenue, Suite B		
City, County, State, Zip,	El Paso	El Paso	Texas 79903
Phone Number (with area code)	915-351-4659	Fax Number (with area code)	915-351-3643
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2928744		
DUN & Bradstreet Number (DUNs):	021913286		
Congressional District of Project Sponsor's Business Address	16		
Congressional District(s) of Primary Service Area(s)	16, 23		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Alpine, Anthony, Big Bend National Park, Canutillo, Clint, Dell City, El Paso, Fabens, Fort Bliss, Fort Davis, Fort Hancock, Horizon City, Marathon, Marfa, Presidio, Redford, Salt Flat, San Elizario, Sierra Blanca, Socorro, Terlingua, Tornillo, Valentine, Van Horn, Vinton Counties: Brewster, Culberson, El Paso, Hudspeth, Jeff Davis, Presidio		
Total HOPWA contract amount for this Organization for the operating year	\$207,192		
Organization's Website Address	www.emergencehealthnetwork.org		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <i>Please check if yes and a grassroots organization.</i>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name Project CHAMPS		Parent Company Name, if applicable South Plains Community Action Association, Inc	
Name and Title of Contact at Project Sponsor Agency		Leigh Arrington	
Email Address		larrington@spcaa.org	
Business Address		3307 Avenue X	
City, County, State, Zip,		Lubbock	Lubbock Texas 79411
Phone Number (with area code)		806-771-0736	Fax Number (with area code) 806-771-3398
Employer Identification Number (EIN) or Tax Identification Number (TIN)		75-1230219	
DUN & Bradstreet Number (DUNs):		094254547	
Congressional District of Project Sponsor's Business Address		19	
Congressional District(s) of Primary Service Area(s)		11, 13, 19	
City(ies) <u>and</u> County(ies) of Primary Service Area(s)		Cities: Abernathy, Afton, Aiken, Amherst, Anton, Bledsoe, Brownfield, Buffalo Springs, Cotton Center, Crosbyton, Denver City, Dickens, Dougherty, Earth, Edmonson, Enochs, Fieldton, Flomot, Floydada, Guthrie, Hale Center, Idalou, Justiceburg, Levelland, Littlefield, Lockney, Lorenzo, Lubbock, Maple, Matador, McAdoo, Meadow, Morton, Muleshoe, New Deal, New Home, O'Donnell, Olton, Opdyke West, Pep, Petersburg, Plains, Plainview, Post, Ralls, Ransom Canyon, Roaring Springs, Ropesville, Shallowater, Slaton, Smyer, South Plains, Spade, Springlake, Spur, Sudan, Sundown, Tahoka, Tokio, Wellman, Whiteface, Whitharral, Wilson, Wolfforth Counties: Bailey, Cochran, Crosby, Dickens, Floyd, Garza, Hale, Hockley, King, Lamb, Lubbock, Lynn, Motley, Terry, Yoakum	
Total HOPWA contract amount for this Organization for the operating year		\$138,970	
Organization's Website Address		www.spcaa.org	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name	Panhandle AIDS Support Organization			Parent Company Name, if applicable	N/A
Name and Title of Contact at Project Sponsor Agency	Michael Timcisko				
Email Address	Michael_PASO@suddenlinkmail.com				
Business Address	1501 South West 10 th Avenue				
City, County, State, Zip,	Amarillo	Potter	Texas	79101	
Phone Number (with area code)	806-372-1050	Fax Number (with area code)		806-372-1067	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2219593				
DUN & Bradstreet Number (DUNs):	883196024				
Congressional District of Project Sponsor's Business Address	13				
Congressional District(s) of Primary Service Area(s)	13, 19				
City(ies) and County(ies) of Primary Service Area(s)	<p>Cities: Adrian, Alanreed, Allison, Amarillo, Bishop Hills, Booker, Borger, Bovina, Boys Ranch, Briscoe, Bushland, Cactus, Canadian, Canyon, Channing, Childress, Clarendon, Claude, Dalhart, Darrouzett, Dawn, Dimmitt, Dodson, Dumas, Estelline, Farnsworth, Farwell, Follett, Friona, Fritch, Groom, Gruver, Happy, Hart, Hartley, Hedley, Hereford, Higgins, Howardwick, Kerrick, Kress, Lake Tanglewood, Lakeview, Lazbuddie, Lefors, Lelia Lake, Lipscomb, Masterson, Mclean, Memphis, Miami, Mobeetie, Morse, Nazareth, Palisades, Pampa, Panhandle, Perryton, Quail, Quitaque, Sam Norwood, Sanford, Shamrock, Silvertown, Skellytown, Spearman, Stinnett, Stratford, Summerfield, Sunray, Tell, Texhoma, Texline, Timbercreek Canyon, Tulia, Turkey, Umbarger, Vega, Waka, Wayside, Wellington, Wheeler, White Deer, Wildorado</p> <p>Counties: Armstrong, Briscoe, Carson, Castro, Childress, Collingsworth, Dallam, Deaf Smith, Donley, Gray, Hall, Hansford, Hartley, Hemphill, Hutchinson, Lipscomb, Moore, Ochiltree, Oldham, Parmer, Potter, Randall, Roberts, Sherman, Swisher, Wheeler</p>				
Total HOPWA contract amount for this Organization for the operating year	\$106,648				
Organization's Website Address	www.panhandleaso.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name Coastal Bend Wellness Foundation		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency	Bill Jeron Hoelscher		
Email Address	billh@cbwellness.org		
Business Address	5633 South Staples, Suite 700		
City, County, State, Zip,	Corpus Christi	Nueces County	Texas 78411
Phone Number (with area code)	361-814-2001	Fax Number (with area code)	361-883-1998
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2429518		
DUN & Bradstreet Number (DUNs):	791954167		
Congressional District of Project Sponsor's Business Address	27		
Congressional District(s) of Primary Service Area(s)	15, 27, 28, 34		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Agua Dulce, Alice, Aransas Pass, Armstrong, Austwell, Banquete, Bayside, Beeville, Ben Bolt, Benavides, Bishop, Calliham, Chapman Ranch, Concepcion, Corpus Christi, Dinero, Driscoll, Edroy, Encino, Falfurrias, Freer, Fulton, George West, Gregory, Ingleside, Ingleside on the Bay, Kingsville, Lake City, Mathis, Mineral, Normanna, Oakville, Odem, Orange Grove, Pawnee, Petronila, Pettus, Port Aransas, Portland, Premont, Realitos, Refugio, Riviera, Robstown, Rockport, San Diego, San Patricio, Sandia, Sarita, Sinton, Skidmore, Taft, Three Rivers, Tilden, Tivoli, Tuleta, Tynan, Whitsett, Woodsboro Counties: Aransas, Bee, Brooks, Duval, Jim Wells, Kenedy, Kleberg, Live Oak, McMullen, Nueces, Refugio, San Patricio		
Total HOPWA contract amount for this Organization for the operating year	\$344,286		
Organization's Website Address	www.cbwellness.org		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name City of Laredo Health Department		Parent Company Name, if applicable City of Laredo	
Name and Title of Contact at Project Sponsor Agency	Manuel G. Sanchez, Junior		
Email Address	msanchez@ci.laredo.tx.us		
Business Address	2600 Cedar Avenue		
City, County, State, Zip,	Laredo	Webb	Texas 78042
Phone Number (with area code)	956-795-4941	Fax Number (with area code)	956-795-2035
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-6001573		
DUN & Bradstreet Number (DUNs):	618150460		
Congressional District of Project Sponsor's Business Address	28		
Congressional District(s) of Primary Service Area(s)	15, 28		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Bruni, Delmita, El Cenizo, Escobares, Falcon Heights, Garciasville, Grulla, Guerra, Hebronville, La Grulla, Laredo, Lopeno, Mirando City, Oilton, Rio Bravo, Rio Grande City, Roma, Salineno, San Isidro, San Ygnacio, Santa Elena, Zapata Counties: Jim Hogg, Starr, Webb, Zapata		
Total HOPWA contract amount for this Organization for the operating year	\$74,437		
Organization's Website Address	www.cityoflaredo.com/health.htm		
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name	Valley AIDS Council	Parent Company Name, if applicable			N/A
Name and Title of Contact at Project Sponsor Agency	James K. Judkins				
Email Address	jkjudkins@valleyaids.org				
Business Address	2306 Camelot Plaza Circle				
City, County, State, Zip,	Harlingen	Cameron	Texas	78550-9102	
Phone Number (with area code)	956-428-2653	Fax Number (with area code)		956-428-0056	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2512591				
DUN & Bradstreet Number (DUNs):	002686186				
Congressional District of Project Sponsor's Business Address	15, 27				
Congressional District(s) of Primary Service Area(s)	15, 28, 34				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Alamo, Alton, Bayview, Brownsville, Combes, Donna, Edcouch, Edinburg, Elsa, Granjeno, Hargill, Harlingen, Hidalgo, Indian Lake, La Blanca, La Feria, La Joya, La Villa, Laguna Vista, Lasara, Linn, Los Ebanos, Los Fresnos, Los Indios, Lozano, Lyford, Mcallen, Mercedes, Mission, Olmito, Palm Valley, Palmhurst, Palmview, Penitas, Peñitas, Pharr, Port Isabel, Port Mansfield, Primera, Progreso, Progreso Lakes, Rancho Viejo, Rangerville, Raymondville, Rio Hondo, San Benito, San Juan, San Perlita, Santa Maria, Santa Rosa, Sebastian, South Padre Island, Sullivan City, Weslaco Counties: Cameron, Hidalgo, Willacy				
Total HOPWA contract amount for this Organization for the operating year	\$334,599				
Organization's Website Address	www.vacinc.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	AIDS Outreach Center (Fort Worth HSDA)	Parent Company Name, if applicable			N/A
Name and Title of Contact at Project Sponsor Agency	Shannon Hilgart				
Email Address	shannonh@aoc.org				
Business Address	400 Beach Street, Suite 100				
City, County, State, Zip,	Fort Worth	Tarrant	Texas	76111	
Phone Number (with area code)	817-335-1994	Fax Number (with area code)		817-916-4661	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2139336				
DUN & Bradstreet Number (DUNs):	78-1414842				
Congressional District of Project Sponsor's Business Address	33				
Congressional District(s) of Primary Service Area(s)	6, 11, 12, 13, 24, 25, 26, 33				
City(ies) and County(ies) of Primary Service Area(s)	Cities: Aledo, Alvarado, Alvord, Annetta, Annetta North, Annetta South, Arlington, Aurora, Azle, Bedford, Benbrook, Blue Mound, Bluff Dale, Boyd, Brazos Bend, Briaroaks, Bridgeport, Burleson, Chico, Cleburne, Colleyville, Cool, Coyote Flats, Cresson, Cross Timber, Crowley, Dalworthington Gardens, Decatur, DeCordova, Dennis, Dublin, Edgecliff, Euless, Everman, Forest Hill, Fort Worth, Glen Rose, Godley, Gordon, Graford, Granbury, Grandview, Grapevine, Greenwood, Haltom City, Haslet, Hudson Oaks, Hurst, Joshua, Keene, Keller, Kennedale, Lake Bridgeport, Lake Worth, Lillian, Lingleville, Lipan, Mansfield, Millsap, Mineral Wells, Mingus, Morgan Mill, Nemo, New Fairview, Newark, North Richland Hills, Palo Pinto, Paluxy, Pantego, Paradise, Peaster, Pelican Bay, Poolville, Rainbow, Rhome, Richland Hills, Rio Vista, River Oaks, Runaway Bay, Saginaw, Sanctuary, Sansom Park, Santo, Slidell, Southlake, Springtown, Stephenville, Strawn, Tolar, Venus, Watauga, Weatherford, Westlake, Westover Hills, Westworth Village, White Settlement, Whitt, Willow Park Counties: Erath, Hood, Johnson, Palo Pinto, Parker, Somervell, Tarrant, Wise				
Total HOPWA contract amount for this Organization for the operating year	\$30,821				
Organization's Website Address	www.aoc.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	Big Country AIDS Resources	Parent Company Name, if applicable			N/A
Name and Title of Contact at Project Sponsor Agency	Betty Sims				
Email Address	Betty.sims@pacenters.org				
Business Address	1109 Walnut Street, P.O. Box 1976				
City, County, State, Zip,	Abilene	Taylor	Texas	79604	
Phone Number (with area code)	325-603-0691	Fax Number (with area code)			888-602-9310
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2235135				
DUN & Bradstreet Number (DUNs):	96-6501434				
Congressional District of Project Sponsor's Business Address	19				
Congressional District(s) of Primary Service Area(s)	11, 13, 19				
City(ies) and County(ies) of Primary Service Area(s)	<p>Cities: Abilene, Albany, Anson, Aspermont, Avoca, Baird, Ballinger, Bangs, Benjamin, Blackwell, Blanket, Breckenridge, Brookesmith, Brownwood, Buffalo Gap, Burkett, Caddo, Carbon, Cisco, Clyde, Coleman, Colorado City, Comanche, Cross Plains, De Leon, Desdemona, Dunn, Early, Eastland, Energy, Fluvanna, Girard, Goldsboro, Goree, Gorman, Gouldbusk, Gustine, Hamlin, Haskell, Hawley, Hermleigh, Impact, Ira, Jayton, Knox City, Lawn, Loraine, Lueders, Maryneal, May, McCaulley, Merkel, Miles, Moran, Munday, Nolan, Norton, Novice, O'Brien, Old Glory, Olden, Ovalo, Proctor, Putnam, Ranger, Rising Star, Roby, Rochester, Rockwood, Roscoe, Rotan, Rowena, Rule, Santa Anna, Sidney, Snyder, Stamford, Sweetwater, Sylvester, Talpa, Throckmorton, Trent, Tuscola, Tye, Valera, Voss, Weinert, Westbrook, Wingate, Winters, Woodson, Zephyr</p> <p>Counties: Brown, Callahan, Coleman, Comanche, Eastland, Fisher, Haskell, Jones, Kent, Knox, Mitchell, Nolan, Runnels, Scurry, Shackelford, Stephens, Stonewall, Taylor, Throckmorton</p>				
Total HOPWA contract amount for this Organization for the operating year	\$64,932				
Organization's Website Address	www.bartx.com				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

Project Sponsor Agency Name	AIDS Outreach Center (Wichita Falls HSDA)	Parent Company Name, if applicable			N/A
Name and Title of Contact at Project Sponsor Agency	Shannon Hilgart				
Email Address	shannonh@aoc.org				
Business Address	400 Beach Street, Suite 100				
City, County, State, Zip,	Fort Worth	Tarrant	Texas	76111	
Phone Number (with area code)	817-335-1994	Fax Number (with area code)			817-916-4661
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2139336				
DUN & Bradstreet Number (DUNs):	78-1414842				
Congressional District of Project Sponsor's Business Address	33				
Congressional District(s) of Primary Service Area(s)	11, 12, 13, 19				
City(ies) and County(ies) of Primary Service Area(s)	<p>Cities: Archer City, Bellevue, Bluegrove, Bowie, Bryson, Burkburnett, Byers, Cashion, Cee Vee, Chillicothe, Crowell, Dean, Electra, Forestburg, Graham, Harrold, Henrietta, Holliday, Iowa Park, Jacksboro, Jermyn, Jolly, Kamay, Lakeside City, Loving, Megargel, Montague, Newcastle, Nocona, Odell, Oklaunion, Olney, Paducah, Perrin, Petrolia, Pleasant Valley, Quanah, Ringgold, Saint Jo, Scotland, Seymour, South Bend, Sunset, Vernon, Wichita Falls, Windthorst</p> <p>Counties: Archer, Baylor, Clay, Cottle, Foard, Hardeman, Jack, Montague, Wichita, Wilbarger, Young</p>				
Total HOPWA contract amount for this Organization for the operating year	\$54,944				
Organization's Website Address	www.aoc.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.				

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	N/A			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:			Counties:
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Subrecipient Name	Bexar County			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Aurora M. Sanchez, Executive Director			
Email Address	asanchez@bexar.org			
Business Address	233 N. Pecos, Suite 590			
City, State, Zip, County	San Antonio	TX	Bexar	78207
Phone Number (with area code)	210-335-3421		Fax Number (include area code) 210-335-6755	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-6002039			
DUN & Bradstreet Number (DUNs):	070487020			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	20			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A			Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$245,968			

Subrecipient Name	Brazos Valley Council of Governments (BVCOG)			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Kristi Hanle, Program Manager			
Email Address	khanle@bvcog.org			
Business Address	P.O. Drawer 4128			
City, State, Zip, County	Bryan	TX	77805-4128	Brazos
Phone Number (with area code)	979-595-2800		Fax Number (include area code) 979-595-2815	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1562020			
DUN & Bradstreet Number (DUNs):	010788610			
North American Industry Classification System (NAICS) Code	921190			
Congressional District of Subrecipient's Business Address	17			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A			Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$239,260			

Subrecipient Name	Dallas County Health and Human Services (DCHHS)	Parent Company Name, if applicable		
Name and Title of Contact at Subrecipient	Karin Pettit			
Email Address	HIV_Grants@dallascounty.org			
Business Address	Dallas County Health and Human Services HIV Grants Management 2377 N. Stemmons Freeway, Suite 200			
City, State, Zip, County	Dallas	TX	75207	Dallas
Phone Number (with area code)	214-819-1841		Fax Number (include area code) 214-819-6023	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6000905			
DUN & Bradstreet Number (DUNs):	073128597			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	26			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$68,874			

Subrecipient Name	Houston Regional HIV/AIDS Resource Group (HRG)	Parent Company Name, if applicable		
Name and Title of Contact at Subrecipient	Patrick Martin			
Email Address	pmartin@hivresourcegroup.org			
Business Address	500 Lovett Blvd., Ste 100			
City, State, Zip, County	Houston	TX	77006	Harris
Phone Number (with area code)	713-526-1016		Fax Number (include area code) 713-526-2369	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	760414232			
DUN & Bradstreet Number (DUNs):	876909847			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	7			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities : N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$829,762			

Subrecipient Name	Lubbock Regional MHMR	Parent Company Name, if applicable		
Name and Title of Contact at Subrecipient	Marcella Ford			
Email Address	mford@lstarcarelubbock.org			
Business Address	P.O. Box 2828			
City, State, Zip, County	Lubbock	TX	79408	Lubbock
Phone Number (with area code)	806-767-1621		Fax Number (include area code) 806-766-0250	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-1297691			
DUN & Bradstreet Number (DUNs):	098786460			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	19			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	

Subrecipient Name	Lubbock Regional MHMR	Parent Company Name, if applicable
Area(s)		
Total HOPWA Subcontract Amount of this Organization for the operating year	\$564,110	

Subrecipient Name	South Texas Development Council (STDC)	Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	John Keiser	
Email Address	jrkeiser@stdc.cog.tx.us	
Business Address	1002 Dicky Lane	
City, State, Zip, County	Laredo TX 78044 Laredo	
Phone Number (with area code)	956-722-3995	Fax Number (include area code) 956-722-2670
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1666921-0	
DUN & Bradstreet Number (DUNs):	062390661	
North American Industry Classification System (NAICS) Code	N/A	
Congressional District of Subrecipient's Business Address	28	
Congressional District of Primary Service Area	N/A	
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A	Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$753,322	

Subrecipient Name	Tarrant County Health Department	Parent Company Name, if applicable Tarrant County
Name and Title of Contact at Subrecipient	Margie Drake HIV Grants Manager	
Email Address	mdrake@tarrantcounty.com	
Business Address	1101 South Main Street, Suite 2500	
City, State, Zip, County	Ft. Worth TX 76104 Tarrant	
Phone Number (with area code)	(817) 321-4747	Fax Number (include area code) (817) 321-4737
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6001170	
DUN & Bradstreet Number (DUNs):	068365220	
North American Industry Classification System (NAICS) Code	923120	
Congressional District of Subrecipient's Business Address	12	
Congressional District of Primary Service Area	N/A	
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A	Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$150,697	

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Situated within a comprehensive network of HIV care services, the Texas HOPWA Formula program addresses the unmet housing services needs of persons living with HIV (PLWH) and their families in Texas by providing housing assistance and supportive services to income-eligible individuals. These services are integrated with the larger Ryan White HIV/AIDS Program both in administration and service delivery, which in turn is integrated into the larger, multi-sectoral system for delivering treatment and care to these clients. The goals of the HOPWA program are to help low-income HIV-positive clients establish or maintain affordable and stable housing; to reduce the risk of homelessness; and to improve access to health care and supportive services. At the end of 2013, 76,621 people in Texas were living with HIV (Texas Department of State Health Services [DSHS], 2014a). It is estimated that an additional 14,000 people in Texas are living with HIV, but are currently undiagnosed (DSHS, 2015). While the number of PLWH is growing, the number of new diagnoses made every year has been stable over the past decade, with 4,309 new diagnoses in 2013 (DSHS, 2015). Housing is consistently cited as a service gap in every service area in Texas. Additionally, a housing-specific goal of the National HIV/AIDS Strategy for the United States is to increase the percentage of Ryan White HIV/AIDS Program clients with permanent housing from 82 percent to 86 percent by 2015 (U.S. Department of Health and Human Services, 2010).

The Texas HOPWA Formula program is administered by the TB/HIV/STD and Viral Hepatitis Unit - HIV/STD Prevention and Care Services Branch of the Department of State Health Services (DSHS) and provides the following services:

Tenant-Based Rental Assistance (TBRA) program: The TBRA program provides tenant-based rental assistance to eligible individuals until they are able to secure other affordable and stable housing.

Short-Term Rent, Mortgage, and Utilities (STRMU) assistance program: The STRMU program provides short-term rent, mortgage, and utility payments to eligible individuals for a maximum of 21 weeks of assistance in a 52-week period.

Supportive Services (SS) program: The Supportive Services program provides case management, basic telephone service, and assistance to purchase smoke detectors to eligible individuals.

Permanent Housing Placement Services (PHP): The PHP program provides assistance for housing placement costs which may include application fees, related credit checks, and reasonable security deposits necessary to move persons into permanent housing.

Areas of service coverage within jurisdiction: Texas has 254 counties, which are represented by 26 HIV Service Delivery Areas (HSDA). The HOPWA Formula program can operate in all 254 counties in Texas, but focuses assistance to counties not served by the six metropolitan statistical areas (MSAs) that receive direct HOPWA funding from HUD.

Grant Management: The DSHS HOPWA Project year is from February 1 through January 31. DSHS selects seven Administrative Agencies (AAs) across the state through a combination of competitive Requests for Proposal (RFP) and intergovernmental agency contracts. The AAs act as an administrative arm for DSHS by administering the HOPWA program locally. The AAs do not receive any HOPWA administrative funds from DSHS; all AA administrative costs are leveraged from other funding sources. The AAs, in turn, select HOPWA Project Sponsors through local competitive processes. DSHS reserves up to 3% of the total HOPWA award for the administrative costs of DSHS. Project Sponsors are allowed up to 7% of their Project Sponsor allocation amount for administrative costs. The HIV/STD Prevention and Care Branch have a team of consultants and managers that monitor the contract activities of the AAs. This monitoring involves periodic site and technical assistance visits by the consultants, and the submission of monthly billing reports and semi-annual progress reports by the Project Sponsors and AAs. AAs monitor the Project Sponsors' HOPWA program activities and are required to comply with applicable HUD regulations, the DSHS Program Manual, and their contractual Statement of Work.

References

Texas Department of State Health Services. (2014a). Texas HIV Surveillance Report: 2013 Annual Report. Retrieved from <http://www.dshs.state.tx.us/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=8589972122>

Texas Department of State Health Services. (2015). 2013 Texas HIV Annual Report. Retrieved from <http://www.dshs.state.tx.us/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=8589973350>

U.S. Department of Health and Human Services. (2010). National HIV/AIDS Strategy for the United States. Retrieved from <https://www.whitehouse.gov/sites/default/files/uploads/NHAS.pdf>

b. Annual Performance Under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

DSHS' 2014 HOPWA formula grant award was \$2,922,632. In the State's 2014 One Year Action Plan (OYAP), DSHS proposed to serve 400 TBRA, 425 STRMU, and 13 PHP households with assistance, and to provide 825 clients with SS. DSHS utilized an allocation formula based on prior allocations, historical expenditures, performance data, and reported waitlists. Funds were allocated to address the housing needs in areas with greater evidence of unmet need for HOPWA services. During the project year, funds are reallocated between HOPWA activities within HSDAs to meet changing needs.

Overall HOPWA Expenditures

In the budget submitted on the 2014 OYAP, DSHS reserved \$70,639 for administrative expenses – less than the 3% grantee administrative allowance of \$87,679 – in order to redirect further funds to HOPWA activities. For 2014, AA contracts totaled \$2,851,993 for the project year. Of the \$2,851,993 contractual budget, \$2,608,248.55 was expended (91%). DSHS' administrative allocation was \$70,639, for a total budget of \$2,922,632.

HOPWA Housing Subsidy Assistance Expenditures

For direct housing assistance (TBRA, STRMU, and PHP), \$2,261,523 was budgeted and \$2,060,888 was expended (91%). Individually, TBRA was budgeted at \$1,838,323 with \$1,690,325 expended (92%); STRMU was budgeted at \$414,395 with \$366,762 expended (89%); and PHP was budgeted at \$8,805 with \$3,801 expended (43%).

HOPWA Supportive Services Expenditures

The Supportive Services' budget was \$423,460 with \$375,629 expended (89%). Because housing case management is sometimes combined with medical case management funded by the Ryan White HIV/AIDS program, a significant amount of housing supportive services are leveraged from the Ryan White program and other funding sources. Please refer to Part 2: Sources of Leveraging and Program Income for detail.

HOPWA Administrative Expenditures

Project Sponsors are permitted to use up to 7% of their contract allocation for administrative services, which is cumulatively \$199,640. Project Sponsors budgeted less than the 7% of the contractual allocation (\$167,010) to utilize more funds for direct services and expended \$161,006, which is 6% of the \$199,640 total allowable and 96% of the actual budgeted amount.

Waitlists

In the 2011 Texas Medical Monitoring Project, the top HIV-related service that participants stated they needed but were unable to obtain were shelter and housing services (DSHS, 2014b). At the end of the 2014 project year, there were 110 clients on TBRA and 40 clients on STRMU waitlists. Of STRMU waitlisted clients, 23 were waiting for rental assistance, 7 for mortgage assistance, and 10 for utility assistance. TBRA and STRMU waitlists increased from 135 in 2013 to 150 in 2014, an 11% increase. After gathering feedback from AAs, DSHS allocated the Fiscal Year 2015 formula increase (\$24,630) to TBRA to assist in reducing the waitlists in the current project year. The majority of project sponsors (19 of 26) did not have waitlists compared to 21 of 26 without waitlists in 2014. Many TBRA clients depend on HOPWA for extended periods of time because they are unable to transition to other affordable and stable housing. This can prevent new TBRA clients from receiving assistance and contribute to extended waitlists. Continued collaboration with AAs and Project Sponsors to reduce and/or eliminate waitlists will again be a priority in 2015.

HOPWA Outputs

In the 2014 HOPWA project year, DSHS served 455 households with TBRA (114% of the 400 OYAP goal), 369 households with STRMU assistance (87% of the 425 OYAP goal), and 12 households with PHP assistance (92% of the 13 OYAP goal) for a total of

818 unduplicated households. Of the 818 households served, 755 households also received HOPWA-funded SS (92% of the 825 OYAP goal). All HOPWA clients receive housing supportive services at some level in order to receive assistance, but some supportive services for clients were leveraged with other funding sources and were not counted in this report. Overall, the HOPWA program was very successful in the 2014 project year.

Special Needs Clients and Beneficiaries

Seven chronically homeless and 21 veteran clients were served in 2014, totaling 28 special needs clients. DSHS exceeded the OYAP goal of assisting 10 chronically homeless and veteran clients by 280%. DSHS' HOPWA program also served an additional 576 beneficiaries, 78 of which were reported to be HIV-positive. This demonstrates that the Texas HOPWA program is essential to housing not only direct clients, but additional PLWH, which is a vital step in linkage and adherence to medical care. Many of the clients that received housing assistance from the HOPWA program would have had no other means to obtain housing and care for themselves.

The DSHS HOPWA formula program serves the entire State of Texas and is a wrap-around for the six eligible metropolitan statistical areas (EMSA) that receive direct funding HOPWA funding from HUD (Austin, Dallas, El Paso, Fort Worth, Houston, and San Antonio). As a result, the state program serves all of the rural, less-populated areas of the state. The majority of DSHS HOPWA program clients are at 30% or less of household area median income (AMI). For 2014, 64% of clients were between 0-30% of AMI, 26% between 31-50% of AMI, and 10% between 51-80% of AMI.

The DSHS TB/HIV/STD/Viral Hepatitis Unit has released the 2013 Texas STD and HIV Integrated Epidemiologic Profile (Epi Profile) which "emphasizes the heightened rates of STD and HIV in youth, racial/ethnic minorities, particularly Blacks, and in gay men and other men who have sex with men (MSM). The groups most affected by each disease or infection vary slightly, but the overall picture is clear. These groups are more vulnerable to STDs and HIV on scales that have tremendous financial and social costs for Texas, and serious implications for the future health and well-being of persons living with these conditions" (DSHS 2014b). The majority of PLWH in Texas are racial and ethnic minorities. In 2014, 31% of total clients were Black (257), 38% Hispanic/Latino, and 2% other non-White. Overall, about 72% of clients were racial/ethnic minorities. Additionally, 58% of clients were male and 41% female and 1% transgender in 2014.

The majority of clients were between 31 and 50 years old (57%) and 34% were 51 and older, corresponding with the Epi Profile, which reports that most PLWH are between 35 and 55 years old. Of the 576 beneficiaries (family members living with clients), 373 (65%) were under 18 years old.

References

Texas Department of State Health Services. (2014b). 2013 Texas STD and HIV Epidemiologic Profile. Retrieved from <http://www.dshs.state.tx.us/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=8589993701>

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Client outcome goals for housing stability, reducing risks of homelessness, and improving access to care were achieved for 2014. The majority of HOPWA clients had contact with case manager/benefits counselor with the schedule specified in client's individual service plan (96%, down from 98% in 2013) and had a housing plan for maintaining or establishing stable on-going housing (99%, up from 97% in 2013), as reported in the HOPWA Access to Care and Support Outcomes Chart. By the end of the 2014 HOPWA project year, 98% of TBRA (down from 99% in 2014) and 89% of STRMU households (down from 98% in 2014) were living in stable/temporarily stable housing with reduced risk of homelessness, both well above the 2011 national goal of 85% for TBRA and 60% for STRMU. Ending the project year with a combined 94% of TBRA and STRMU clients living in stable or temporarily stable housing with reduced risk of homelessness is a major achievement for the Texas HOPWA program.

DSHS saw other positive outcomes by the end of 2014. Project Sponsors reported 90% of HOPWA clients had contact with a primary health care provider; 84% had medical insurance coverage or medical assistance compared to 66% in 2013; 80% maintained sources of income compared to 79% in 2013; and 29% secured an income-producing job compared to 15% in 2013. Both quantitative and

qualitative data show that HOPWA improves clients' access to supportive services and health care. With stable housing and case management, clients can connect with resources that cover medications and basic necessities, allowing them to focus on medical adherence.

HUD's Office of HIV/AIDS Housing (OHH) has set a goal of 80% for HOPWA clients who have "accessed and can maintain medical insurance/assistance." The proportion of clients with medical insurance/assistance (84%) is a significant improvement from last year. However, PLWH in Texas face many challenges in access to medical insurance/assistance. According to the U.S. Census Bureau (2013a), Texas still leads the nation for the highest rate of uninsured, which means one in four Texans (22.1%), did not have insurance in 2013 (U.S. Census Bureau, 2013b). DSHS estimates that 28% of health program beneficiaries are categorically ineligible for Medicaid or Medicare coverage due to citizenship. Texas Medicaid currently only covers children, pregnant women, and certain disabled adults up to 100% of the Federal Poverty Level (FPL). Due to the restrictive eligibility of the Texas Medicaid program, most HOPWA clients are excluded from Medicaid coverage. At this time, Texas is not expected to expand Medicaid, and non-citizens will not be eligible for subsidies to support purchase of insurance in the federal marketplace.

Project Sponsors continued to address long term goals with clients and helped them establish financial plans for maintaining housing. Some Project Sponsors reported that "Single Point of Access" or "wrap-around" models demonstrated excellent results with increased access and adherence to medical care (although lack of transportation and proper documentation were frequently cited as barriers). The model provides PLWH with medical, psychosocial, and educational supportive services in a central location. Regardless of location, many Project Sponsors continue to monitor medical appointments, medication, and/or treatment adherence for clients.

The barriers reported indicate declines in the ability to obtain or maintain income and employment. This is partially due to difficult economic circumstances in conjunction with rising costs of living (rent, deposits, utilities, food, transportation, etc.), high unemployment, no access to health insurance and/or decreased access to other supportive services such as the Housing Choice Voucher (HCV) program. The inability to access HCV, also known as the Section 8 program, is due to long or closed waitlists, and in some cases, client non-compliance.

HOPWA expenditures per TBRA household averaged \$3,715 annually (\$3,777 in 2013) and \$994 per STRMU household annually (\$794 in 2013). The average spent per household with TBRA was down from 2013 while STRMU increased about 25%. Budget restraints continue to be a challenge for AAs and Project Sponsors, but considerable efforts to find viable solutions, and the "do more with less" approach, make a significant impact on supporting HOPWA clients, improving access to care, and preventing or reducing risk of homelessness. As federal funding decreases to local housing authorities, this presents additional barriers to moving clients off of the HOPWA program and into HCV and other housing programs, and also results in increased difficulties in meeting housing needs of new clients in addition to continuing clients.

Of the 818 TBRA, STRMU, and PHP unduplicated clients, 479 continued from the prior year (58%), which is an increase from 50% in 2013 and 55% in 2012.

References

- U.S. Census Bureau. (2013a). Comparison of Uninsured Rates Between States: 2013. *American Community Survey*. Retrieved from <http://www.census.gov/hhes/www/cpstables/032014/health/ComparisonofUninsuredRatesBetweenStates.xls>
- U.S. Census Bureau. (2013b). Number and Percentage of People Without Health Insurance Coverage by State: 2012-2013. *2013 American Community Survey*. Retrieved from <http://www.census.gov/hhes/www/cpstables/032014/health/ComparisonofUninsuredRatesBetweenStates.xls>

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

On the state level, DSHS collaborates with the Texas Department of Housing and Community Affairs (TDHCA) to produce the HOPWA Action Plan for the state's Consolidated Plan for housing. Additionally, the DSHS HIV/STD Prevention and Care Services Branch competitively selects and contracts with seven AAs to administer the HOPWA formula program in Texas. AAs contract with the Project Sponsors for each HSDA under their jurisdiction to deliver the HOPWA services. AAs and Project Sponsors are part of an HIV care network supported with state formula funds and providers who provide a range of medical, psychosocial, and support services available to eligible individuals living with HIV. In each HSDA, Project Sponsors collaborate locally with these providers to assure that HOPWA clients have access to supportive services and health care. Project Sponsors also work to identify other agencies

that may have direct contact with out-of-care individuals to refer clients to the HOPWA program. Furthermore, Project Sponsors continue to work closely and effectively with the local PHA offices to identify and establish relationships with other organizations and agencies that may have available resources. This ongoing collaboration provides access to organizations and programs such as the Housing Choice Voucher program, Shelter Plus Care, community health clinics, churches and private foundations, and Ryan White and HIV Planning Councils, to name a few. Project Sponsors leverage available funds from Ryan White and State Services grants to assist clients with housing needs, medical and non-medical case management, emergency financial assistance, mental health, transportation, and nutritional services to address the needs of eligible clients. As a result, an additional 22 TBRA households, 15 STRMU households, and one PHP household – a total of 38 households – were fully supported with other funding sources.

In one HSDA, staff designated to promote and enroll clients into the program have been doing so with measurable success and strong dedication. Staff members have been out in the community engaging in outreach activities to ensure that agencies and locations where the target population congregate and visit have access to the HOPWA-related literature and information. These efforts, in conjunction with staff discussing HOPWA services with clients during face-to-face visits, has helped the program enroll more clients and serve more people and families in need of stable housing.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Program complexity continues to be listed as a barrier. Technical assistance (TA) on HOPWA regulations would be helpful. Additionally, TA and guidance on how to address housing affordability and availability; client credit and criminal histories; undocumented residents/lack of identification; working with multiple-diagnosed and non-compliant clients; and working with re-entry populations in meeting their housing needs within HOPWA boundaries would greatly assist HOPWA Project Sponsors and clients as these are the most commonly reported issues that HOPWA clients face in locating and maintaining stable and affordable housing. Comprehensive information on HUD’s restrictions and regulations for Housing Authorities concerning individuals with criminal histories would be helpful creating strategies to successfully house these individuals.

Lack of funding, closed, and/or lengthy waitlists for the Housing Choice Voucher (HCV) program are the main reasons clients are waitlisted and continuously dependent on HOPWA. New information on how to address these issues and locate alternative housing resources is strongly needed to assist HOPWA clients.

How to collect and report leveraged funds and income to HUD’s specifications in the CAPER is another area of assistance frequently requested by Project Sponsors.

Technical assistance on the latest HOPWA CAPER and required data and charts would assist in ensuring data requirements are accurately reported because much of the newly required data was not previously collected and is new for DSHS, our Administrative Agencies, and Project Sponsors.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

- | | | | |
|---|--|---|--|
| <input checked="" type="checkbox"/> HOPWA/HUD Regulations | <input checked="" type="checkbox"/> Planning | <input checked="" type="checkbox"/> Housing Availability | <input checked="" type="checkbox"/> Rent Determination and Fair Market Rents |
| <input type="checkbox"/> Discrimination/Confidentiality | <input checked="" type="checkbox"/> Multiple Diagnoses | <input checked="" type="checkbox"/> Eligibility | <input type="checkbox"/> Technical Assistance or Training |
| <input type="checkbox"/> Supportive Services | <input checked="" type="checkbox"/> Credit History | <input checked="" type="checkbox"/> Rental History | <input checked="" type="checkbox"/> Criminal Justice History |
| <input checked="" type="checkbox"/> Housing Affordability | <input checked="" type="checkbox"/> Geography/Rural Access | <input checked="" type="checkbox"/> Other, please explain further | |

Housing Availability and affordability were tied as the most significant barriers to meeting program services goals, followed by Criminal Justice History and Geography/Rural Access. Eligibility, Rental History, Credit History, and Multiple Diagnosed issues were also frequently cited. Less frequently cited, but still very important, were HOPWA/HUD Regulations, Planning Issues, and Rent Determinations/Fair Market Rents. There were several barriers categorized as “Other” which are discussed in more detail below.

In general, housing options are decreased by the absence of identification, proof of legal residency, credit history, and criminal history. Affordable housing continues to be an ongoing issue. Housing placement can require up to 2 ½ times income, the cost of living

continues to rise (increases in rent, utilities, application fees, and security deposits) while clients' incomes do not change, may decrease, or clients do not have an income. The HCV program is not offered in some cities or in many of the small counties, there are long waiting lists, or the waiting lists have been closed to potential applicants, all of which result in cost-shifting to the HOPWA program.

HOPWA Regulations:

HUD's requirement to enter narratives and data into IDIS for the Consolidated Plan and Action plan was an enormous barrier. DSHS contributes to the Consolidated and Action Plans along with several other state agencies so the narrative sections were shared, which meant the character limit was divided between the agencies and then there were too few characters for any agency to adequately discuss and report in their sections. Additionally, IDIS continued to freeze up and not save data, which required entering the same data multiple times over several days and many wasted hours. One of the other big barriers DSHS encounters in administration of the HOPWA program is the increasing complexities of the program and reporting requirements, including the frequency of reporting requirement changes. Given that DSHS has a very minimal amount of funds reserved for program, it is difficult to continue to administer the HOPWA program as reporting becomes more complicated. Additionally, AAs do not receive any HOPWA funds to administer the HOPWA program and must leverage those dollars to perform administrative tasks including monitoring and supportive services. DSHS also leverages a significant amount of dollars to administer the HOPWA program so that more funds go to direct HOPWA services and more clients can receive assistance. Frequent changes to reporting requirements also present a recurring challenge to successfully automating reporting database systems. One solution would be to simplify the reporting and performance metrics to mirror other federal HIV programs' reporting requirements and metrics, and also to limit changes. Another barrier is the short implementation timelines for those required changes, some of which become retro-active during the process due to greatly varied project years of grantees. Simplifying reporting requirements and performance metrics would also help resolve the issue of the limited amount of time given to prepare the CAPER, as reporting comes from Project Sponsors to AAs to DSHS and finally to TDHCA before submitting to HUD. Cumulatively, these issues are a tremendous administrative burden on DSHS, the AAs, Project Sponsors, and TDHCA. Additionally, because reporting requirements change on the CAPER and in IDIS, DSHS systems and reports have to be modified to meet the new requirements which affect not only DSHS, but also the AAs and Project Sponsors. Furthermore, the IDIS upgrade has created duplicate work that didn't exist before for activity reallocations. Previously, activities were set up under the Projects/Activities tab and did not require funding or goals data and then funding for those activities were loaded separately under the Funding/Drawdown tab. With the upgrade, funding, goals and eventually actual goals met (for households served and leveraged) have to be entered for each activity (and DSHS has over 100 activities) under the Projects/Activities tab and then funding is also entered under the Funding/Drawdown tab. When a reallocation occurs, that data has to be updated under both tabs so is duplication of work and very time-consuming.

Program complexity is also likewise listed as a barrier by the AAs and Project Sponsors. Another regulatory barrier is that STRMU benefits do not apply to those whom are already homeless. Furthermore, one Project Sponsor described their inability to use STRMU monies to pay for utility assistance. The city is a huge entity with many levels of bureaucratic processes where they have not been able to successfully set up systems to pay for such services, namely because we cannot establish individual program contracts with utility companies to make these payments for clients and we do not want to issue third-party checks to clients to pay for their bills. However, a staff member has met with the city's billing administrators in an attempt to iron out a system to enable the Project Sponsor to pay utilities directly to the city on a client's behalf.

Client compliance with HOPWA and PHA requirements continue to be a recurring issue. Several clients have failed to either maintain current PHA applications and/or fail to keep appointments to qualify for assistance when they are contacted by PHA. Reasons for non-compliance include mental health, substance use, transportation, and failure to accept PHA Low Rent Assistance because of desire to wait for Section 8 assistance. One client moved after initial PHA applications but failed to notify PHA. One client failed to accept Section 8 housing when offered preferring to not renew her lease and wait for low rent assistance. One client failed to renew PHA applications due to transportation issues. One client failed to notify PHA of a change of address and stated he did not receive correspondence regarding appointments with PHA for assistance. Case managers continue to work with clients, stressing the importance of maintaining eligibility and accepting PHA assistance when offered. These requirements are addressed in client care plans and in other HOPWA documentation. Some Project Sponsors have created a form for the HOPWA care plans that require a client to initial and agree to terms of their care plan, including requirements to maintain PHA eligibility and accept PHA assistance when offered. Case managers with this Project Sponsor make monthly contact with PHA to determine if TBRA clients have been called in for appointments and/or approved for assistance.

Also a common issue is housing that does not meet HOPWA standards and landlords are unwilling to do any improvements. Case

managers try to place clients in alternate housing that meets standards, but those units are not always available or affordable.

Housing Availability/Housing Affordability/Rent Determination/Fair Market Rent:

Some complexes are now asking for three times the rent amount in income to qualify which has created a greater barrier than in the past. Some solutions are to attempt to establish relationships with local housing providers to provide arrangements that include waiving deposits, application fees, credit histories, etc. Project Sponsors have encountered problems in maintaining these relationships as clients who have been placed have broken leases, trashed apartments, etc. Project Sponsors also work with clients to increase income but it's difficult in this challenging economy with so many unemployed people also looking for jobs.

Even if clients can find jobs, many are minimum wage and as the cost of living continues to increase, many clients (even with jobs that pay above minimum wage) are unable to make ends meet.

Rents have greatly increased due to demand on housing from oil and gas employees in certain geographical areas (e.g. Eagle Ford Shale) and FMRs do not keep up with the rising rates, even with HUD's flexibility for a maximum of a 10% increase for an FMR. FMR has increased in the area by a small amount and local HUD offices continue to look into the concern regarding the high housing cost in the area, but it's not enough to meet the needs for TBRA clients although clients are assisted to the extent allowable with available funds. Substantial rent increases in these areas not only reduce the number of available suitable housing for HOPWA, but also reduce available housing for the HCV program use.

Due to an increase in violence in Piedras Negras, Mexico, across the international bridge from Eagle Pass, there has been an increase in Mexican nationals residing in Eagle Pass. This has made affordable housing more difficult to find. Project Sponsor is working with the local housing authority for a list of authorized landlords to increase number of potential housing units.

There is a lack on one-bedroom units available in many areas. As a result of one HCV program calling in clients from the waiting list, it was noted that several clients were only eligible for a one-bedroom unit could not find suitable housing.

Clients who do not have a secured income do not have access to the same housing availability as those who do. Housing complexes are now requiring a secure income in order to offer leases. Also, some apartments are not meeting the requirement for inspection and safety concerns

Eligibility Issues:

Eligibility continues to be a problem for transition to other programs as the HCV (Section 8) program is continuously closed and not taking applications, or there is a long wait list. Other local programs have had funding reduced or have lost funding and have closed altogether.

There are limited programs in the community that assist with long term permanent housing and clients without legal residency are a common issue. Eligibility at some local community programs require income taxes as proof or paycheck stubs; however, for clients who are undocumented yet work, run into problems with this policy because they do not have the employment proof for their wages to apply for long-term housing. Furthermore, there are a limited number of facilities in some areas that assist with long term or permanent housing for the majority of the age group served even though there are many housing programs in the community for the elderly.

Credit/Rental/Criminal History:

Clients tend to have poor credit history which affects housing status and many apartment complexes do background checks and exclude applicants with a criminal history. Working with individual landlords and complexes can be difficult. Clients who have committed felonies cannot apply for HCV program housing. Project Sponsors reach out to landlords to see if they would rent to people with previous criminal history and advocate for clients. Clients are also advised to look for housing for which landlords are more lenient or willing to work with them, even if they have a criminal record

Clients lack rental history and monthly income is not sufficient to be approved for apartment leases. There is also a lack of jobs and some clients have a criminal history, so unemployment is high among client populations. Case managers urge clients to go to school to learn new skills to obtain employment and look for job opportunities that do not require background checks or employers that will hire on a case-by-case basis.

Some clients move out of units without paying rent and have a balance with their prior landlord and a poor rental history. Clients are encouraged to pay bills on time and set-up payment arrangements with their prior landlord to clear or improve their rental history.

Multiple Diagnosed Issues:

Clients with multiple diagnosed issues face multiple barriers when trying to access suitable housing. Project Sponsors work with several clients with multiple diagnosed issues including mental health, substance use, criminal justices histories, and eligibility issues that have made housing the clients difficult, if not impossible.

One success story was the result of coordination between the Project Sponsor and Adult Protective Services. Historically, the client struggled with mental health and substance use issues related to separation from his family. The client had difficulty maintaining housing and adhering to medical care. He was actively involved with APS regarding his homelessness. The client accepted temporary assistance with a stay at a local hotel on the condition that he established a payer for his disability income. APS was able to facilitate a payer for the client and he eventually found a rental property he was able to move into. Because his money was being managed, client was able to pay deposits and move in fees. The Project Sponsor provided STRMU and SS assistance to cover the first month's rent and provide case management. His payer is now making rent payments directly to his landlord on his behalf which should allow client to remain in stable housing.

STRMU funds were used to prevent homelessness for a 61 year old male who experienced a mental health crisis. As a result of this crisis, the individual was not able to meet the requirements to continue to access unemployment benefits. The client's case manager has worked closely with the local MHMR in generating a care team that will, among other things, assist client appeal denial of SSA benefits, and to ensure that client is adherent to his mental health and HIV care.

Planning:

Some projections were based on clients moving to the HCV program, but in most cases, this did not happen. More clients faced the challenge of securing funding for utility and/or rental deposits than was expected. Evaluation of the clients having difficulty securing funding for deposits showed that all these clients were experiencing emergency needs. In one case, a client experienced a house fire, another was living in a motel, while another had to leave the residence where he was living due to sexual charges. While these clients may have been able to pay monthly rent, it was a problem to also come up with deposits and first month's rent. Deposits are becoming more of a burden and Project Sponsors have attempted to help alleviate this issue by negotiating with landlords and utility companies.

Texas had another especially hot summer and many clients struggled to pay their utility bills and faced sweltering heat without electricity if STRMU funds had not been available. As a result, the number of clients that received STRMU in some HSDAs was double what was projected. The increased need for STRMU assistance was unexpected and it was a challenge to assist the additional households requesting emergency assistance. Reallocations were done to move funds from other categories to meet the STRMU need, but 40 clients remain on the STRMU waiting list.

As previously noted, TBRA clients are dependent on HOPWA for extended periods of time and are unable to transition to other affordable and stable housing, making it difficult to assist new HOPWA clients in need.

Geography/Rural Access:

For some clients living in rural areas, transportation to medical appointments, to obtain medication, and to meet with case managers are barriers as there is no public transportation and clients must rely on family and friends to drive them to visits and appointments. Also, there is a lack of HIV services in rural communities. While clients are advised of the option to move into areas where there is adequate public transportation and care, many live with family members who provide clients with a stable living environment and emotional support. There are also some challenges in finding households to benefit from HOPWA funds in rural counties. These challenges are mainly because of the lack of HIV services found in rural counties.

Stigma in the rural communities can create barriers to meeting the needs of persons living with HIV/AIDS. One Project Sponsor continues to educate the communities about HIV and the transmission of HIV/AIDS to reduce the fear and stigma.

Section 8 housing is limited in some regions (including rural areas), and the waiting lists can extend into over a two-year waiting period. Not all counties in the service area have a housing department or available Section 8-approved housing

Other:

AAs and Project Sponsors have experienced a lot of staff changes again this project year (staff leaving, promoted, or moved to a different area).

Clients' understanding of housing laws, leases, and/or applications, which is partly due to reading and comprehension skills and abilities, is an ongoing issue. Encountering barriers in trying to link clients to needed services is commonplace for case managers in the social services field, especially case managers working with terminally ill people. HOPWA is a program that requires Project Sponsors to overcome many issues that could have impeded someone from being linked to a housing service and continue to encounter barriers that tend to hinder progress with successful linkages to HOPWA. An example is landlords typically shy away from working with public housing type programs. In spite of explaining to them how housing works, staff still encounters resistance from such individuals. Also, clients do not always comply in a timely manner to bring in the required documentation to process their applications and this tends to create unnecessary delays. Project Sponsors continue to work with the involved parties in both areas to achieve the expected success in helping as many people as possible.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The HCV programs in many areas are not accepting new applications, rarely approving old applications, have long wait lists, or the program is not offered in some of the rural areas. HCV waitlists can extend for over two years. As a result, clients remain longer on HOPWA which impedes progress on enrolling new clients when funding is limited. Although many of the Housing Choice Voucher (HCV) programs are currently closed or have lengthy waiting lists, some case managers have been able to get several TBRA clients on the wait lists in their respective counties.

There are some clients receiving long term housing whose medical health has prevented them from being able to work and contribute to their household income, thus preventing them from being able to exit out of TBRA. Also, some clients become too dependent on rental assistance via HOPWA with little or no interest in securing stable housing by applying for other programs or living on their own with no governmental support. Case managers encourage clients to be more self-sufficient. Furthermore, the DSHS HOPWA program requires clients to apply for all other possible housing assistance. Enforcing this requirement motivates clients to apply for Section 8 and other opportunities or face termination from the program.

Some areas have experienced an increase in the number of clients living below 200% of the Federal Poverty Level. As more individuals are diagnosed and access services, the demand for affordable, safe housing has increased while the funding available for housing assistance has remained flat. Project Sponsors make efforts to leverage HOPWA funds from other foundations to support housing assistance programs. Between 2010 and 2011, there has been an increase of 28 PLWH in one HSDA. Of these PLWH, most (55%) are coming in late to care and need many support services including housing. Utilizing Ryan White Program funds to supplement HOPWA funding has helped, but the funding is limited from both programs and future funding is uncertain.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

N/A

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area’s Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	150
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	110
b. Short-Term Rent, Mortgage, and Utility Payments (STRMU)	40
• Assistance with rental costs	23
• Assistance with mortgage payments	7
• Assistance with utility costs.	10
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	N/A

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

	Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	Data from client information provided in Homeless Management Information Systems (HMIS)
X	Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$60,651	Rent, Mortgage, Utilities	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$637,286	Case Management, Medical Case Management, Medical Care, Prescription Assistance, Insurance, Food Bank, Dental, Medical Transportation	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care	\$134,868	Rent	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:	\$375	Rent	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants: MAC AIDS Foundation, PASO-BC	\$25,215	Mortgage, Utilities, Security and Utility Deposits, Application Fees	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources	\$0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: Churches (Baptist Foundation, Local Churches), Catwalk for AIDS, United Way, McCare's, CA Donor, 340B Funding	\$30,348	Rent, Utilities, Security Deposits, Application Fees, ID card, Eyeglasses, Medication, Food Bank, Health Insurance, Mental Health, Medical Office Visit	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: MAC AIDS Foundation	\$4,865	Food Bank	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	\$2,590	Rent	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$451,325		
TOTAL (Sum of all Rows)	\$1,347,523		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	\$827
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$827

include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual	[1] Output: Households				[2] Output: Funding	
	HOPWA Assistance		Leveraged Households		HOPWA Funds	
	a.	b.	c.	d.	e.	f.
	Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance	[1] Output: Households				[2] Output: Funding	
1. Tenant-Based Rental Assistance	400	455	7	22	\$1,838,323	\$1,690,325
2a. Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	N/A	N/A	N/A	N/A	N/A	N/A
2b. Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)	N/A	N/A	N/A	N/A	N/A	N/A
3a. Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	N/A	N/A	N/A	N/A	N/A	N/A
3b. Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	N/A	N/A	N/A	N/A	N/A	N/A
4. Short-Term Rent, Mortgage and Utility Assistance	425	369	25	15	\$414,395	\$366,762
5. Permanent Housing Placement Services	13	12	18	1	\$8,805	\$3,801
6. Adjustments for duplication (subtract)	N/A	18	N/A	N/A		
7. Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	838	818	50	38	\$2,261,523	\$2,060,888
Housing Development (Construction and Stewardship of facility based housing)	[1] Output: Housing Units				[2] Output: Funding	
8. Facility-based units; Capital Development Projects not yet opened (Housing Units)	N/A	N/A	N/A	N/A	N/A	N/A
9. Stewardship Units subject to 3 or 10 year use agreements	N/A	N/A				
10. Total Housing Developed (Sum of Rows 8 & 9)	N/A	N/A	N/A	N/A	N/A	N/A
Supportive Services	[1] Output Households				[2] Output: Funding	
11a. Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	825	755			\$423,460	\$375,629
11b. Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	N/A	N/A			N/A	N/A
12. Adjustment for duplication (subtract)	N/A	0				
13. Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	825	755			\$423,460	\$375,629
Housing Information Services	[1] Output Households				[2] Output: Funding	
14. Housing Information Services	N/A	N/A			N/A	N/A

15.	Total Housing Information Services	N/A	N/A			N/A	N/A
	Grant Administration and Other Activities	[1] Output Households			[2] Output: Funding		
16.	Resource Identification to establish, coordinate and develop housing assistance resources					N/A	NA
17.	Technical Assistance (if approved in grant agreement)					N/A	N/A
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$70,639	\$70,639
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$167,010	\$161,006
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					\$237,649	\$231,645
	Total Expended					[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$2,922,632	\$2,668,162

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	755	\$375,629
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	755	
16.	Adjustment for Duplication (subtract)		

17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	755	\$375,629
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3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	369	\$366,762
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	44	\$59,455
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	6	\$5,222
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	146	\$214,645
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	34	\$33,983
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	139	\$53,457
g.	Direct program delivery costs (e.g., program operations staff time)		\$155,090

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	455	357	1 Emergency Shelter/Streets	1	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	64	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	1	
			5 Other Subsidy	16	
			6 Institution	1	
			7 Jail/Prison	4	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	3	
			9 Death	8	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	N/A		1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	N/A		1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/unknown		<i>Unstable Arrangements</i>
			9 Death		
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
369	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	115	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	10	
	Other HOPWA Housing Subsidy Assistance	22	
	Other Housing Subsidy (PH)	8	
	Institution <i>(e.g. residential and long-term care)</i>	1	
	Likely that additional STRMU is needed to maintain current housing arrangements	163	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	2	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	6	
	Emergency Shelter/street	2	<i>Unstable Arrangements</i>
	Jail/Prison	1	
	Disconnected	38	
	Death	1	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			227
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			148

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	818
b. Case Management	755
c. Adjustment for duplication (subtraction)	755
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	818
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	N/A
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	N/A

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

CATEGORIES OF SERVICES ACCESSED	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	814	N/A	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client’s individual service plan (may include leveraged services such as Ryan White Medical Case Management)	789	N/A	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client’s individual service plan	738	N/A	Access to Health Care
4. Accessed and maintained medical insurance/assistance	686	N/A	Access to Health Care

5. Successfully accessed or maintained qualification for sources of income	655	N/A	Sources of Income
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Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> MEDICAID Health Insurance Program, or use local program name MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> Veterans Affairs Medical Services (ADAP) AIDS Drug Assistance Program State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> Ryan White-funded Medical or Dental Assistance
--	--	--

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> Earned Income Veteran’s Pension Unemployment Insurance Pension from Former Job Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> Child Support Social Security Disability Income (SSDI) Alimony or other Spousal Support Veteran’s Disability Payment Retirement Income from Social Security Worker’s Compensation 	<ul style="list-style-type: none"> General Assistance (GA), or use local program name Private Disability Insurance Temporary Assistance for Needy Families (TANF) Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	240	N/A

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	436	3	8	8
Permanent Facility-based Housing Assistance/Units	N/A	N/A	N/A	N/A
Transitional/Short-Term Facility-based Housing Assistance/Units	N/A	N/A	N/A	N/A
Total Permanent HOPWA Housing Subsidy Assistance	436	3	8	8
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	150	178	40	1
Total HOPWA Housing Subsidy Assistance	586	181	48	9

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of

households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities
A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	818

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. <u>Continuing</u> to receive HOPWA support from the prior operating year	479
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	4
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	6
4. Transitional housing for homeless persons	1
5. Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	11
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	4
7. Psychiatric hospital or other psychiatric facility	0
8. Substance abuse treatment facility or detox center	1
9. Hospital (non-psychiatric facility)	0
10. Foster care home or foster care group home	0
11. Jail, prison or juvenile detention facility	1
12. Rented room, apartment, or house	248
13. House you own	50
14. Staying or living in someone else's (family and friends) room, apartment, or house	19
15. Hotel or motel paid for without emergency shelter voucher	3
16. Other	1
17. Don't Know or Refused	1
18. TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	818

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	7

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	818
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	78
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	498
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	1,394

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	30	38	0	0	68
3.	31 to 50 years	261	207	6	0	474
4.	51 years and Older	185	90	1	0	276
5.	Subtotal (Sum of Rows 1-4)	476	335	7	0	818
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	213	160	0	0	373
7.	18 to 30 years	48	35	0	0	83
8.	31 to 50 years	37	34	0	0	71
9.	51 years and Older	20	29	0	0	49
10.	Subtotal (Sum of Rows 6-9)	318	258	0	0	576
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	794	593	7	0	1,394

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	2	0	0	0
2.	Asian	2	0	104	0
3.	Black/African American	257	1	108	1
4.	Native Hawaiian/Other Pacific Islander	0	0	37	0
5.	White	544	316	316	262
6.	American Indian/Alaskan Native & White	1	0	1	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	10	0	9	0
9.	American Indian/Alaskan Native & Black/African American	2	0	1	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	818	317	576	263

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	526
2.	31-50% of area median income (very low)	214
3.	51-80% of area median income (low)	78
4.	Total (Sum of Rows 1-3)	818

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

		HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility	
<input type="checkbox"/> Rehabilitation	\$	\$		
<input type="checkbox"/> Acquisition	\$	\$		
<input type="checkbox"/> Operating	\$	\$		
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:	
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units = Total Units =	
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>	

g.	What is the address of the facility (if different from business address)?	
h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project
--	-------------------------------------	--

		Sponsor/subrecipient	
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) Specify:		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

PART III: Public Participation

PUBLIC COMMENT PROCESS

In an effort to fully include the citizens of Texas in the Consolidated Planning process, TDHCA has designated a public comment period for this report of 15 days. The comment period began on Monday, March 30, 2015, and ended on Monday, April 13, 2015. An announcement of the public comment period was posted in the *Texas Register* on Friday March 27, 2015 and on TDHCA's website. Copies of the draft were made be available online at www.tdhca.state.tx.us and, if requested, in writing.

TDHCA publicized its ability to accept comments in writing by email to elizabeth.yevich@tdhca.state.tx.us, by mail to Texas Department of Housing and Community Affairs, Housing Resource Center, PO Box 13941, Austin, TX 78711-3941 or by fax to 512-475-0070.

This public comment period gave the public an opportunity to comment on the CAPER which evaluates the performance of the past program year for four HUD programs: the Community Development Block Grant (CDBG) Program, Emergency Solutions Grant (ESG) Program, HOME Investment Partnerships (HOME) Program, and the Housing Opportunities for Persons with AIDS (HOPWA) Program. The CAPER is part of the overall requirements governing the State's consolidated planning process (24 CFR §91.520).

No public comment was received.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	TEXAS
Organizational DUNS Number	806781902
EIN/TIN Number	742610542
Identify the Field Office	FT WORTH
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	San Antonio/Bexar County CoC

ESG Contact Name

Prefix
First Name
Middle Name
Last Name
Suffix
Title

ESG Contact Address

Street Address 1
Street Address 2
City
State
ZIP Code 78711-3941
Phone Number
Extension
Fax Number
Email Address

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 02/01/2014
Program Year End Date 01/31/2015

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: ADVOCACY OUTREACH

City: Elgin

State: TX

Zip Code: 78621, 2937

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 604603

Subrecipient or Contractor Name: TWIN CITY MISSION

City: Bryan

State: TX

Zip Code: 77805, 3490

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 62313

Subrecipient or Contractor Name: SAN ANTONIO METROPOLITAN MINISTRY, INC.

City: San Antonio

State: TX

Zip Code: 78216, 7017

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 327956

Subrecipient or Contractor Name: SERVICE OF THE EMERGENCY AID RESOURCE CENTER FOR THE HOMELESS

City: Houston

State: TX

Zip Code: 77002, 9115

DUNS Number: 785823600

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 904603

Subrecipient or Contractor Name: THE BRIDGE OVER TROUBLED WATERS, INC

City: Pasadena

State: TX

Zip Code: 77501, 3488

DUNS Number: 174065052

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 452120

Subrecipient or Contractor Name: PROJECT VIDA PVCDC

City: El Paso

State: TX

Zip Code: 79905, 2415

DUNS Number: 791970320

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 260273

Subrecipient or Contractor Name: SHARED HOUSING CENTER

City: Dallas

State: TX

Zip Code: 75204, 5814

DUNS Number: 052767832

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 101477

Subrecipient or Contractor Name: THE FAMILY PLACE

City: Dallas

State: TX

Zip Code: 75209, 0999

DUNS Number: 002933091

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 1204603

Subrecipient or Contractor Name: SAFEHAVEN OF TARRANT COUNTY

City: Hurst

State: TX

Zip Code: 76053, 3804

DUNS Number: 786103085

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 250795

Subrecipient or Contractor Name: CORPUS CHRISTI HOPE HOUSE, INC.

City: Corpus Christi

State: TX

Zip Code: 78404, 2521

DUNS Number: 948815337

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 130690

Subrecipient or Contractor Name: AMARILLO, CITY

City: Amarillo

State: TX

Zip Code: 79105,

DUNS Number: 786202994

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 92024

Subrecipient or Contractor Name: FAITH MISSION AND HELP CENTER

City: Brenham

State: TX

Zip Code: 77833,

DUNS Number: 361035645

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 149900

Subrecipient or Contractor Name: FAMILY VIOLENCE PREVENTION SERVICES, INC.

City: San Antonio

State: TX

Zip Code: 78209,

DUNS Number: 161804901

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: LA POSADA PROVIDENCIA

City: San Benito

State: TX

Zip Code: 78586,

DUNS Number: 610343464

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 862157

Subrecipient or Contractor Name: MID-COAST FAMILY SERVICES, INC.

City: Victoria

State: TX

Zip Code: 77901,

DUNS Number: 790072524

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 171637

Subrecipient or Contractor Name: SALVATION ARMY-FORT WORTH MABEE CENTER

City: Fort Worth

State: TX

Zip Code: 76103,

DUNS Number: 124732699

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: YOUTH AND FAMILY ALLIANCE DBA LIFEWORKS

City: Austin

State: TX

Zip Code: 78704,

DUNS Number: 137614244

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 835892

Subrecipient or Contractor Name: CITY OF DENTON

City: Denton

State: TX

Zip Code: 76205,

DUNS Number: 071380190

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 515786

Subrecipient or Contractor Name: SHELTER AGENCIES FOR FAMILIES IN EAST TEXAS

City: Mt. Pleasant

State: TX

Zip Code: 75455,

DUNS Number: 024049913

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 146691

Subrecipient or Contractor Name: WOMEN'S SHELTER OF EAST TEXAS, INC.

City: Lufkin

State: TX

Zip Code: 75902,

DUNS Number: 164747693

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 250000

Subrecipient or Contractor Name: SAN ANTONIO FAMILY ENDEAVORS, INC.

City: San Antonio

State: TX

Zip Code: 78228,

DUNS Number: 118914498

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 904603

Subrecipient or Contractor Name: Alliance of Community Assistance Ministries, Inc.

City: Houston

State: TX

Zip Code: 77056, 6660

DUNS Number: 067630032

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 1189793

Subrecipient or Contractor Name: City of Beaumont

City: Beaumont

State: TX

Zip Code: 77701, 3548

DUNS Number: 073901118

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 380822

Subrecipient or Contractor Name: Matagorda County Women's Center

City: Bay City

State: TX

Zip Code: 77404, 1820

DUNS Number: 800512840

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 604603

Subrecipient or Contractor Name: Northwest Assistance Ministries

City: Houston

State: TX

Zip Code: 77090, 3651

DUNS Number: 789961943

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 792843

Subrecipient or Contractor Name: Salvation Army - Corpus Christi

City: Corpus Christi

State: TX

Zip Code: 78403, 2507

DUNS Number: 080617504

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 434707

Subrecipient or Contractor Name: Women's Center of East Texas, Inc.

City: Longview

State: TX

Zip Code: 75606, 0347

DUNS Number: 607663622

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 138296

Subrecipient or Contractor Name: Salvation Army - El Paso

City: El Paso

State: TX

Zip Code: 79995, 0756

DUNS Number: 080667731

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: Tarrant County Homeless Coalition

City: Fort Worth

State: TX

Zip Code: 76147, 1406

DUNS Number: 824740125

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 500149

Subrecipient or Contractor Name: Salvation Army - Tyler

City: Tyler

State: TX

Zip Code: 75710, 2050

DUNS Number: 189974447

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 452288

Subrecipient or Contractor Name: Center Against Family Violence

City: El Paso

State: TX

Zip Code: 79915, 2729

DUNS Number: 956326813

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 147550

Subrecipient or Contractor Name: Friendship of Women, Inc.

City: Brownsville

State: HQ

Zip Code: 78523, 3112

DUNS Number: 015226129

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 567898

Subrecipient or Contractor Name: Family Abuse Center, Inc.

City: Waco

State: TX

Zip Code: 76702, 0395

DUNS Number: 956512610

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 135919

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	1,764
Children	2,109
Don't Know/Refused/Other	0
Missing Information	0
Total	3,873

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	1,522
Children	1,516
Don't Know/Refused/Other	0
Missing Information	27
Total	3,065

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	15,309
Children	6,067
Don't Know/Refused/Other	2
Missing Information	0
Total	21,378

Table 3 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	2,909
Children	514
Don't Know/Refused/Other	12
Missing Information	76
Total	3,511

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	21,472
Children	10,231
Don't Know/Refused/Other	8
Missing Information	96
Total	31,807

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	15,738
Female	15,984
Transgender	18
Don't Know/Refused/Other	25
Missing Information	42
Total	31,807

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	10,135
18-24	3,233
25 and over	18,287
Don't Know/Refused/Other	37
Missing Information	115
Total	31,807

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	1,237	212	54	971
Victims of Domestic Violence	8,853	201	1,179	7,473
Elderly	809	83	52	674
HIV/AIDS	91	0	0	91
Chronically Homeless	1,867	0	105	1,762
Persons with Disabilities:				
Severely Mentally Ill	1,866	146	81	1,639
Chronic Substance Abuse	1,260	129	0	1,131
Other Disability	2,624	269	190	2,165
Total (Unduplicated if possible)	0	0	0	0

Table 8 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	246,633
Total Number of bed-nights provided	229,760
Capacity Utilization	93.16%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Street Outreach

- number of persons to be assisted;
- number of persons to be provided with case management;
- number of persons who increase non-cash benefits, and;
- number of persons who increase non-cash benefits, and;
- number of persons who will be placed in temporary, transitional or permanent housing.

Emergency Shelter

- number of persons to be assisted;
- number of persons to be provided with case management, and;
- number of persons who will to temporary, transitional housing destinations or permanent housing destinations.

Homelessness Prevention and Rapid Re-housing

- number of persons to be assisted;
- number of persons to be provided with housing stability case management services;
- number of person who will increase their non-cash benefits;
- number of persons who will have an increase in income at program exit, and; number of persons who will exit to permanent housing destinations.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance	0	702,481	360,420
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	189,064	33,277
Expenditures for Housing Relocation & Stabilization Services - Services	0	203,402	85,288
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	1,094,947	478,985

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance	0	767,020	258,123
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	267,074	90,605
Expenditures for Housing Relocation & Stabilization Services - Services	0	546,958	240,039
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	1,581,052	588,767

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Essential Services	0	811,281	380,675
Operations	0	683,034	504,868
Renovation	0	0	0
Major Rehab	0	11,026	0
Conversion	0	0	0

Subtotal	0	1,505,341	885,543
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Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
HMIS	0	271,582	111,664
Administration	0	134,434	109,720
Street Outreach	0	314,454	133,824

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2012	2013	2014
6,762,035	0	4,587,356	2,174,679

Table 14 - Total ESG Funds Expended

11f. Match Source

	2012	2013	2014
Other Non-ESG HUD Funds	0	467,547	216,341
Other Federal Funds	0	572,326	429,885
State Government	0	267,061	105,226
Local Government	0	413,802	7,903
Private Funds	0	1,752,829	615,930
Other	0	1,474,142	900,587
Fees	0	0	20,000
Program Income	0	101,762	0
Total Match Amount	0	5,049,469	2,295,872

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2012	2013	2014
14,107,376	0	9,636,825	4,470,551

Table 16 - Total Amount of Funds Expended on ESG Activities